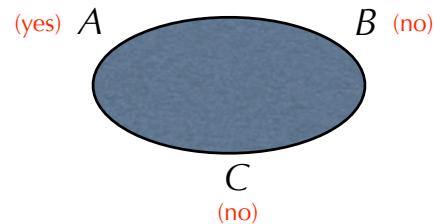


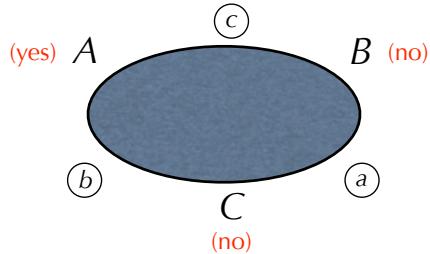
## It's coffee-time in the Cryptographers' Café...

...and  $A, B$  and  $C$  have just finished having lunch. As usual, they amuse themselves by carrying out their favourite protocol: it determines whether one of them has already paid, but *without* revealing (if so) which who it was.



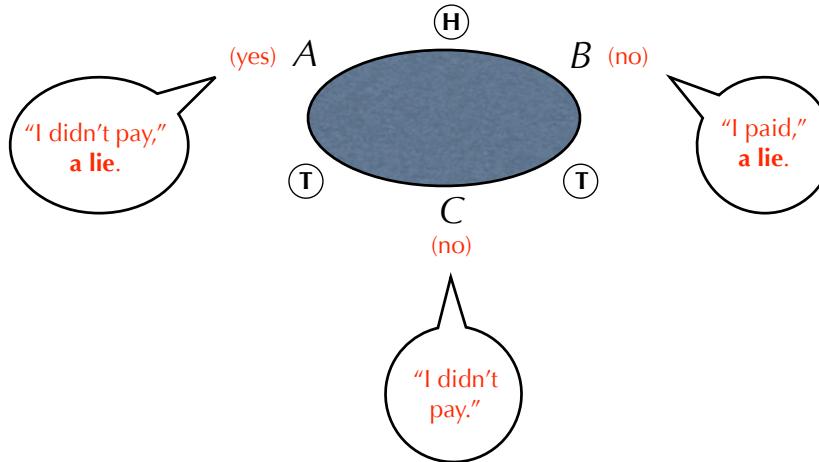
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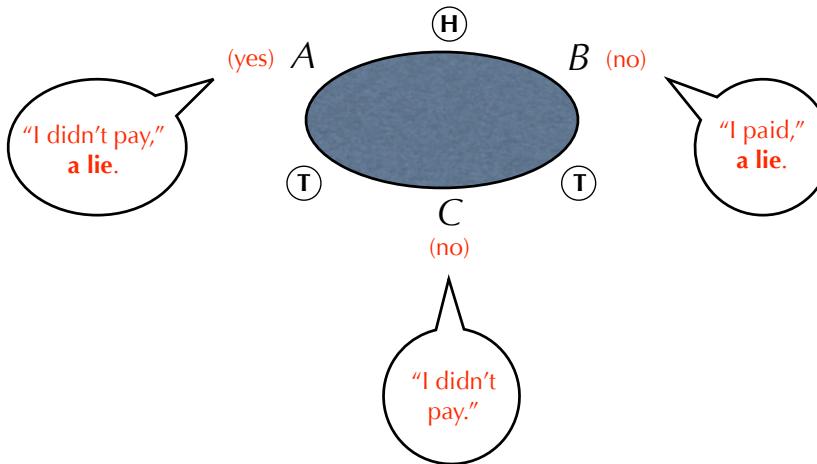
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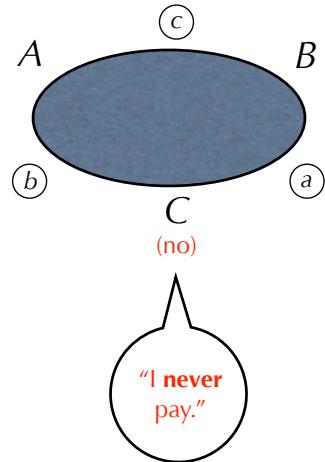
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## It's coffee-time in the Cryptographers' Café...



