

Compiler Construction

Lecture 12: Syntactic Analysis VIII (*LALR*(1) Parsing)

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- 1 Repetition: $[S]LR(1)$ Parsing
- 2 $LALR(1)$ Parsing
- 3 Bottom-Up Parsing of Ambiguous Grammars

The $SLR(1)$ Action Function

Definition ($SLR(1)$ action function)

The $SLR(1)$ action function

$$\text{act} : LR(0)(G) \times \Sigma_\varepsilon \rightarrow \{\text{red } i \mid i \in [p]\} \cup \{\text{shift, accept, error}\}$$

is defined by

$$\text{act}(I, x) := \begin{cases} \text{red } i & \text{if } \pi(i) = A \rightarrow \alpha, [A \rightarrow \alpha \cdot] \in I \ (i \neq 0), \\ & \text{and } x \in \text{fo}(A) \\ \text{shift} & \text{if } [A \rightarrow \alpha_1 \cdot x \alpha_2] \in I \text{ and } x \in \Sigma \\ \text{accept} & \text{if } [S' \rightarrow S \cdot] \in I \text{ and } x = \varepsilon \\ \text{error} & \text{otherwise} \end{cases}$$

Definition ($SLR(1)$ grammar)

A grammar $G \in CFG_\Sigma$ has the $SLR(1)$ property (notation: $G \in SLR(1)$) if its $SLR(1)$ action function is well defined.

Together, act and the $LR(0)$ goto function (cf. Definition 10.1) form the $SLR(1)$ parsing table of G .

Observation: not every element of $\text{fo}(A)$ can follow every occurrence of A

$\implies$ refinement of $LR(0)$ items by adding possible lookahead symbols

Definition ($LR(1)$ items and sets)

Let $G = \langle N, \Sigma, P, S \rangle \in CFG_{\Sigma}$ be start separated by $S' \rightarrow S$.

- If $S' \Rightarrow_r^* \alpha A a w \Rightarrow_r \alpha \beta_1 \beta_2 a w$, then $[A \rightarrow \beta_1 \cdot \beta_2, a]$ is called an **$LR(1)$ item** for $\alpha \beta_1$.
- If $S' \Rightarrow_r^* \alpha A \Rightarrow_r \alpha \beta_1 \beta_2$, then $[A \rightarrow \beta_1 \cdot \beta_2, \varepsilon]$ is called an **$LR(1)$ item** for $\alpha \beta_1$.
- Given $\gamma \in X^*$, $LR(1)(\gamma)$ denotes the set of all $LR(1)$ items for γ , called the **$LR(1)$ set** (or: **$LR(1)$ information**) of γ .
- $LR(1)(G) := \{LR(1)(\gamma) \mid \gamma \in X^*\}$.

Example

G_{LR} : $S' \rightarrow S$ $S \rightarrow L=R \mid R$
 $L \rightarrow *R \mid a$ $R \rightarrow L$

$LR(0)(G_{LR})$:

$I_0(\varepsilon)$: $\begin{bmatrix} S' \rightarrow \cdot S \\ S \rightarrow \cdot R \\ L \rightarrow \cdot a \\ R \rightarrow \cdot L \end{bmatrix}$

$I_1(S)$: $\begin{bmatrix} S' \rightarrow S \cdot \end{bmatrix}$

$I_2(L)$: $\begin{bmatrix} S \rightarrow L \cdot =R \\ R \rightarrow L \cdot \end{bmatrix}$

$I_3(R)$: $\begin{bmatrix} S \rightarrow R \cdot \end{bmatrix}$

$I_4(*)$: $\begin{bmatrix} L \rightarrow * \cdot R \\ L \rightarrow *R \cdot \end{bmatrix}$

$I_5(a)$: $\begin{bmatrix} L \rightarrow a \cdot \end{bmatrix}$

$I_6(L=)$: $\begin{bmatrix} S \rightarrow L= \cdot R \\ L \rightarrow \cdot a \end{bmatrix}$

