

Compiler Construction

Lecture 9: Syntactic Analysis V ($LR(k)$ Grammars)

Thomas Noll

Lehrstuhl für Informatik 2
(Software Modeling and Verification)

RWTH Aachen University

noll@cs.rwth-aachen.de

<http://www-i2.informatik.rwth-aachen.de/i2/cc08/>

Summer semester 2008

1 Repetition: Top-Down Parsing

2 Bottom-Up Parsing

3 Nondeterministic Bottom-Up Parsing

4 $LR(k)$ Grammars

5 $LR(0)$ Grammars

Example

Grammar for
arithmetic expressions:

$$\begin{aligned} G_{AE} : \quad E &\rightarrow E+T \mid T & (1, 2) \\ T &\rightarrow T*F \mid F & (3, 4) \\ F &\rightarrow (E) \mid a \mid b & (5, 6, 7) \end{aligned}$$

Example

E

Grammar for
arithmetic expressions:

$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Leftmost analysis of $(a)*b$:

(a) * b

Example

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$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Leftmost analysis of $(a)*b$:

2

(a) * b

Top-Down Parsing

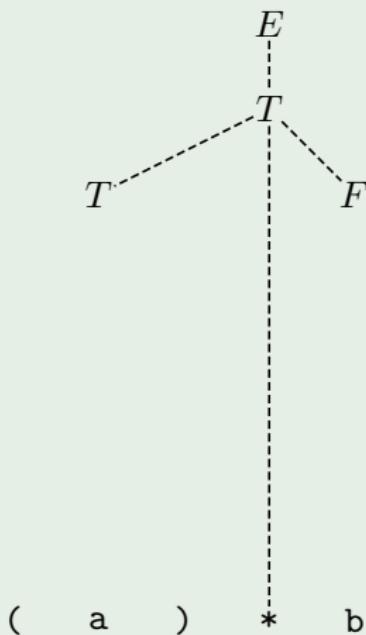
Example

Grammar for
arithmetic expressions:

$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Leftmost analysis of $(a)*b$:

2 3



Top-Down Parsing

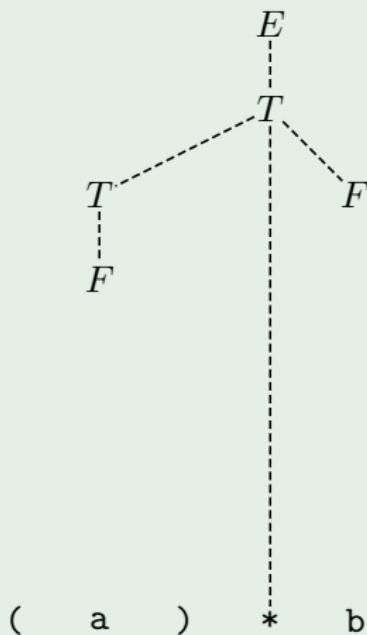
Example

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arithmetic expressions:

$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Leftmost analysis of $(a)*b$:

2 3 4



Top-Down Parsing

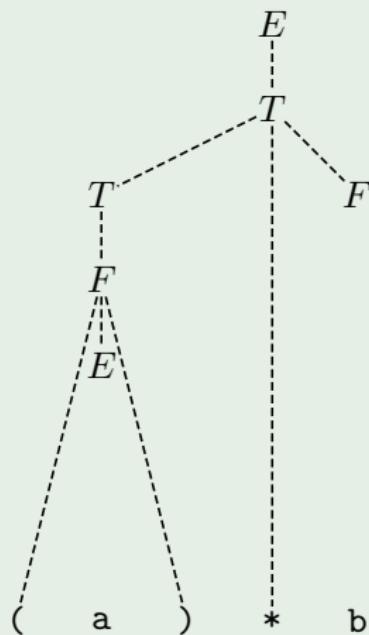
Example

Grammar for
arithmetic expressions:

$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Leftmost analysis of $(a)*b$:

2 3 4 5



Top-Down Parsing

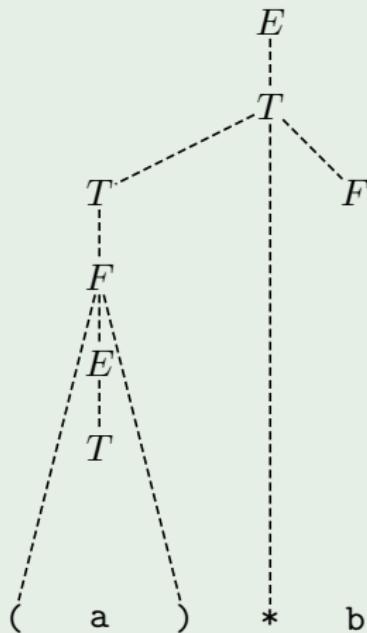
Example

Grammar for
arithmetic expressions:

$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Leftmost analysis of $(a)*b$:

2 3 4 5 2



Top-Down Parsing

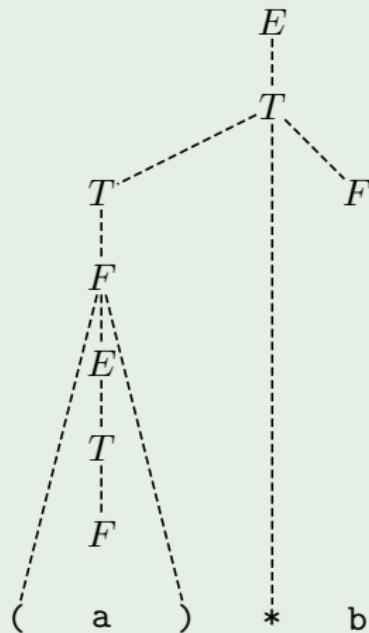
Example

Grammar for
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$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Leftmost analysis of $(a)*b$:

2 3 4 5 2 4



Top-Down Parsing

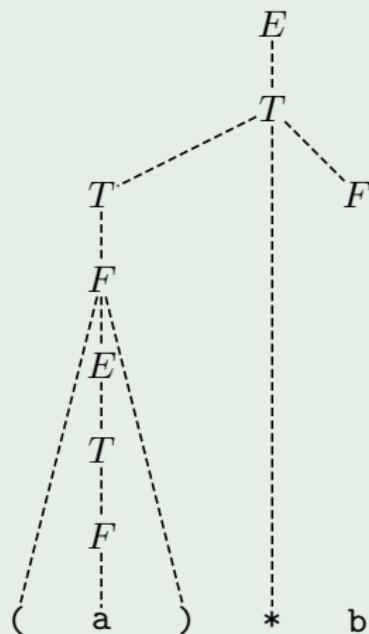
Example

Grammar for arithmetic expressions:

$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Leftmost analysis of $(a)*b$:

2 3 4 5 2 4 6



Top-Down Parsing

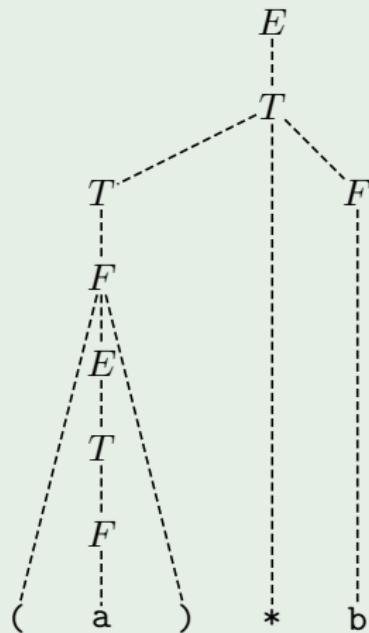
Example

Grammar for arithmetic expressions:

$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Leftmost analysis of $(a)*b$:

2 3 4 5 2 4 6 7



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Example 9.1

Grammar for
arithmetic expressions:

$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

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Reversed rightmost analysis
of $(a)*b$:

(a) * b

Example 9.1

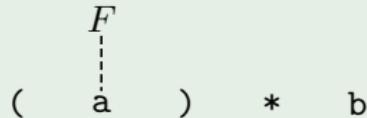
Grammar for
arithmetic expressions:

$$\begin{array}{l} G_{AE} : \quad E \rightarrow E+T \mid T \quad (1, 2) \\ \quad \quad \quad T \rightarrow T*F \mid F \quad (3, 4) \\ \quad \quad \quad F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Reversed rightmost analysis

of $(a)*b$:

6



Example 9.1

Grammar for
arithmetic expressions:

$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Reversed rightmost analysis

of $(a)*b$:

6 4



Example 9.1

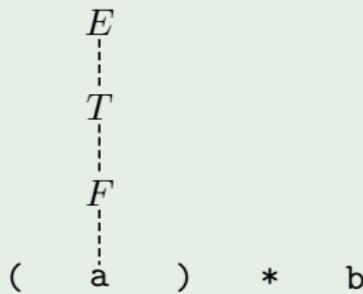
Grammar for
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$$\begin{array}{l} G_{AE} : \quad E \rightarrow E+T \mid T \quad (1, 2) \\ \quad \quad \quad T \rightarrow T*F \mid F \quad (3, 4) \\ \quad \quad \quad F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Reversed rightmost analysis

of $(a)*b$:

6 4 2

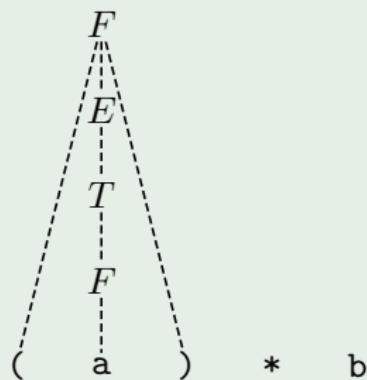


Example 9.1

Grammar for
arithmetic expressions:

$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Reversed rightmost analysis
of $(a)*b$:
6 4 2 5



Example 9.1

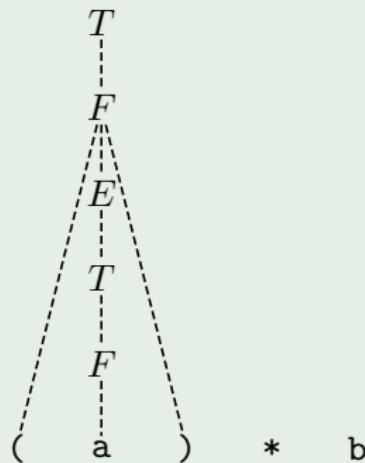
Grammar for
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Reversed rightmost analysis

of $(a)*b$:

6 4 2 5 4

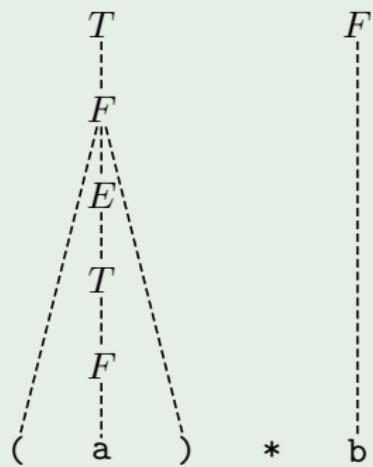


Example 9.1

Grammar for arithmetic expressions:

$$G_{AE} : \begin{array}{l|l} E \rightarrow E+T & T \\ T \rightarrow T*F & F \\ F \rightarrow (E) & a \\ \end{array} \quad \begin{array}{l} (1,2) \\ (3,4) \\ (5,6,7) \end{array}$$

Reversed rightmost analysis
of $(a)*b$:
6 4 2 5 4 7



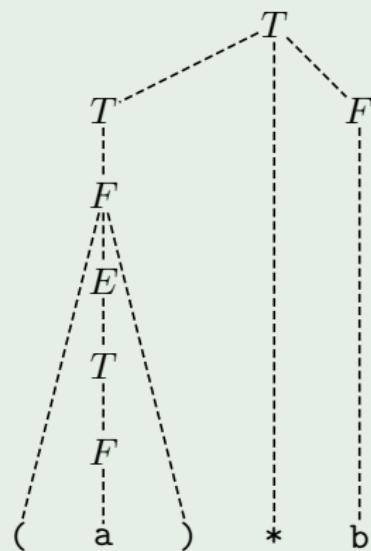
Example 9.1

Grammar for
arithmetic expressions:

$$G_{AE} : \begin{array}{l} E \rightarrow E+T \mid T \quad (1, 2) \\ T \rightarrow T*F \mid F \quad (3, 4) \\ F \rightarrow (E) \mid a \mid b \quad (5, 6, 7) \end{array}$$