Because an *odd number* claim to have paid, one of them actually did. But no-one (else) knows who, because none can see *both* coins that influenced the others' answers.

## It's coffee-time in the Cryptographers' Café...



In that sense the *DCP* preserves the anonymity of its participants: it's a security-based correctness criterion.  
But what if C says “I didn't pay” every single time?

## Summary: qualitative vs quantitative security

- Chaum's original article, including the correctness proof, specifies **fair** coins. We did not; but we proved correctness anyway...?
- Goguen and Meseguer's original article on non-interference does **not** mention probability either.
- Many **proofs of security** exist for the *DCP*, showcasing various computational security frameworks; many of those also do not mention probability.
- Yet under repeated trials (in the café, rather than for just a one-off lunch date), **the protocol is not secure unless the coins are fair**.

## Summary: qualitative vs quantitative security

- Yet under repeated trials (in the café, rather than for just a one-off lunch date), **the protocol is not secure unless the coins are fair**.
- The only way  $C$  can say “I didn’t pay” every single time is if *either* she always does *or* she never does (but we don’t know which). In addition, the coins must be wholly fixed (but we don’t know which way).
- Because we can learn this about  $C$ , if it is true and the coins are wholly fixed, then in that case it is leaking information. How then did we prove it correct without using fairness of the coins?

## How does security lead to probability?

qualitative non-interference

sufficient for one-off attackers

} introduce hidden-  
and visible variables

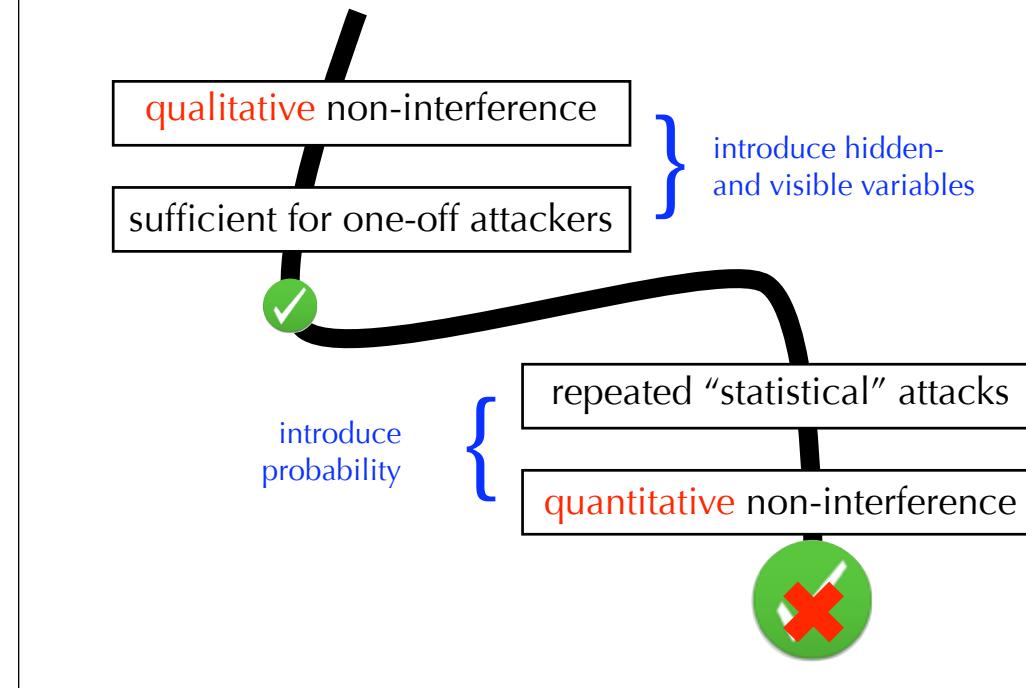


repeated “statistical” attacks

Goguen and Meseguer  
Roscoe and Graham-Cumming  
Leino and Joshi  
Sabelfeld and Myers