$I_7(*R)$: $\begin{bmatrix} L \rightarrow *R \cdot \end{bmatrix}$

$I_8(*L)$: $\begin{bmatrix} R \rightarrow L \cdot \end{bmatrix}$

$I_9(L=R)$: $\begin{bmatrix} S \rightarrow L=R \cdot \end{bmatrix}$

$LR(1)(G_{LR})$:

$I'_0(\varepsilon)$: $\begin{bmatrix} S' \rightarrow \cdot S, \varepsilon \\ S \rightarrow \cdot R, \varepsilon \\ L \rightarrow \cdot a, = \\ L \rightarrow *R, \varepsilon \end{bmatrix} \begin{bmatrix} S \rightarrow \cdot L=R, \varepsilon \\ L \rightarrow *R, = \\ R \rightarrow \cdot L, \varepsilon \\ L \rightarrow \cdot a, \varepsilon \end{bmatrix}$

$I'_1(S)$: $\begin{bmatrix} S' \rightarrow S \cdot, \varepsilon \end{bmatrix}$

$I'_2(L)$: $\begin{bmatrix} S \rightarrow L \cdot =R, \varepsilon \\ R \rightarrow L \cdot, \varepsilon \end{bmatrix}$

$I'_3(R)$: $\begin{bmatrix} S \rightarrow R \cdot, \varepsilon \end{bmatrix}$

$I'_4(*)$: $\begin{bmatrix} L \rightarrow * \cdot R, = \\ R \rightarrow \cdot L, = \\ L \rightarrow *R, = \\ L \rightarrow *R, \varepsilon \end{bmatrix} \begin{bmatrix} L \rightarrow * \cdot R, \varepsilon \\ R \rightarrow \cdot L, \varepsilon \\ L \rightarrow \cdot a, = \\ L \rightarrow \cdot a, \varepsilon \end{bmatrix}$

$I'_5(a)$: $\begin{bmatrix} L \rightarrow a \cdot, = \\ L \rightarrow a \cdot, \varepsilon \end{bmatrix}$

$I'_6(L=)$: $\begin{bmatrix} S \rightarrow L= \cdot R, \varepsilon \\ L \rightarrow \cdot a, \varepsilon \end{bmatrix}$

$I'_7(*R)$: $\begin{bmatrix} L \rightarrow *R \cdot, = \\ L \rightarrow *R \cdot, \varepsilon \end{bmatrix}$

$I'_8(*L)$: $\begin{bmatrix} R \rightarrow L \cdot, = \\ R \rightarrow L \cdot, \varepsilon \end{bmatrix}$

$I'_9(L=R)$: $\begin{bmatrix} S \rightarrow L=R \cdot, \varepsilon \end{bmatrix}$

$I'_{10}(L=L)$: $\begin{bmatrix} R \rightarrow L \cdot, \varepsilon \end{bmatrix}$

$I'_{11}(L=*)$: $\begin{bmatrix} L \rightarrow * \cdot R, \varepsilon \\ L \rightarrow *R, \varepsilon \end{bmatrix} \begin{bmatrix} R \rightarrow \cdot L, \varepsilon \\ L \rightarrow \cdot a, \varepsilon \end{bmatrix}$

$I'_{12}(L=a)$: $\begin{bmatrix} L \rightarrow a \cdot, \varepsilon \end{bmatrix}$

$I'_{13}(L=*R)$: $\begin{bmatrix} L \rightarrow *R \cdot, \varepsilon \end{bmatrix}$

The $LR(1)$ Action Function

Definition ($LR(1)$ action function)

The $LR(1)$ action function

$$\text{act} : LR(1)(G) \times \Sigma_\epsilon \rightarrow \{\text{red } i \mid i \in [p]\} \cup \{\text{shift, accept, error}\}$$

is defined by

$$\text{act}(I, x) := \begin{cases} \text{red } i & \text{if } \pi(i) = A \rightarrow \alpha \text{ and } [A \rightarrow \alpha \cdot, x] \in I \\ \text{shift} & \text{if } [A \rightarrow \alpha_1 \cdot x \alpha_2, y] \in I \text{ and } x \in \Sigma \\ \text{accept} & \text{if } [S' \rightarrow S \cdot, \epsilon] \in I \text{ and } x = \epsilon \\ \text{error} & \text{otherwise} \end{cases}$$

Corollary

For every $G \in CFG_\Sigma$, $G \in LR(1)$ iff its $LR(1)$ action function is well defined.