Reversed rightmost analysis
of $(a)*b$:

6 4 2 5 4 7 3



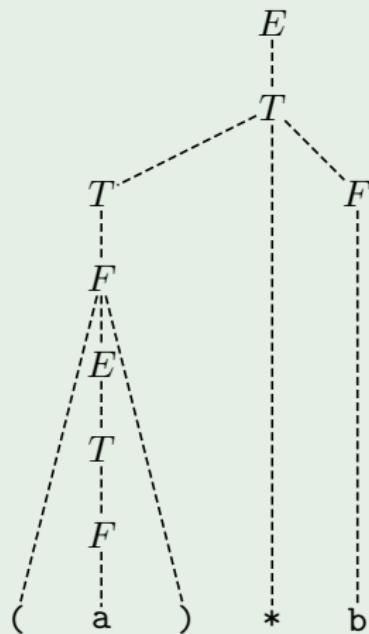
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Grammar for arithmetic expressions:

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Reversed rightmost analysis
of $(a)*b$:

6 4 2 5 4 7 3 2



Approach:

- Given $G \in CFG_{\Sigma}$, construct a **nondeterministic bottom-up parsing automaton** (NBA) which accepts $L(G)$ and which additionally computes corresponding (reversed) rightmost analyses
 - input alphabet: Σ
 - pushdown alphabet: X
 - output alphabet: $[p]$ (where $p := |P|$)
 - state set: omitted
 - transitions:
 - shift**: shifting input symbols onto the pushdown
 - reduce**: replacing the right-hand side of a production by its left-hand side (= inverse expansion steps)

Approach:

- ① Given $G \in CFG_{\Sigma}$, construct a **nondeterministic bottom-up parsing automaton** (NBA) which accepts $L(G)$ and which additionally computes corresponding (reversed) rightmost analyses
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 - output alphabet: $[p]$ (where $p := |P|$)
 - state set: omitted
 - transitions:
 - shift: shifting input symbols onto the pushdown
 - reduce: replacing the right-hand side of a production by its left-hand side (= inverse expansion steps)
- ② Remove nondeterminism by allowing **lookahead** on the input:
 $G \in LR(k)$ iff $L(G)$ recognizable by deterministic bottom-up parsing automaton with lookahead of k symbols

- 1 Repetition: Top-Down Parsing
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Definition 9.2 (Nondeterministic bottom-up parsing automaton)

Let $G = \langle N, \Sigma, P, S \rangle \in CFG_\Sigma$. The **nondeterministic bottom-up parsing automaton** of G , $NBA(G)$, is defined by the following components.

- **Input alphabet:** Σ
- **Pushdown alphabet:** X
- **Output alphabet:** $[p]$
- **Configurations:** $\Sigma^* \times X^* \times [p]^*$ (top of pushdown to the right)
- **Transitions** for $w \in \Sigma^*$, $\alpha \in X^*$, and $z \in [p]^*$:
 - shifting steps: $(aw, \alpha, z) \vdash (w, \alpha a, z)$ if $a \in \Sigma$
 - reduction steps: $(w, \alpha\beta, z) \vdash (w, \alpha A, zi)$ if $\pi(i) = A \rightarrow \beta$
- **Initial configuration** for $w \in \Sigma^*$: $(w, \varepsilon, \varepsilon)$
- **Final configurations**: $\{\varepsilon\} \times \{S\} \times [p]^*$

Example 9.3

Grammar for
arithmetic expressions
(cf. Example 5.11):

$$\begin{aligned} G_{AE} : E &\rightarrow E+T \mid T & (1, 2) \\ T &\rightarrow T*F \mid F & (3, 4) \\ F &\rightarrow (E) \mid a \mid b & (5, 6, 7) \end{aligned}$$

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Bottom-up parsing of $(a)*b$:
 $((a)*b, \varepsilon, \varepsilon, \varepsilon)$

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Grammar for
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(cf. Example 5.11):

$$\begin{aligned} G_{AE} : E &\rightarrow E+T \mid T & (1, 2) \\ T &\rightarrow T*F \mid F & (3, 4) \\ F &\rightarrow (E) \mid a \mid b & (5, 6, 7) \end{aligned}$$

Bottom-up parsing of $(a)*b$:

$((a)*b, \varepsilon, \varepsilon)$
 $\vdash (a)*b, (\varepsilon, \varepsilon)$

Example 9.3

Grammar for
arithmetic expressions
(cf. Example 5.11):

$$\begin{aligned} G_{AE} : E &\rightarrow E+T \mid T & (1, 2) \\ T &\rightarrow T*F \mid F & (3, 4) \\ F &\rightarrow (E) \mid a \mid b & (5, 6, 7) \end{aligned}$$

Bottom-up parsing of $(a)^*b$:

$$\begin{aligned} & ((a)^*b, \varepsilon, \varepsilon) \\ \vdash & (a)^*b, (, \varepsilon) \\ \vdash & ()^*b, (a, \varepsilon) \end{aligned}$$

Example 9.3

Grammar for
arithmetic expressions
(cf. Example 5.11):

$$\begin{aligned} G_{AE} : E &\rightarrow E+T \mid T & (1, 2) \\ T &\rightarrow T*F \mid F & (3, 4) \\ F &\rightarrow (E) \mid a \mid b & (5, 6, 7) \end{aligned}$$

Bottom-up parsing of $(a)*b$:

$$\begin{aligned} & ((a)*b, \varepsilon, \varepsilon) \\ \vdash & (a)*b, (, \varepsilon) \\ \vdash & ()*b, (a, \varepsilon) \\ \vdash & ()*b, (F, 6) \end{aligned}$$