## How does security lead to probability?



## What led us from probability to security?

probabilistic *and* demonic choice

sufficient for quantitative refinement

} He's model;  
Kozen's transformers



data-refinement



## What led us from probability to security?

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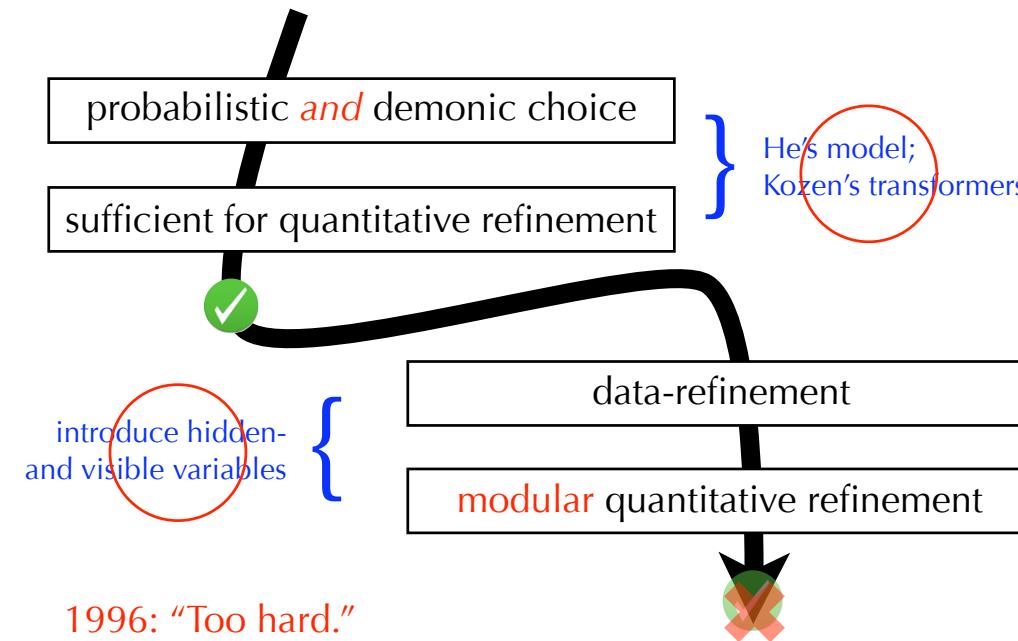


data-refinement

modular quantitative refinement



## What led us from probability to security?



## What exactly is “too hard”?

- Purely demonic choice (without probability) has a perfectly adequate relational semantics.
- Purely probabilistic choice (without demons) is the subject of Markov Processes.
- Demonic and probabilistic choice together are modelled by Markov Decision Processes (MDP's): both He's model and (eg) Segala's are effectively this.
- Demonic choice, probabilistic choice and hiding are all three the topic of Partially Observable Markov Decision Processes (POMDP's).

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- Demonic choice, probabilistic choice and hiding are all three the topic of Partially Observable Markov Decision Processes (POMDP's).
- These theories are not “too hard” to understand.