- 1 Repetition: $[S]LR(1)$ Parsing
- 2 $LALR(1)$ Parsing
- 3 Bottom-Up Parsing of Ambiguous Grammars

- **Motivation:** resolving conflicts using $LR(1)$ **too expensive**
- Example 11.7/11.13: $|LR(0)(G_{LR})| = 11$, $|LR(1)(G_{LR})| = 15$
- A. Johnstone, E. Scott: *Generalised Reduction Modified LR Parsing for Domain Specific Language Prototyping*, HICSS '02, IEEE, 2002, <http://doi.ieeecomputersociety.org/10.1109/HICSS.2002.994495>:

Grammar	$ LR(0)(G) $	$ LR(1)(G) $
Ansi-C	381	1788
Pascal	368	1395

- **Observation:** potential **redundancy by containment** of $LR(0)$ sets in $LR(1)$ sets (cf. Corollary 11.9)

Definition 12.1 ($LR(0)$ equivalence)

Let $\text{lr}_0 : LR(1)(G) \rightarrow LR(0)(G)$ be defined by

$$\text{lr}_0(I) := \{[A \rightarrow \beta_1 \cdot \beta_2] \mid [A \rightarrow \beta_1 \cdot \beta_2, x] \in I\}.$$

Two sets $I_1, I_2 \in LR(1)(G)$ are called **$LR(0)$ -equivalent** (notation: $I_1 \sim_0 I_2$) if $\text{lr}_0(I_1) = \text{lr}_0(I_2)$.

Example 12.2 (cf. Example 11.7/11.13)

$$G_{LR} : \quad \begin{array}{l} S' \rightarrow S \quad S \rightarrow L=R \mid R \\ L \rightarrow *R \mid a \quad R \rightarrow L \end{array}$$

$$LR(0)(G_{LR}) :$$

$$I_0(\varepsilon) : \quad \begin{array}{l} [S' \rightarrow \cdot S] \quad [S \rightarrow \cdot L=R] \\ [S \rightarrow \cdot R] \quad [L \rightarrow \cdot *R] \\ [L \rightarrow \cdot a] \quad [R \rightarrow \cdot L] \end{array}$$

$$I_1(S) : [S' \rightarrow S \cdot]$$

$$I_2(L) : [S \rightarrow L \cdot =R] \quad [R \rightarrow L \cdot]$$

$$I_3(R) : [S \rightarrow R \cdot]$$

$$I_4(*) : \quad \begin{array}{l} [L \rightarrow * \cdot R] \quad [R \rightarrow \cdot L] \\ [L \rightarrow * \cdot R] \quad [L \rightarrow \cdot a] \end{array}$$

$$I_5(a) : [L \rightarrow a \cdot]$$

$$I_6(L=) : \quad \begin{array}{l} [S \rightarrow L= \cdot R] \quad [R \rightarrow \cdot L] \\ [L \rightarrow * \cdot R] \quad [L \rightarrow \cdot a] \end{array}$$

$$I_7(*R) : [L \rightarrow *R \cdot]$$

$$I_8(*L) : [R \rightarrow L \cdot]$$

$$I_9(L=R) : [S \rightarrow L=R \cdot]$$

$$\Rightarrow \quad \begin{array}{l} I_4' \sim_0 I_{11}' \\ I_5' \sim_0 I_{12}' \\ I_7' \sim_0 I_{13}' \\ I_8' \sim_0 I_{10}' \end{array}$$