Example 9.3

Grammar for
arithmetic expressions
(cf. Example 5.11):

$$\begin{array}{ll} G_{AE} : E \rightarrow E+T \mid T & (1, 2) \\ T \rightarrow T*F \mid F & (3, 4) \\ F \rightarrow (E) \mid a \mid b & (5, 6, 7) \end{array}$$

Bottom-up parsing of $(a)*b$:

$$\begin{array}{l} ((a)*b, \varepsilon, \varepsilon) \\ \vdash (a)*b, (, \varepsilon) \\ \vdash ()*b, (a, \varepsilon) \\ \vdash ()*b, (F, 6) \\ \vdash ()*b, (T, 64) \end{array}$$

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Bottom-up parsing of $(a)*b$:

$$\begin{array}{l} ((a)*b, \varepsilon, \varepsilon) \\ \vdash (a)*b, (, \varepsilon) \\ \vdash ()*b, (a, \varepsilon) \\ \vdash ()*b, (F, 6) \\ \vdash ()*b, (T, 64) \\ \vdash ()*b, (E, 642) \end{array}$$

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Grammar for
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(cf. Example 5.11):

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Bottom-up parsing of $(a)*b$:

$$\begin{array}{l} ((a)*b, \varepsilon, \varepsilon) \\ \vdash (a)*b, (, \varepsilon) \\ \vdash ()*b, (a, \varepsilon) \\ \vdash ()*b, (F, 6) \\ \vdash ()*b, (T, 64) \\ \vdash ()*b, (E, 642) \\ \vdash (*b, (E), 642) \end{array}$$

Example 9.3

Grammar for
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(cf. Example 5.11):

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Bottom-up parsing of $(a)*b$:

$$\begin{aligned} & ((a)*b, \varepsilon, \varepsilon) \\ \vdash & (a)*b, (, \varepsilon) \\ \vdash & ()*b, (a, \varepsilon) \\ \vdash & ()*b, (F, 6) \\ \vdash & ()*b, (T, 64) \\ \vdash & ()*b, (E, 642) \\ \vdash & (*b, (E), 642) \\ \vdash & (*b, F, 6425) \end{aligned}$$

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Grammar for
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(cf. Example 5.11):

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Bottom-up parsing of $(a)*b$:

$$\begin{aligned} & ((a)*b, \varepsilon, \varepsilon) \\ \vdash & (a)*b, (, \varepsilon) \\ \vdash & ()*b, (a, \varepsilon) \\ \vdash & ()*b, (F, 6) \\ \vdash & ()*b, (T, 64) \\ \vdash & ()*b, (E, 642) \\ \vdash & (*b, (E), 642) \\ \vdash & (*b, F, 6425) \\ \vdash & (*b, T, 64254) \end{aligned}$$

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Grammar for
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Bottom-up parsing of $(a)*b$:

$$\begin{array}{l} ((a)*b, \varepsilon, \varepsilon) \\ \vdash (a)*b, (, \varepsilon) \\ \vdash ()*b, (a, \varepsilon) \\ \vdash ()*b, (F, 6) \\ \vdash ()*b, (T, 64) \\ \vdash ()*b, (E, 642) \\ \vdash (*b, (E), 642) \\ \vdash (*b, F, 6425) \\ \vdash (*b, T, 64254) \\ \vdash (b, T*, 64254) \end{array}$$

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Grammar for
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(cf. Example 5.11):

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Bottom-up parsing of $(a)*b$:

$$\begin{aligned} & ((a)*b, \varepsilon, \varepsilon) \\ \vdash & (a)*b, (, \varepsilon) \\ \vdash & ()*b, (a, \varepsilon) \\ \vdash & ()*b, (F, 6) \\ \vdash & ()*b, (T, 64) \\ \vdash & ()*b, (E, 642) \\ \vdash & (*b, (E), 642) \\ \vdash & (*b, F, 6425) \\ \vdash & (*b, T, 64254) \\ \vdash & (b, T*, 64254) \\ \vdash & (\varepsilon, T*b, 64254) \end{aligned}$$

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Grammar for
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 G_{AE} : E &\rightarrow E+T \mid T & (1, 2) \\
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 \end{aligned}$$

Bottom-up parsing of $(a)*b$:

$$\begin{aligned}
 & ((a)*b, \varepsilon, \varepsilon) \\
 \vdash & (a)*b, (, \varepsilon) \\
 \vdash & ()*b, (a, \varepsilon) \\
 \vdash & ()*b, (F, 6) \\
 \vdash & ()*b, (T, 64) \\
 \vdash & ()*b, (E, 642) \\
 \vdash & (*b, (E), 642) \\
 \vdash & (*b, F, 6425) \\
 \vdash & (*b, T, 64254) \\
 \vdash & (b, T*, 64254) \\
 \vdash & (\varepsilon, T*b, 64254) \\
 \vdash & (\varepsilon, T*F, 642547)
 \end{aligned}$$

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Grammar for
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 G_{AE} : E &\rightarrow E+T \mid T & (1, 2) \\
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 \end{aligned}$$

Bottom-up parsing of $(a)*b$:

$$\begin{aligned}
 & ((a)*b, \varepsilon, \varepsilon) \\
 \vdash & (a)*b, (, \varepsilon) \\
 \vdash & ()*b, (a, \varepsilon) \\
 \vdash & ()*b, (F, 6) \\
 \vdash & ()*b, (T, 64) \\
 \vdash & ()*b, (E, 642) \\
 \vdash & (*b, (E), 642) \\
 \vdash & (*b, F, 6425) \\
 \vdash & (*b, T, 64254) \\
 \vdash & (b, T*, 64254) \\
 \vdash & (\varepsilon, T*b, 64254) \\
 \vdash & (\varepsilon, T*F, 642547) \\
 \vdash & (\varepsilon, T, 6425473)
 \end{aligned}$$