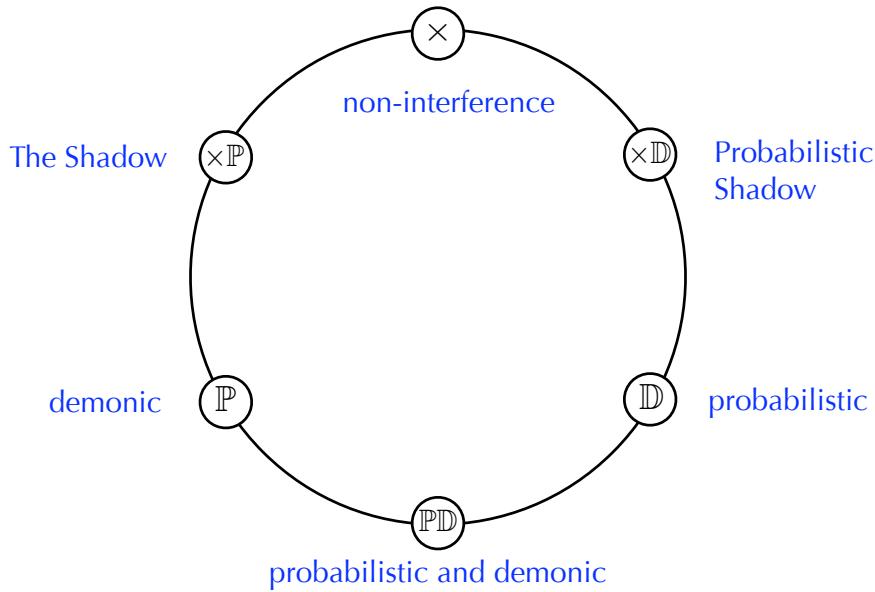
## An important disclaimer

- The FM tools don't capture and make rigorous all the subjective criteria in the program's context. Often they make the requirements capture harder.
- The FM tools do not make it easier to prove mathematical facts than before. Often they make the proofs harder.
- The FM tools do make it easier to ensure that insights from the theory are accurately reflected in the structure of the program.
- The key in the design of FM tools is to find a formulation that unifies the algebra of the theory and the algebra of the programs/logic in a way that captures as much of both sides as is feasible.

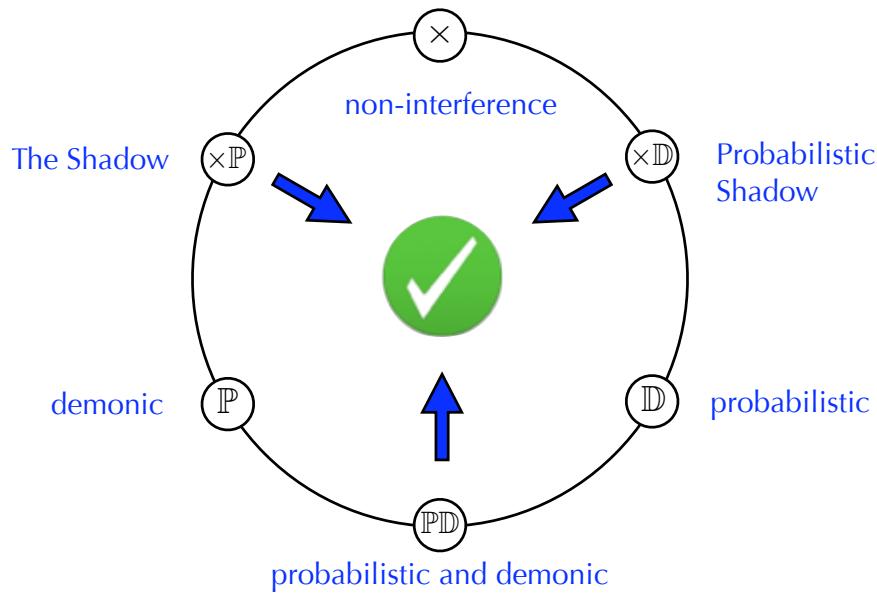
## What exactly is “too hard”?

- Purely demonic choice (without probability) has a perfectly adequate **relational semantics**.
- Purely probabilistic choice (without demons) is the subject of **Markov Processes**.
- Demonic and probabilistic choice together are modelled by **Markov Decision Processes** (MDP's): both He's model and (eg) Segala's are effectively this.
- Demonic choice, probabilistic choice and hiding are all three the topic of **Partially Observable Markov Decision Processes** (POMDP's).
- **That's why the existence of these theories is not in itself enough for Computer Science.**

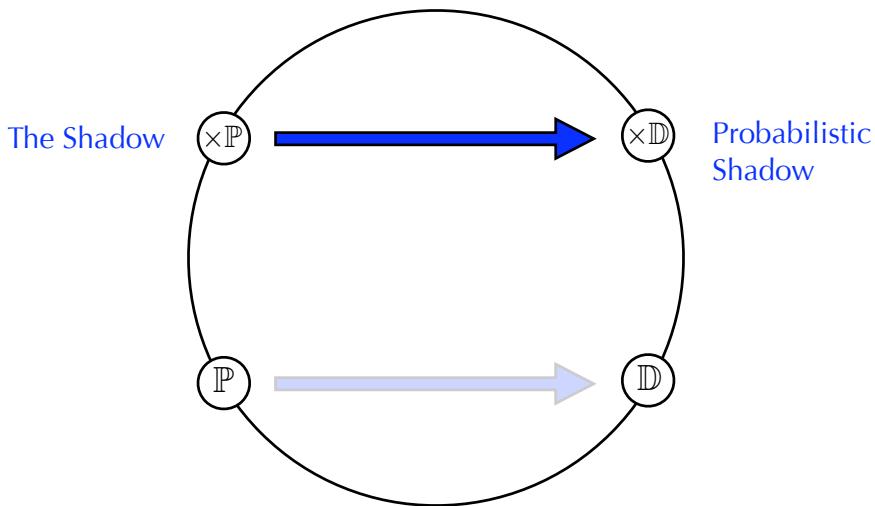
## The many dimensions of probabilistic/ demonic models:



...we hope (ultimately) for this:



## Meanwhile, let's design The Probabilistic Shadow



...and sort out The Café.