$$LR(1)(G_{LR}) :$$

$$I_0'(\varepsilon) : \quad \begin{array}{l} [S' \rightarrow \cdot S, \varepsilon] \quad [S \rightarrow \cdot L=R, \varepsilon] \\ [S \rightarrow \cdot R, \varepsilon] \quad [L \rightarrow \cdot *R, =] \\ [L \rightarrow \cdot a, =] \quad [R \rightarrow \cdot L, \varepsilon] \\ [L \rightarrow \cdot *R, \varepsilon] \quad [L \rightarrow \cdot a, \varepsilon] \end{array}$$

$$I_1'(S) : [S' \rightarrow S \cdot, \varepsilon]$$

$$I_2'(L) : [S \rightarrow L \cdot =R, \varepsilon] \quad [R \rightarrow L \cdot, \varepsilon]$$

$$I_3'(R) : [S \rightarrow R \cdot, \varepsilon]$$

$$I_4'(*) : \quad \begin{array}{l} [L \rightarrow * \cdot R, =] \quad [L \rightarrow * \cdot R, \varepsilon] \\ [R \rightarrow \cdot L, =] \quad [R \rightarrow \cdot L, \varepsilon] \end{array}$$

$$\quad \begin{array}{l} [L \rightarrow * \cdot R, =] \quad [L \rightarrow \cdot a, =] \\ [L \rightarrow * \cdot R, \varepsilon] \quad [L \rightarrow \cdot a, \varepsilon] \end{array}$$

$$I_5'(a) : [L \rightarrow a \cdot, =] \quad [L \rightarrow a \cdot, \varepsilon]$$

$$I_6'(L=) : \quad \begin{array}{l} [S \rightarrow L= \cdot R, \varepsilon] \quad [R \rightarrow \cdot L, \varepsilon] \\ [L \rightarrow * \cdot R, \varepsilon] \quad [L \rightarrow \cdot a, \varepsilon] \end{array}$$

$$\quad \begin{array}{l} [L \rightarrow * \cdot R, =] \quad [L \rightarrow *R \cdot, \varepsilon] \\ [R \rightarrow L \cdot, =] \quad [R \rightarrow L \cdot, \varepsilon] \end{array}$$

$$I_9'(L=R) : [S \rightarrow L=R \cdot, \varepsilon]$$

$$I_{10}'(L=L) : [R \rightarrow L \cdot, \varepsilon]$$

$$I_{11}'(L=*) : \quad \begin{array}{l} [L \rightarrow * \cdot R, \varepsilon] \quad [R \rightarrow \cdot L, \varepsilon] \\ [L \rightarrow * \cdot R, \varepsilon] \quad [L \rightarrow \cdot a, \varepsilon] \end{array}$$

$$\quad \begin{array}{l} [L \rightarrow * \cdot R, \varepsilon] \\ [L \rightarrow *R \cdot, \varepsilon] \end{array}$$

Corollary 12.3

For every $G \in CFG_{\Sigma}$, $|LR(1)(G) / \sim_0| = |LR(0)(G)|$.

Idea: merge $LR(0)$ -equivalent $LR(1)$ sets (maintaining the lookahead information, but possibly introducing conflicts)

Definition 12.4 ($LALR(1)$ sets)

Let $G \in CFG_{\Sigma}$.

- An information $I \in LR(1)(G)$ determines the $LALR(1)$ set
$$\bigcup [I]_{\sim_0} = \bigcup \{I' \in LR(1)(G) \mid I' \sim_0 I\}.$$
- The set of all $LALR(1)$ sets of G is denoted by $LALR(1)(G)$.