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 \end{aligned}$$

Bottom-up parsing of $(a)*b$:

$$\begin{aligned}
 & ((a)*b, \varepsilon, \varepsilon) \\
 \vdash & (a)*b, (, \varepsilon) \\
 \vdash & ()*b, (a, \varepsilon) \\
 \vdash & ()*b, (F, 6) \\
 \vdash & ()*b, (T, 64) \\
 \vdash & ()*b, (E, 642) \\
 \vdash & (*b, (E), 642) \\
 \vdash & (*b, F, 6425) \\
 \vdash & (*b, T, 64254) \\
 \vdash & (b, T*, 64254) \\
 \vdash & (\varepsilon, T*b, 64254) \\
 \vdash & (\varepsilon, T*F, 642547) \\
 \vdash & (\varepsilon, T, 6425473) \\
 \vdash & (\varepsilon, E, 64254732)
 \end{aligned}$$

Theorem 9.4 (Correctness of NBA(G))

Let $G = \langle N, \Sigma, P, S \rangle \in CFG_{\Sigma}$ and NBA(G) as before. Then, for every $w \in \Sigma^*$ and $z \in [p]^*$,

$(w, \varepsilon, \varepsilon) \vdash^* (\varepsilon, S, z)$ iff \overleftarrow{z} is a rightmost analysis of w

Theorem 9.4 (Correctness of NBA(G))

Let $G = \langle N, \Sigma, P, S \rangle \in CFG_{\Sigma}$ and NBA(G) as before. Then, for every $w \in \Sigma^*$ and $z \in [p]^*$,

$(w, \varepsilon, \varepsilon) \vdash^* (\varepsilon, S, z)$ iff \overleftarrow{z} is a rightmost analysis of w

Proof.

similar to the top-down case (Theorem 5.15)

□

Remark: NTA(G) is generally **nondeterministic**

- Shift or reduce? Example:

$$(bw, \alpha a, z) \vdash \begin{cases} (w, \alpha ab, z) \\ (bw, \alpha A, zi) \end{cases} \text{ if } \pi(i) = A \rightarrow a$$

Remark: NTA(G) is generally **nondeterministic**

- Shift or reduce? Example:

$$(bw, \alpha a, z) \vdash \begin{cases} (w, \alpha ab, z) \\ (bw, \alpha A, zi) \end{cases} \text{ if } \pi(i) = A \rightarrow a$$

- If reduce: which “handle” β ? Example:

$$(w, \alpha ab, z) \vdash \begin{cases} (w, \alpha A, zi) \\ (w, \alpha aB, zj) \end{cases} \text{ if } \pi(i) = A \rightarrow ab \text{ and } \pi(j) = B \rightarrow b$$

Remark: NTA(G) is generally **nondeterministic**

- Shift or reduce? Example:

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- If reduce: **which “handle” β ?** Example:

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- When to terminate parsing? Example:

$$\underbrace{(\varepsilon, S, z)}_{\text{final}} \vdash (\varepsilon, A, zi) \text{ if } \pi(i) = A \rightarrow S$$

General assumption in the following: every grammar is start separated

Definition 9.5 (Start separation)

A grammar $G = \langle N, \Sigma, P, S \rangle \in CFG_{\Sigma}$ is called **start separated** if S only occurs in productions of the form $S \rightarrow A$ where $A \neq S$.

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Remarks:

- Start separation always possible by adding $S' \rightarrow S$ with new start symbol S'
- From now on consider only reduced grammars of this form $(\pi(0) = S' \rightarrow S)$

Start separation removes last form of nondeterminism (when to terminate parsing):

Corollary 9.6

If $G \in CFG_{\Sigma}$ is start separated, then no successor of a final configuration (ε, S', z) in $NBA(G)$ is again a final configuration. (Thus parsing should be stopped in the first final configuration.)

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- To (ε, S', z) , only reductions by ε -productions can be applied:
 $(\varepsilon, S', z) \vdash (\varepsilon, S'A, zi) \quad \text{if } \pi(i) = A \rightarrow \varepsilon$

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- Thereafter, only reductions by productions of the form $A_0 \rightarrow A_1 \dots A_n$ ($n \geq 0$) can be applied
- Every resulting configuration is of the (non-final) form $(\varepsilon, S'B_1 \dots B_k, z)$ where $k \geq 1$

- 1 Repetition: Top-Down Parsing
- 2 Bottom-Up Parsing
- 3 Nondeterministic Bottom-Up Parsing
- 4 $LR(k)$ Grammars
- 5 $LR(0)$ Grammars

$LR(k)$ Grammars

Goal: resolve remaining nondeterminism of $NBA(G)$ by supporting lookahead of $k \in \mathbb{N}$ symbols on the input

$\Rightarrow LR(k)$: reading of input from left to right with k -lookahead,
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\Rightarrow **$LR(k)$** : reading of input from left to right with k -lookahead, computing a rightmost analysis

Definition 9.8 ($LR(k)$ grammar)

Let $G = \langle N, \Sigma, P, S \rangle \in \text{CFG}_{\Sigma}$ be start separated and $k \in \mathbb{N}$. Then G has the **$LR(k)$ property** (notation: $G \in LR(k)$) if for all rightmost derivations of the form

$$S \left\{ \begin{array}{l} \Rightarrow_r^* \alpha Aw \Rightarrow_r \alpha \beta w \\ \Rightarrow_r^* \alpha' A' w' \Rightarrow_r \alpha' \beta v \end{array} \right.$$

such that $\text{first}_k(w) = \text{first}_k(v)$, it follows that $\alpha' = \alpha$, $A' = A$, and $w' = v$.

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such that $\text{first}_k(w) = \text{first}_k(v)$, it follows that $\alpha' = \alpha$, $A' = A$, and $w' = v$.

Remarks:

- If $G \in LR(k)$, then the reduction of $\alpha \beta w$ to αAw is already determined by $\alpha \beta \text{first}_k(w)$.
- Therefore $\text{NBA}(G)$ in configuration $(w, \alpha \beta, z)$ can decide whether to shift or to reduce and, in the second case, how to reduce.

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The case $k = 0$ is relevant (in contrast to $LL(0)$): here the decision is just based on the contents of the pushdown, **without any lookahead**.