## The (standard) Shadow: example

The semantics of shadow-enhanced programs is based on a division of the state-space  $S$  into visible- and hidden portions  $V$  and  $H$ , with programs' denotations then found in

$$V \times \mathbb{P}H \rightarrow \mathbb{P}(V \times \mathbb{P}H) .$$

We examine the two-statement program

$$h : \in \{0, 1, 2, 3\}; \quad v := h \div 2$$

that chooses hidden  $h$  secretly from four possible values, and then –by assignment to visible  $v$ – reveals the more-significant bit.

## The (standard) Shadow: example

$V$   $\swarrow$   $\nwarrow \mathbb{P}H$   
 $(?, ?)$   
 $h : \in \{0, 1, 2, 3\};$

$v := h \div 2$

## The (standard) Shadow: example

```
V      ↘      ℙH
      ( ?, ? )      ↘
h: ∈ {0, 1, 2, 3};      ↘      ℙ(V × ℙH)
{ ( ?, {0, 1, 2, 3} ) }      ↘
v := h ÷ 2
```

## The (standard) Shadow: example

```
(?, ?)
h: ∈ {0, 1, 2, 3};
{   (?, {0, 1, 2, 3})   }
v := h ÷ 2
```

$V \times \mathbb{P}H$

## The (standard) Shadow: example

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(?, ?)
h: ∈ {0, 1, 2, 3};
{  (?, {0, 1, 2, 3})  }
v := h ÷ 2
{(0, {0, 1}), (1, {2, 3})}
```

$\mathbb{P}(V \times \mathbb{P}H)$

## The (standard) Shadow: example

```
(?, ?)
h: ∈ {0, 1, 2, 3};
{  (?, {0, 1, 2, 3})  }
v := h ÷ 2
{ ▷ (0, {0, 1}) , ◀
  ▷ (1, {2, 3})
}
```

visible nondeterminism

$\mathbb{P}(V \times \mathbb{P}H)$

## The (standard) Shadow: example