Remark: by Corollary 12.3, $|LALR(1)(G)| = |LR(0)(G)|$
(but $LALR(1)$ sets provide additional lookahead information)

Example 12.5 (cf. Example 12.2)

$G_{LR} : S' \rightarrow S \quad S \rightarrow L=R \mid R \quad L \rightarrow *R \mid \mathbf{a} \quad R \rightarrow L$

$LR(0)(G_{LR}) :$

$I_0(\varepsilon) :$

$[S' \rightarrow \cdot S]$	$[S \rightarrow \cdot L=R]$
$[S \rightarrow \cdot R]$	$[L \rightarrow \cdot *R]$
$[L \rightarrow \cdot \mathbf{a}]$	$[R \rightarrow \cdot L]$

$I_1(S) :$

$[S' \rightarrow S \cdot]$

$I_2(L) :$

$[S \rightarrow L \cdot =R]$	$[R \rightarrow L \cdot]$
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$I_3(R) :$

$[S \rightarrow R \cdot]$

$I_4(*) :$

$[L \rightarrow * \cdot R]$	$[R \rightarrow \cdot L]$
$[L \rightarrow *R \cdot]$	$[L \rightarrow \cdot \mathbf{a}]$

$I_5(\mathbf{a}) :$

$[L \rightarrow \mathbf{a} \cdot]$

$I_6(L=) :$

$[S \rightarrow L= \cdot R]$	$[R \rightarrow \cdot L]$
$[L \rightarrow *R \cdot]$	$[L \rightarrow \cdot \mathbf{a}]$

$I_7(*R) :$

$[L \rightarrow *R \cdot]$

$I_8(*L) :$

$[R \rightarrow L \cdot]$

$I_9(L=R) :$

$[S \rightarrow L=R \cdot]$

$LALR(1)(G_{LR}) :$

$I_0'' := I_0' :$

$[S' \rightarrow \cdot S, \varepsilon]$	$[S \rightarrow \cdot L=R, \varepsilon]$
$[S \rightarrow \cdot R, \varepsilon]$	$[L \rightarrow \cdot *R, =/\varepsilon]$
$[L \rightarrow \cdot \mathbf{a}, =/\varepsilon]$	$[R \rightarrow \cdot L, \varepsilon]$

$I_1'' := I_1' :$

$[S' \rightarrow S \cdot, \varepsilon]$

$I_2'' := I_2' :$

$[S \rightarrow L \cdot =R, \varepsilon]$	$[R \rightarrow L \cdot, \varepsilon]$
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$I_3'' := I_3' :$

$[S \rightarrow R \cdot, \varepsilon]$
--

$I_4'' := I_4' \cup I_{11}' :$

$[L \rightarrow * \cdot R, =/\varepsilon]$	$[R \rightarrow \cdot L, =/\varepsilon]$
$[L \rightarrow *R \cdot, =/\varepsilon]$	$[L \rightarrow \cdot \mathbf{a}, =/\varepsilon]$

$I_5'' := I_5' \cup I_{12}' :$

$[L \rightarrow \mathbf{a} \cdot, =/\varepsilon]$

$I_6'' := I_6' :$

$[S \rightarrow L= \cdot R, \varepsilon]$	$[R \rightarrow \cdot L, \varepsilon]$
$[L \rightarrow *R \cdot, \varepsilon]$	$[L \rightarrow \cdot \mathbf{a}, \varepsilon]$

$I_7'' := I_7' \cup I_{13}' :$

$[L \rightarrow *R \cdot, =/\varepsilon]$

$I_8'' := I_8' \cup I_{10}' :$

$[R \rightarrow L \cdot, =/\varepsilon]$
--

$I_9'' := I_9' :$

$[S \rightarrow L=R \cdot, \varepsilon]$
--

The $LALR(1)$ Action Function

The $LALR(1)$ action function is defined in analogy to the $LR(1)$ case (Definition 11.14).