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Goal: derive a **finite information** from the pushdown which suffices to resolve the nondeterminism (similar to abstraction of right context in LL parsing by fo-sets)

Definition 9.10 (LR(0) items and sets)

Let $G = \langle N, \Sigma, P, S \rangle \in CFG_\Sigma$ be start separated by $S' \rightarrow S$ and $S' \Rightarrow_r^* \alpha A w \Rightarrow_r \alpha \beta_1 \beta_2 w$ (i.e., $A \rightarrow \beta_1 \beta_2 \in P$).

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- Given $\gamma \in X^*$, $LR(0)(\gamma)$ denotes the set of all $LR(0)$ items for γ , called the **LR(0) set** (or: **LR(0) information**) of γ .

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- ① For every $\gamma \in X^*$, $LR(0)(\gamma)$ is finite.

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- ③ The item $[A \rightarrow \beta \cdot] \in LR(0)(\gamma)$ indicates the possible reduction $(w, \alpha\beta, z) \vdash (w, \alpha A, zi)$ where $\pi(i) = A \rightarrow \beta$ and $\gamma = \alpha\beta$.

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- ④ The item $[A \rightarrow \beta_1 \cdot Y\beta_2] \in LR(0)(\gamma)$ indicates a possible shift step (with incomplete handle β_1).

Definition 9.12 (LR(0) conflicts)

Let $G = \langle N, \Sigma, P, S \rangle \in CFG_\Sigma$ and $I \in LR(0)(G)$.

- I has a **shift/reduce conflict** if there exist $A \rightarrow \alpha_1 a \alpha_2, B \rightarrow \beta \cdot \in P$ such that

$$[A \rightarrow \alpha_1 \cdot a \alpha_2], [B \rightarrow \beta \cdot] \in I.$$

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- I has a **reduce/reduce conflict** if there exist $A \rightarrow \alpha, B \rightarrow \beta \in P$ with $A \neq B$ or $\alpha \neq \beta$ such that

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Lemma 9.13

$G \in LR(0)$ iff no $I \in LR(0)(G)$ contains conflicting items.

Theorem 9.14 (Computing $LR(0)$ sets)

Let $G = \langle N, \Sigma, P, S \rangle \in CFG_\Sigma$ be start separated by $S' \rightarrow S$ and reduced.

① $LR(0)(\varepsilon)$ is the least set such that

- $[S' \rightarrow \cdot S] \in LR(0)(\varepsilon)$ and
- if $[A \rightarrow \cdot B\gamma] \in LR(0)(\varepsilon)$ and $B \rightarrow \beta \in P$,
then $[B \rightarrow \cdot \beta] \in LR(0)(\varepsilon)$.

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② $LR(0)(\alpha Y)$ ($\alpha \in X^*$, $Y \in X$) is the least set such that

- if $[A \rightarrow \gamma_1 \cdot Y\gamma_2] \in LR(0)(\alpha)$,
then $[A \rightarrow \gamma_1 Y \cdot \gamma_2] \in LR(0)(\alpha Y)$ and
- if $[A \rightarrow \gamma_1 \cdot B\gamma_2] \in LR(0)(\alpha Y)$ and $B \rightarrow \beta \in P$,
then $[B \rightarrow \cdot \beta] \in LR(0)(\alpha Y)$.

Example 9.15

$$\begin{array}{ll} G: & S' \rightarrow S \\ & S \rightarrow B \mid C \\ & B \rightarrow aB \mid b \\ & C \rightarrow aC \mid c \end{array}$$

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$$\begin{array}{ll} G : & S' \rightarrow S \\ & S \rightarrow B \mid C \\ & B \rightarrow aB \mid b \\ & C \rightarrow aC \mid c \end{array} \quad [S' \rightarrow \cdot S] \in LR(0)(\varepsilon)$$

$$I_0 := LR(0)(\varepsilon) : \quad [S' \rightarrow \cdot S]$$

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Computing $LR(0)$ Sets II

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$$G : \begin{array}{l} S' \rightarrow S \\ S \rightarrow B \mid C \\ B \rightarrow aB \mid b \\ C \rightarrow aC \mid c \end{array} \quad \begin{array}{l} [A \rightarrow \gamma_1 \cdot Y \gamma_2] \in LR(0)(\alpha) \\ \implies [A \rightarrow \gamma_1 Y \cdot \gamma_2] \in LR(0)(\alpha Y) \end{array}$$

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$$I_1 := LR(0)(S) : \quad [S' \rightarrow S \cdot]$$

Computing $LR(0)$ Sets II

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$$I_1 := LR(0)(S) : \quad \begin{array}{l} [S' \rightarrow S \cdot] \end{array}$$

$$I_2 := LR(0)(B) : \quad \begin{array}{l} [S \rightarrow B \cdot] \end{array}$$

$$I_3 := LR(0)(C) : \quad \begin{array}{l} [\textcolor{red}{S \rightarrow C \cdot}] \end{array}$$

Computing $LR(0)$ Sets II

Example 9.15

$G :$	$S' \rightarrow S$			
	$S \rightarrow B \mid C$	$[A \rightarrow \gamma_1 \cdot Y \gamma_2] \in LR(0)(\alpha)$		
	$B \rightarrow aB \mid b$	$\implies [A \rightarrow \gamma_1 Y \cdot \gamma_2] \in LR(0)(\alpha Y)$		
	$C \rightarrow aC \mid c$			
$I_0 := LR(0)(\varepsilon) :$	$[S' \rightarrow \cdot S]$	$[S \rightarrow \cdot B]$	$[S \rightarrow \cdot C]$	$[B \rightarrow \cdot aB]$
	$[B \rightarrow \cdot b]$	$[C \rightarrow \cdot aC]$	$[C \rightarrow \cdot c]$	
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Example 9.15

$$G : \begin{array}{l} S' \rightarrow S \\ S \rightarrow B \mid C \\ B \rightarrow aB \mid b \\ C \rightarrow aC \mid c \end{array} \quad \begin{array}{l} [A \rightarrow \gamma_1 \cdot B \gamma_2] \in LR(0)(\alpha Y), B \rightarrow \beta \in P \\ \implies [B \rightarrow \cdot \beta] \in LR(0)(\alpha Y) \end{array}$$