```
(?, ?)
h: ∈ {0, 1, 2, 3};
{  (?, {0, 1, 2, 3})  }
v := h ÷ 2
{  (0, {0, 1}) ,      →
  (1, {2, 3})         }
}           ▲
hidden nondeterminism
```

$\mathbb{P}(V \times \mathbb{P}H)$

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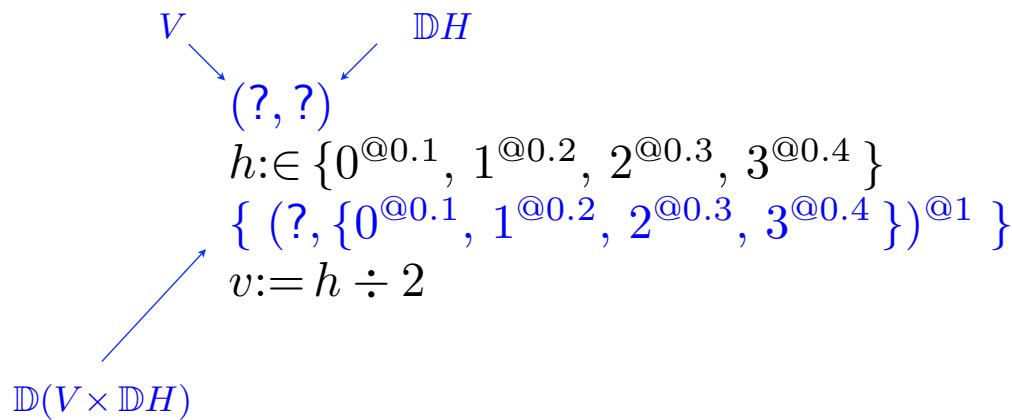
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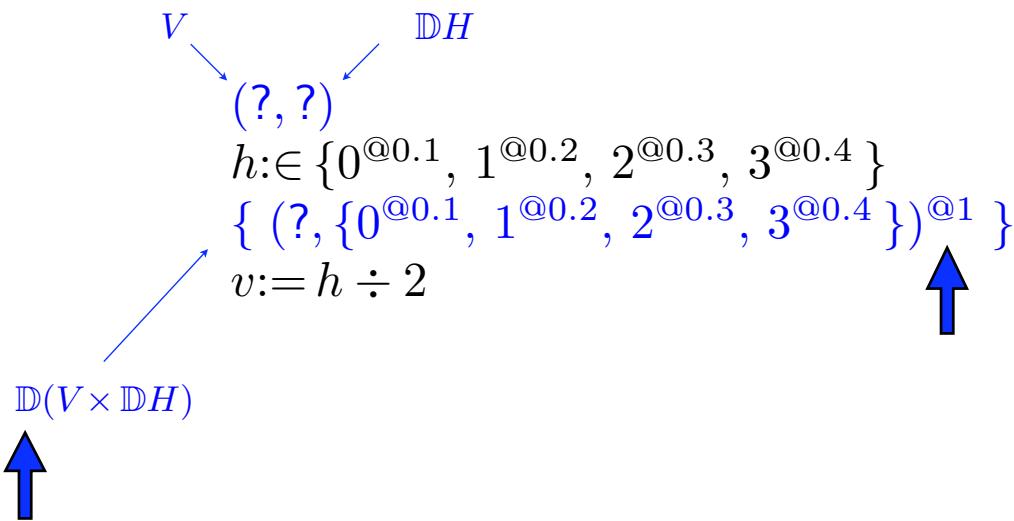
$$h : \in \{0^{@0.1}, 1^{@0.2}, 2^{@0.3}, 3^{@0.4}\}; \quad v := h \div 2$$

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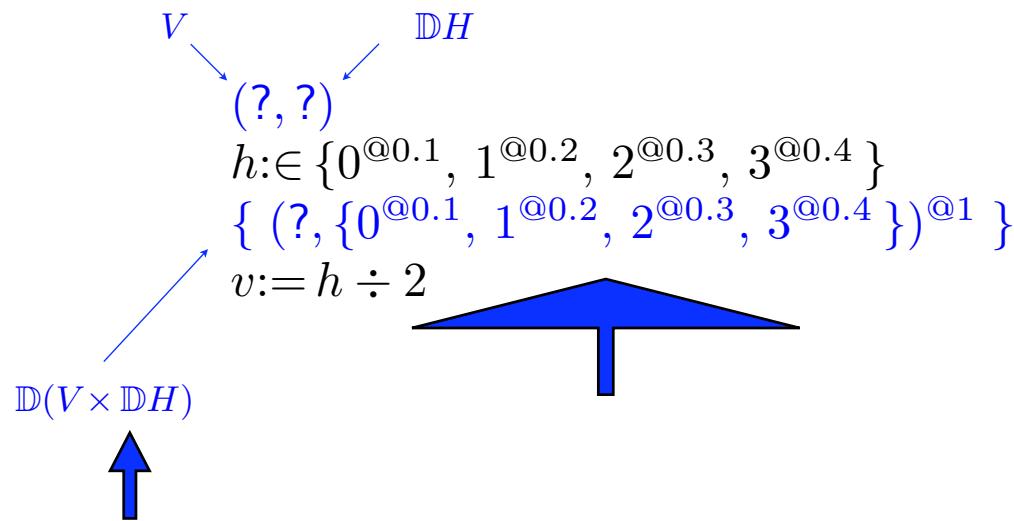
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## The probabilistic Shadow: example



## The probabilistic Shadow: example

```
(?, ?)
h: ∈ {0@0.1, 1@0.2, 2@0.3, 3@0.4 }
{  (?,{0@0.1, 1@0.2, 2@0.3, 3@0.4 })  @1 }
v:=h ÷ 2
```

## The probabilistic Shadow: example