Definition 12.6 ($LALR(1)$ action function)

The $LALR(1)$ action function

$$\text{act} : LALR(1)(G) \times \Sigma_\varepsilon \rightarrow \{\text{red } i \mid i \in [p]\} \cup \{\text{shift, accept, error}\}$$

is defined by

$$\text{act}(I, x) := \begin{cases} \text{red } i & \text{if } \pi(i) = A \rightarrow \alpha \text{ and } [A \rightarrow \alpha \cdot, x] \in I \\ \text{shift} & \text{if } [A \rightarrow \alpha_1 \cdot x \alpha_2, y] \in I \text{ and } x \in \Sigma \\ \text{accept} & \text{if } [S' \rightarrow S \cdot, \varepsilon] \in I \text{ and } x = \varepsilon \\ \text{error} & \text{otherwise} \end{cases}$$

Definition 12.7 ($LALR(1)$ grammar)

A grammar $G \in CFG_\Sigma$ has the $LALR(1)$ property (notation: $G \in LALR(1)$) if its $LALR(1)$ action function is well defined.

The $LALR(1)$ goto Function

Example 12.8 (cf. Example 12.5)

$G_{LR} \in LALR(1)$

Also the $LR(1)$ goto function (Definition 11.16) carries over to the $LALR(1)$ case. Reason:

Lemma 12.9

Let $G \in CFG_{\Sigma}$ and $I_1, I_2 \in LR(1)(G)$ such that $I_1 \sim_0 I_2$. Then, for every $Y \in X$, $\text{goto}(I_1, Y) \sim_0 \text{goto}(I_2, Y)$.

Again, act and goto form the $LALR(1)$ parsing table of G .

The $LALR(1)$ Parsing Table

Example 12.10 (cf. Example 12.5)

$LALR(1)(G_{LR})$	act/goto Σ_ϵ				goto N		
	*	=	a	ϵ	S	L	R
I_0''	shift/ I_4''		shift/ I_5''		I_1''	I_2''	I_3''
I_1''				accept			
I_2''		shift/ I_6''		red 5			
I_3''				red 2			
I_4''	shift/ I_4''		shift/ I_5''			I_8''	I_7''
I_5''		red 4		red 4			
I_6''	shift/ I_4''		shift/ I_5''			I_8''	I_9''
I_7''		red 3		red 3			
I_8''		red 5		red 5			
I_9''				red 1			

(empty = error/ $\emptyset$)

LALR(1) Conflicts

But: merging of $LR(1)$ sets can produce new conflicts (also see exercises):

Example 12.11

$G : S' \rightarrow S \quad S \rightarrow \mathbf{aAd} \mid \mathbf{bBd} \mid \mathbf{aBe} \mid \mathbf{bAe} \quad A \rightarrow \mathbf{c} \quad B \rightarrow \mathbf{c}$

$LR(1)(\varepsilon) :$	$[S' \rightarrow \cdot S, \varepsilon]$	$[S \rightarrow \cdot \mathbf{aAd}, \varepsilon]$	$[S \rightarrow \cdot \mathbf{bBd}, \varepsilon]$	$[S \rightarrow \cdot \mathbf{aBe}, \varepsilon]$
	$[S \rightarrow \cdot \mathbf{bAe}, \varepsilon]$			
$LR(1)(S) :$	$[S' \rightarrow S \cdot, \varepsilon]$			
$LR(1)(\mathbf{a}) :$	$[S \rightarrow \mathbf{a} \cdot \mathbf{Ad}, \varepsilon]$	$[S \rightarrow \mathbf{a} \cdot \mathbf{Be}, \varepsilon]$	$[A \rightarrow \cdot \mathbf{c}, \mathbf{d}]$	$[B \rightarrow \cdot \mathbf{c}, \mathbf{e}]$
$LR(1)(\mathbf{b}) :$	$[S \rightarrow \mathbf{b} \cdot \mathbf{Bd}, \varepsilon]$	$[S \rightarrow \mathbf{b} \cdot \mathbf{Ae}, \varepsilon]$	$[B \rightarrow \cdot \mathbf{c}, \mathbf{d}]$	$[A \rightarrow \cdot \mathbf{c}, \mathbf{e}]$
$LR(1)(\mathbf{aA}) :$	$[S \rightarrow \mathbf{aA} \cdot \mathbf{d}, \varepsilon]$		$LR(1)(\mathbf{aB}) : [S \rightarrow \mathbf{aB} \cdot \mathbf{e}, \varepsilon]$	
$LR(1)(\mathbf{ac}) :$	$[A \rightarrow \mathbf{c} \cdot, \mathbf{d}]$	$[B \rightarrow \mathbf{c} \cdot, \mathbf{e}]$		
$LR(1)(\mathbf{bB}) :$	$[S \rightarrow \mathbf{bB} \cdot \mathbf{d}, \varepsilon]$		$LR(1)(\mathbf{bA}) : [S \rightarrow \mathbf{bA} \cdot \mathbf{e}, \varepsilon]$	
$LR(1)(\mathbf{bc}) :$	$[B \rightarrow \mathbf{c} \cdot, \mathbf{d}]$	$[A \rightarrow \mathbf{c} \cdot, \mathbf{e}]$		
$LR(1)(\mathbf{aAd}) :$	$[S \rightarrow \mathbf{aAd} \cdot, \varepsilon]$		$LR(1)(\mathbf{aBe}) : [S \rightarrow \mathbf{aBe} \cdot, \varepsilon]$	
$LR(1)(\mathbf{bBd}) :$	$[S \rightarrow \mathbf{bBd} \cdot, \varepsilon]$		$LR(1)(\mathbf{bAe}) : [S \rightarrow \mathbf{bAe} \cdot, \varepsilon]$	