$$I_0 := LR(0)(\varepsilon) : \quad \begin{array}{l} [S' \rightarrow \cdot S] \\ [B \rightarrow \cdot b] \end{array} \quad \begin{array}{l} [S \rightarrow \cdot B] \\ [C \rightarrow \cdot aC] \end{array} \quad \begin{array}{l} [S \rightarrow \cdot C] \\ [C \rightarrow \cdot c] \end{array} \quad [B \rightarrow \cdot aB]$$

$$I_1 := LR(0)(S) : \quad [S' \rightarrow S \cdot]$$

$$I_2 := LR(0)(B) : \quad [S \rightarrow B \cdot]$$

$$I_3 := LR(0)(C) : \quad [S \rightarrow C \cdot]$$

$$I_4 := LR(0)(a) : \quad \begin{array}{l} [B \rightarrow a \cdot B] \\ [C \rightarrow \cdot aC] \end{array} \quad \begin{array}{l} [C \rightarrow a \cdot C] \\ [C \rightarrow \cdot c] \end{array} \quad [B \rightarrow \cdot aB] \quad [B \rightarrow \cdot b]$$

Computing $LR(0)$ Sets II

Example 9.15

$$\begin{array}{ll} G : & S' \rightarrow S \\ & S \rightarrow B \mid C \\ & B \rightarrow aB \mid b \\ & C \rightarrow aC \mid c \end{array} \quad \begin{array}{l} [A \rightarrow \gamma_1 \cdot Y \gamma_2] \in LR(0)(\alpha) \\ \implies [A \rightarrow \gamma_1 Y \cdot \gamma_2] \in LR(0)(\alpha Y) \end{array}$$

$$I_0 := LR(0)(\varepsilon) : \quad [S' \rightarrow \cdot S] \quad [S \rightarrow \cdot B] \quad [S \rightarrow \cdot C] \quad [B \rightarrow \cdot aB]$$
$$\quad [B \rightarrow \cdot b]$$
$$[C \rightarrow \cdot aC] \quad [C \rightarrow \cdot c]$$

$$I_1 := LR(0)(S) : \quad [S' \rightarrow S \cdot]$$

$$I_2 := LR(0)(B) : \quad [S \rightarrow B \cdot]$$

$$I_3 := LR(0)(C) : \quad [S \rightarrow C \cdot]$$

$$I_4 := LR(0)(a) : \quad [B \rightarrow a \cdot B] \quad [C \rightarrow a \cdot C] \quad [B \rightarrow \cdot aB] \quad [B \rightarrow \cdot b]$$
$$[C \rightarrow \cdot aC] \quad [C \rightarrow \cdot c]$$

$$I_5 := LR(0)(b) : \quad [B \rightarrow b \cdot]$$

Computing $LR(0)$ Sets II

Example 9.15

$$\begin{array}{ll} G : & S' \rightarrow S \\ & S \rightarrow B \mid C \\ & B \rightarrow aB \mid b \\ & C \rightarrow aC \mid c \end{array}$$

$$\begin{array}{l} [A \rightarrow \gamma_1 \cdot Y \gamma_2] \in LR(0)(\alpha) \\ \implies [A \rightarrow \gamma_1 Y \cdot \gamma_2] \in LR(0)(\alpha Y) \end{array}$$

$$I_0 := LR(0)(\varepsilon) : \quad \begin{array}{l} [S' \rightarrow \cdot S] \\ [B \rightarrow \cdot b] \end{array} \quad \begin{array}{l} [S \rightarrow \cdot B] \\ [C \rightarrow \cdot aC] \end{array} \quad \begin{array}{l} [S \rightarrow \cdot C] \\ [\textcolor{red}{C} \rightarrow \cdot c] \end{array} \quad [B \rightarrow \cdot aB]$$

$$I_1 := LR(0)(S) : \quad [S' \rightarrow S \cdot]$$

$$I_2 := LR(0)(B) : \quad [S \rightarrow B \cdot]$$

$$I_3 := LR(0)(C) : \quad [S \rightarrow C \cdot]$$

$$I_4 := LR(0)(a) : \quad \begin{array}{l} [B \rightarrow a \cdot B] \\ [C \rightarrow \cdot aC] \end{array} \quad \begin{array}{l} [C \rightarrow a \cdot C] \\ [C \rightarrow \cdot c] \end{array} \quad \begin{array}{l} [B \rightarrow \cdot aB] \\ [B \rightarrow \cdot b] \end{array}$$

$$I_5 := LR(0)(b) : \quad [B \rightarrow b \cdot]$$

$$I_6 := LR(0)(c) : \quad [\textcolor{red}{C} \rightarrow c \cdot]$$

Computing $LR(0)$ Sets II

Example 9.15

$G :$	$S' \rightarrow S$			
	$S \rightarrow B \mid C$	$[A \rightarrow \gamma_1 \cdot Y \gamma_2] \in LR(0)(\alpha)$		
	$B \rightarrow aB \mid b$	$\implies [A \rightarrow \gamma_1 Y \cdot \gamma_2] \in LR(0)(\alpha Y)$		
	$C \rightarrow aC \mid c$			
$I_0 := LR(0)(\varepsilon) :$	$[S' \rightarrow \cdot S]$	$[S \rightarrow \cdot B]$	$[S \rightarrow \cdot C]$	$[B \rightarrow \cdot aB]$
	$[B \rightarrow \cdot b]$	$[C \rightarrow \cdot aC]$	$[C \rightarrow \cdot c]$	
$I_1 := LR(0)(S) :$	$[S' \rightarrow S \cdot]$			
$I_2 := LR(0)(B) :$	$[S \rightarrow B \cdot]$			
$I_3 := LR(0)(C) :$	$[S \rightarrow C \cdot]$			
$I_4 := LR(0)(a) :$	$[B \rightarrow a \cdot B]$	$[C \rightarrow a \cdot C]$	$[B \rightarrow \cdot aB]$	$[B \rightarrow \cdot b]$
	$[C \rightarrow \cdot aC]$	$[C \rightarrow \cdot c]$		
$I_5 := LR(0)(b) :$	$[B \rightarrow b \cdot]$			
$I_6 := LR(0)(c) :$	$[C \rightarrow c \cdot]$			
$I_7 := LR(0)(aB) :$	$[B \rightarrow aB \cdot]$			