```
(?, ?)
h:∈{0@0.1, 1@0.2, 2@0.3, 3@0.4 }
{  (?, {0@0.1, 1@0.2, 2@0.3, 3@0.4 })  @1 }
v:=h ÷ 2
{  (0, {0@1/3, 1@2/3}) @0.3  ,
  (1, {2@3/7, 3@4/7}) @0.7
}
```

## The probabilistic Shadow: example

```
(?, ?)
h: ∈ {0@0.1, 1@0.2, 2@0.3, 3@0.4 }
{  (?, {0@0.1, 1@0.2, 2@0.3, 3@0.4 })  @1 }
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  (1, {2@3/7, 3@4/7}) @0.7  ▲
}
```

visible probability

## The probabilistic Shadow: example

```
(?, ?)
h:∈{0@0.1, 1@0.2, 2@0.3, 3@0.4 }
{  (?, {0@0.1, 1@0.2, 2@0.3, 3@0.4 })  @1 }
v:=h ÷ 2
{  (0, {0@1/3, 1@2/3}) @0.3  ,
  (1, {2@3/7, 3@4/7}) @0.7
}
```



hidden probability

## The probabilistic Shadow: example

$$h \in \{0@0.1, 1@0.2, 2@0.3, 3@0.4\}$$

$$(\{0@0.1, 1@0.2, 2@0.3, 3@0.4\})$$

$$v := h \div 2$$

$$(0, 0_{1/3} \oplus 1) \quad 0.3 \oplus \quad (1, 2_{3/7} \oplus 3)$$



## The (standard) Shadow: refinement

The standard Shadow extends (makes more restrictive) the usual relation of refinement that allows reduction of visible nondeterminism, so that e.g. we still have

$$\begin{array}{c} v := 0 \sqcap v := 1 \quad \sqsubseteq \quad v := 0 \\ \text{and} \quad h := 0 \sqcap h := 1 \quad \sqsubseteq \quad h := 0 \end{array}$$

but we no longer have

$$h \in \{0, 1\} \quad \not\sqsubseteq \quad h := 0 .$$

That's because in the last case the nondeterminism is *hidden* and cannot be reduced while maintaining an attacker's ignorance of  $h$ 's possible values.

## The probabilistic Shadow: refinement?

The probabilistic Shadow, on the other hand, has no “usual” notion of refinement to extend. That is, *purely probabilistic* assignments (while not wholly determined) have no non-trivial refinements: we note that

$$\begin{array}{lll} v := 0_p \oplus v := 1 & \not\subseteq & v := 0_q \oplus v := 1 \\ \text{and} & & \\ h := 0_p \oplus h := 1 & \not\subseteq & h := 0_q \oplus h := 1, \end{array}$$

unless of course  $p=q$  — in which case it’s equality anyway.

As with the standard Shadow, however, there is have a notion of *refinement of ignorance* — it’s not present in the standard framework because ignorance cannot be expressed there. For the probabilistic Shadow this is the only kind of refinement.

## ``Amoeba'' refinement is present in both

In the standard Shadow, the refinement

$$h:\in \{0, 1\} \sqcap h:\in \{1, 2\} \quad \sqsubset \quad h:\in \{0, 1, 2\}$$

is strict, although it doesn't reduce the overall nondeterminism in  $h$  at all.

What it *does* reduce is an attacker's potential knowledge of  $h$ : on the left, he is certain to discover a final value that it cannot have (either it isn't 2 or it isn't 0).

On the right, however, he discovers nothing about  $h$  at all: that it's in  $\{0, 1, 2\}$  he knows already.

{0,1}

{0,1,2}

{1,2}

## ``Amoeba'' refinement is present in both

In the **probabilistic** Shadow, the refinement

$$\begin{aligned} h: &\in \{0^{@2/3}, 1^{@1/3}\} \quad 1/2 \oplus \quad h: \in \{1^{@1/3}, 2^{@2/3}\} \\ \sqsubset \quad h: &\in \{0^{@1/3}, 1^{@1/3}, 2^{@1/3}\} \end{aligned}$$

is strict, although it doesn't change the *overall* final distribution of  $h$  at all.

What it *does* reduce is an attacker's likelihood of guessing the value of  $h$ : on the left, he will have a  $2/3$  chance, once he's observed the resolution of the  $1/2 \oplus$ . On the right, his chance is at most  $1/3$ , no matter what he chooses.

$\{0, 1\}$

$\{1, 2\}$

$\{1, 2\}$