no conflicts $\implies G \in LR(1)$

$LR(1)(\mathbf{ac}) \sim_0 LR(1)(\mathbf{bc})$, but $LR(1)(\mathbf{ac}) \cup LR(1)(\mathbf{bc})$ has conflicts

$\implies G \notin LALR(1)$

Efficient Construction of $LALR(1)$ Parsers

Naive algorithm to construct $LALR(1)$ parser for $G \in CFG_{\Sigma}$:

- 1 Construct $LR(1)(G)$
- 2 Determine and merge $LR(0)$ -equivalent $LR(1)$ sets

Problem: no reduction of **peak space requirement**

Idea of **improved algorithm** (see Aho/Lam/Sethi/Ullman: *Compilers: Principles, Techniques, and Tools*, 2nd ed., p. 270ff):

- 1 Represent each set of items by its **kernel**, i.e., by the items of the form $[S' \rightarrow \cdot S, \varepsilon]$ or $[A \rightarrow \beta_1 \cdot \beta_2, x]$ where $\beta_1 \neq \varepsilon$
- 2 Construct $LALR(1)$ kernels from $LR(0)$ kernels similarly to $LR(1)$ items
- 3 Compute $LALR(1)$ sets by taking the ε -closure

(applied in yacc parser generator)

- 1 Repetition: $[S]LR(1)$ Parsing
- 2 $LALR(1)$ Parsing
- 3 Bottom-Up Parsing of Ambiguous Grammars

Ambiguous Grammars

Reminder (Definition 5.6): a context-free grammar $G \in CFG_\Sigma$ is called **unambiguous** if every word $w \in L(G)$ has exactly one syntax tree. Otherwise it is called **ambiguous**.

Lemma 12.12

If $G \in CFG_\Sigma$ is ambiguous, then $G \notin \bigcup_{k \in \mathbb{N}} LR(k)$.

Proof.

Assume that there exist $k \in \mathbb{N}$ and $G \in LR(k)$ such that G is ambiguous. Hence there exists $w \in L(G)$ with different right derivations. Let αAv be the last common sentence of the two derivations (i.e., $\beta \neq \beta'$):

$$S \Rightarrow_r^* \alpha Av \begin{cases} \Rightarrow_r \alpha \beta v \Rightarrow_r^* w \\ \Rightarrow_r \alpha \beta' v \Rightarrow_r^* w \end{cases}$$

But since $\text{first}_k(v) = \text{first}_k(v)$ for every $v \in \Sigma^*$, Definition 9.7 yields that $\beta = \beta'$. Contradiction □

However ambiguity is a **natural specification method** which generally avoids involved syntactic constructs.