Computing $LR(0)$ Sets II

Example 9.15

$$\begin{array}{ll} G : & S' \rightarrow S \\ & S \rightarrow B \mid C \\ & B \rightarrow aB \mid b \\ & C \rightarrow aC \mid c \end{array} \quad \begin{array}{l} [A \rightarrow \gamma_1 \cdot Y \gamma_2] \in LR(0)(\alpha) \\ \implies [A \rightarrow \gamma_1 Y \cdot \gamma_2] \in LR(0)(\alpha Y) \end{array}$$

$$I_0 := LR(0)(\varepsilon) : \quad [S' \rightarrow \cdot S] \quad [S \rightarrow \cdot B] \quad [S \rightarrow \cdot C] \quad [B \rightarrow \cdot aB]$$

$$I_1 := LR(0)(S) : \quad [S' \rightarrow S \cdot]$$

$$I_2 := LR(0)(B) : \quad [S \rightarrow B \cdot]$$

$$I_3 := LR(0)(C) : \quad [S \rightarrow C \cdot]$$

$$I_4 := LR(0)(a) : \quad [B \rightarrow a \cdot B] \quad [\textcolor{red}{C \rightarrow a \cdot C}] \quad [B \rightarrow \cdot aB] \quad [B \rightarrow \cdot b]$$

$$I_5 := LR(0)(b) : \quad [B \rightarrow b \cdot]$$

$$I_6 := LR(0)(c) : \quad [C \rightarrow c \cdot]$$

$$I_7 := LR(0)(aB) : \quad [B \rightarrow aB \cdot]$$

$$I_8 := LR(0)(aC) : \quad [\textcolor{red}{C \rightarrow aC \cdot}]$$

Computing $LR(0)$ Sets II

Example 9.15

$$\begin{array}{ll} G : & S' \rightarrow S \\ & S \rightarrow B \mid C \\ & B \rightarrow aB \mid b \\ & C \rightarrow aC \mid c \end{array}$$

$$I_0 := LR(0)(\varepsilon) : \quad [S' \rightarrow \cdot S] \quad [S \rightarrow \cdot B] \quad [S \rightarrow \cdot C] \quad [B \rightarrow \cdot aB]$$
$$\quad \quad \quad [B \rightarrow \cdot b] \quad [C \rightarrow \cdot aC] \quad [C \rightarrow \cdot c]$$

$$I_1 := LR(0)(S) : \quad [S' \rightarrow S \cdot]$$

$$I_2 := LR(0)(B) : \quad [S \rightarrow B \cdot]$$

$$I_3 := LR(0)(C) : \quad [S \rightarrow C \cdot]$$

$$I_4 := LR(0)(a) : \quad [B \rightarrow a \cdot B] \quad [C \rightarrow a \cdot C] \quad [B \rightarrow \cdot aB] \quad [B \rightarrow \cdot b]$$
$$\quad \quad \quad [C \rightarrow \cdot aC] \quad [C \rightarrow \cdot c]$$

$$I_5 := LR(0)(b) : \quad [B \rightarrow b \cdot]$$

$$I_6 := LR(0)(c) : \quad [C \rightarrow c \cdot]$$

$$I_7 := LR(0)(aB) : \quad [B \rightarrow aB \cdot]$$

$$I_8 := LR(0)(aC) : \quad [C \rightarrow aC \cdot]$$

$$(LR(0)(aa) = LR(0)(a) = I_4, LR(0)(ab) = LR(0)(b) = I_5,$$

$$LR(0)(ac) = LR(0)(c) = I_6, I_9 := LR(0)(\gamma) = \emptyset \text{ in all remaining cases})$$

Computing $LR(0)$ Sets II

Example 9.15

$$\begin{array}{ll} G : & S' \rightarrow S \\ & S \rightarrow B \mid C \\ & B \rightarrow aB \mid b \\ & C \rightarrow aC \mid c \end{array}$$

$$I_0 := LR(0)(\varepsilon) : \quad \begin{array}{l} [S' \rightarrow \cdot S] \\ [B \rightarrow \cdot b] \end{array} \quad \begin{array}{l} [S \rightarrow \cdot B] \\ [C \rightarrow \cdot aC] \end{array} \quad \begin{array}{l} [S \rightarrow \cdot C] \\ [C \rightarrow \cdot c] \end{array} \quad [B \rightarrow \cdot aB]$$

$$I_1 := LR(0)(S) : \quad [S' \rightarrow S \cdot]$$

$$I_2 := LR(0)(B) : \quad [S \rightarrow B \cdot]$$

$$I_3 := LR(0)(C) : \quad [S \rightarrow C \cdot]$$

$$I_4 := LR(0)(a) : \quad \begin{array}{ll} [B \rightarrow a \cdot B] & [C \rightarrow a \cdot C] \\ [C \rightarrow \cdot aC] & [C \rightarrow \cdot c] \end{array} \quad \begin{array}{l} [B \rightarrow \cdot aB] \\ [B \rightarrow \cdot b] \end{array}$$

$$I_5 := LR(0)(b) : \quad [B \rightarrow b \cdot]$$

$$I_6 := LR(0)(c) : \quad [C \rightarrow c \cdot]$$

$$I_7 := LR(0)(aB) : \quad [B \rightarrow aB \cdot]$$

$$I_8 := LR(0)(aC) : \quad [C \rightarrow aC \cdot]$$

$$(LR(0)(aa) = LR(0)(a) = I_4, LR(0)(ab) = LR(0)(b) = I_5,$$

$$LR(0)(ac) = LR(0)(c) = I_6, I_9 := LR(0)(\gamma) = \emptyset \text{ in all remaining cases})$$

no conflicts $\implies G \in LR(0)$