## The probabilistic Shadow: refinement?

This refinement over ~~structured~~ corresponds to traditional formulations of entropy:

- (Conditional) Shannon Entropy increases up the refinement order;
- (Conditional) Guessing Entropy increases up the refinement order.

(Expected number of guesses of the form “Is the secret C?” to achieve an affirmative answer.)

## The probabilistic *Encryption Lemma*

$$\begin{aligned} & |[ \mathbf{vis} \ v; \mathbf{hid} \ h'; \quad h':=0 \mathop{\oplus} 1; \ v:=h+h' ]| \\ &= \text{“Atomicity lemma”} \\ & |[ \mathbf{vis} \ v; \mathbf{hid} \ h'; \quad \langle\!\langle h':=0 \mathop{\oplus} 1; \ v:=h+h' \rangle\!\rangle ]| \\ &= \text{“Classical reasoning”} \\ & |[ \mathbf{vis} \ v; \mathbf{hid} \ h'; \quad \langle\!\langle v:=h \mathop{\oplus} \neg h; \ h':=h+v \rangle\!\rangle ]| \\ &= \text{“Atomicity lemma”} \\ & |[ \mathbf{vis} \ v; \mathbf{hid} \ h'; \quad v:=h \mathop{\oplus} \neg h; \ h':=h+v ]| \\ &= \text{“Provided } p \text{ is } 1/2\text{”} \\ & |[ \mathbf{vis} \ v; \mathbf{hid} \ h'; \quad v:=0 \mathop{\oplus} 1; \ h':=h+v ]| \\ &= \text{“}h'\text{ is not free in } \textit{rhs} \text{ of assignment to } v\text{”} \\ & |[ \mathbf{vis} \ v; \ v:=0 \mathop{\oplus} 1; \ |[ \mathbf{hid} \ h'; \ h':=h+v ]| ]| \\ &= \mathbf{skip} \ . \end{aligned}$$

## The probabilistic *Encryption Lemma*

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## The probabilistic *Encryption Lemma*

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 $\|[\text{vis } v; \text{hid } h'; \langle\langle v:=h_p \oplus \neg h; h':=h+v \rangle\rangle]\|$   
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## The probabilistic *Encryption Lemma*

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## The probabilistic *Encryption Lemma*

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## The probabilistic *Encryption Lemma*

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## The standard *Encryption Lemma*

$||[ \mathbf{vis} \; v; \mathbf{hid} \; h'; \quad h' \in \{0, 1\} \quad ; \; v := h + h' ]||$

- The Dining Cryptographers      Maths. Prog. Const. vi2006
- Rivest's Oblivious Transfer      Sci. Comp. Prog. i2009
- The 1001 Cryptographers      CARH Festschrift article iii2009
- The Three Judges      CARH Festschrift presentation iv2009
- Secure Database Lookup      ICTAC vi2009
- The Millionaires      FM xi2009

= **skip** .

## The standard *Encryption Lemma*

$[\text{ vis } v; \text{ hid } h'; \quad h' \in \{0, 1\} \quad ; \quad v := h + h' ]|$

- The Dining Cryptographers      Maths. Prog. Const. vi2006
- Rivest's Oblivious Transfer      Sci. Comp. Prog. i2009
- The 1001 Cryptographers      CARH Festschrift article iii2009
- The Three Judges      CARH Festschrift presentation iv2009
- Secure Database Lookup      ICTAC vi2009
- The Millionaires      FM xi2009

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Sound for one-off's — but not for the café

## The probabilistic *Encryption Lemma*

$||[ \mathbf{vis} \; v; \mathbf{hid} \; h'; \; h':=0_{1/2} \oplus 1; \; v:=h+h' \; ]||$

- The Dining Cryptographers      Maths. Prog. Const. vi2006 
- Rivest's Oblivious Transfer      Sci. Comp. Prog. i2009 
- The 1001 Cryptographers      CARH Festschrift article iii2009 
- The Three Judges      CARH Festschrift presentation iv2009 
- Secure Database Lookup      ICTAC vi2009 
- The Millionaires      FM xi2009 

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**Café-Certified:** and proofs unchanged.