Bottom-Up Parsing of Ambiguous Grammars I

Example 12.13 (Simple arithmetic expressions)

$G : E' \rightarrow E \quad E \rightarrow E+E \mid E * E \mid a$

Precedence: $*$ $>$ $+$ **Associativity:** left

(thus: $a+a*a+a := (a+(a*a))+a$)

$LR(0)(G)$:

$I_0 := LR(0)(\varepsilon) :$ $[E' \rightarrow \cdot E]$ $[E \rightarrow \cdot E+E]$ $[E \rightarrow \cdot E * E]$ $[E \rightarrow \cdot a]$

$I_1 := LR(0)(E) :$ $[E' \rightarrow E \cdot]$ $[E \rightarrow E \cdot +E]$ $[E \rightarrow E \cdot * E]$

$I_2 := LR(0)(a) :$ $[E \rightarrow a \cdot]$

$I_3 := LR(0)(E+) :$ $[E \rightarrow E+ \cdot E]$ $[E \rightarrow \cdot E+E]$ $[E \rightarrow \cdot E * E]$ $[E \rightarrow \cdot a]$

$I_4 := LR(0)(E*) :$ $[E \rightarrow E* \cdot E]$ $[E \rightarrow \cdot E+E]$ $[E \rightarrow \cdot E * E]$ $[E \rightarrow \cdot a]$

$I_5 := LR(0)(E+E) :$ $[E \rightarrow E+E \cdot]$ $[E \rightarrow E \cdot +E]$ $[E \rightarrow E \cdot * E]$

$I_6 := LR(0)(E * E) :$ $[E \rightarrow E * E \cdot]$ $[E \rightarrow E \cdot +E]$ $[E \rightarrow E \cdot * E]$

Conflicts: I_1 : $SLR(1)$ -solvable (reduce on ε , shift on $+/*$)

I_5, I_6 : not $SLR(1)$ -solvable ($+, * \in \text{fo}(E)$)

Solution:

I_5 : $*$ $>$ $+$ $\implies \text{act}(I_5, *) := \text{shift}, + \text{ left assoc.} \implies \text{act}(I_5, +) := \text{red 1}$

I_6 : $*$ $>$ $+$ $\implies \text{act}(I_6, +) := \text{red 2}, * \text{ left assoc.} \implies \text{act}(I_6, *) := \text{red 2}$

Example 12.14 (“Dangling else”)

$G : S' \rightarrow S \quad S \rightarrow iSeS \mid iS \mid a$

Ambiguity: $iaea := (1) i(iaea)$ (common) or $(2) i(ia)ea$

$LR(0)(G)$:

$I_0 := LR(0)(\varepsilon) :$	$[S' \rightarrow \cdot S]$	$[S \rightarrow \cdot iSeS]$	$[S \rightarrow \cdot iS]$
	$[S \rightarrow \cdot a]$		
$I_1 := LR(0)(S) :$	$[S' \rightarrow S \cdot]$		
$I_2 := LR(0)(i) :$	$[S \rightarrow i \cdot SeS]$	$[S \rightarrow i \cdot S]$	$[S \rightarrow \cdot iSeS]$
	$[S \rightarrow \cdot iS]$	$[S \rightarrow \cdot a]$	
$I_3 := LR(0)(a) :$	$[S \rightarrow a \cdot]$		
$I_4 := LR(0)(iS) :$	$[S \rightarrow iS \cdot eS]$	$[S \rightarrow iS \cdot]$	
$I_5 := LR(0)(iSe) :$	$[S \rightarrow iSe \cdot S]$	$[S \rightarrow \cdot iSeS]$	$[S \rightarrow \cdot iS]$
	$[S \rightarrow \cdot a]$		
$I_6 := LR(0)(iSeS) :$	$[S \rightarrow iSeS \cdot]$		

Conflict in I_4 : $e \in \text{fo}(S) \implies$ not $SLR(1)$ -solvable

Solution (1): $\text{act}(I_4, e) := \text{shift}$