

# Compiler Construction

## Lecture 15: Semantic Analysis III (Circularity Test)

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- ① Repetition: Definition and Circularity of Attribute Grammars
- ② Testing Attribute Grammars for Circularity
- ③ The Circularity Test
- ④ Correctness and Complexity of the Circularity Test

# Formal Definition of Attribute Grammars

## Definition (Attribute grammar)

Let  $G = \langle N, \Sigma, P, S \rangle \in CFG_{\Sigma}$  with  $X := N \uplus \Sigma$ .

- Let  $Att = Syn \uplus Inh$  be a set of (synthesized or inherited) attributes, and let  $V = \bigcup_{\alpha \in Att} V^{\alpha}$  be a union of value sets.
- Let  $att : X \rightarrow 2^{Att}$  be an attribute assignment, and let  $syn(Y) := att(Y) \cap Syn$  and  $inh(Y) := att(Y) \cap Inh$  for every  $Y \in X$ .
- Every production  $\pi = Y_0 \rightarrow Y_1 \dots Y_r \in P$  determines the set

$$Var_{\pi} := \{\alpha.i \mid \alpha \in att(Y_i), i \in \{0, \dots, r\}\}$$

of attribute variables of  $\pi$  with the subsets of inner and outer variables:

$$In_{\pi} := \{\alpha.i \mid (i = 0, \alpha \in syn(Y_i)) \text{ or } (i \in [r], \alpha \in inh(Y_i))\}$$
$$Out_{\pi} := Var_{\pi} \setminus In_{\pi}$$

- A semantic rule of  $\pi$  is an equation of the form

$$\alpha.i = f(\alpha_1.i_1, \dots, \alpha_n.i_n)$$

where  $n \in \mathbb{N}$ ,  $\alpha.i \in In_{\pi}$ ,  $\alpha_j.i_j \in Out_{\pi}$ , and  $f : V^{\alpha_1} \times \dots \times V^{\alpha_n} \rightarrow V^{\alpha}$ .

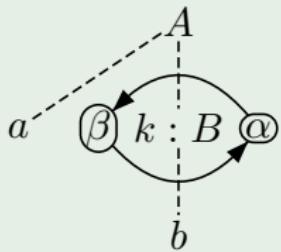
- For each  $\pi \in P$ , let  $E_{\pi}$  be a set with exactly one semantic rule for every inner variable of  $\pi$ , and let  $E := (E_{\pi} \mid \pi \in P)$ .

Then  $\mathfrak{A} := \langle G, E, V \rangle$  is called an attribute grammar:  $\mathfrak{A} \in AG$ .

## Example

- $A \rightarrow aB, B \rightarrow b \in P$
- $\alpha \in \text{syn}(B), \beta \in \text{inh}(B)$
- $\beta.2 = f(\alpha.2) \in E_{A \rightarrow aB}$
- $\alpha.0 = g(\beta.0) \in E_{B \rightarrow b}$

$\implies$  **cyclic dependency:**



$\implies$  for  $V^\alpha := V^\beta := \mathbb{N}$ ,  $g(x) := x$ , and

- $f(x) := x + 1$ : **no solution**
- $f(x) := 2x$ : **exactly one solution**  
 $(v(\alpha.k) = v(\beta.k) = 0)$
- $f(x) := x$ : **infinitely many solutions**  
 $(v(\alpha.k) = v(\beta.k) = y \text{ for any } y \in \mathbb{N})$

$$E_t : \begin{aligned} \beta.k &= f(\alpha.k) \\ \alpha.k &= g(\beta.k) \end{aligned}$$

**Goal:** **unique solvability** of equation system  
⇒ avoid cyclic dependencies

## Definition (Circularity)

An attribute grammar  $\mathfrak{A} = \langle G, E, V \rangle \in AG$  is called **circular** if there exists a syntax tree  $t$  such that the attribute equation system  $E_t$  is recursive (i.e., some attribute variable of  $t$  depends on itself). Otherwise it is called **noncircular**.

**Remark:** because of the division of  $Var_\pi$  into  $In_\pi$  and  $Out_\pi$ , cyclic dependencies cannot occur at production level (see Corollary 14.11).

# Attribute Dependency Graphs I

Just as the attribute equation system  $E_t$  of a syntax tree  $t$  is obtained from the semantic rules of the contributing productions, the dependency graph of  $t$  is obtained by “glueing together” the dependency graphs of the productions.

## Definition (Tree dependency graph)

Let  $\mathfrak{A} = \langle G, E, V \rangle \in AG$ , and let  $t$  be a syntax tree of  $G$ .

- The **dependency graph** of  $t$  is defined by  $D_t := \langle Var_t, \rightarrow_t \rangle$  where the set of edges  $\rightarrow_t \subseteq Var_t \times Var_t$  is given by
$$x \rightarrow_t y \quad \text{iff} \quad y = f(\dots, x, \dots) \in E_t.$$
- $D_t$  is called **cyclic** if there exists  $x \in Var_t$  such that  $x \rightarrow_t^+ x$ .

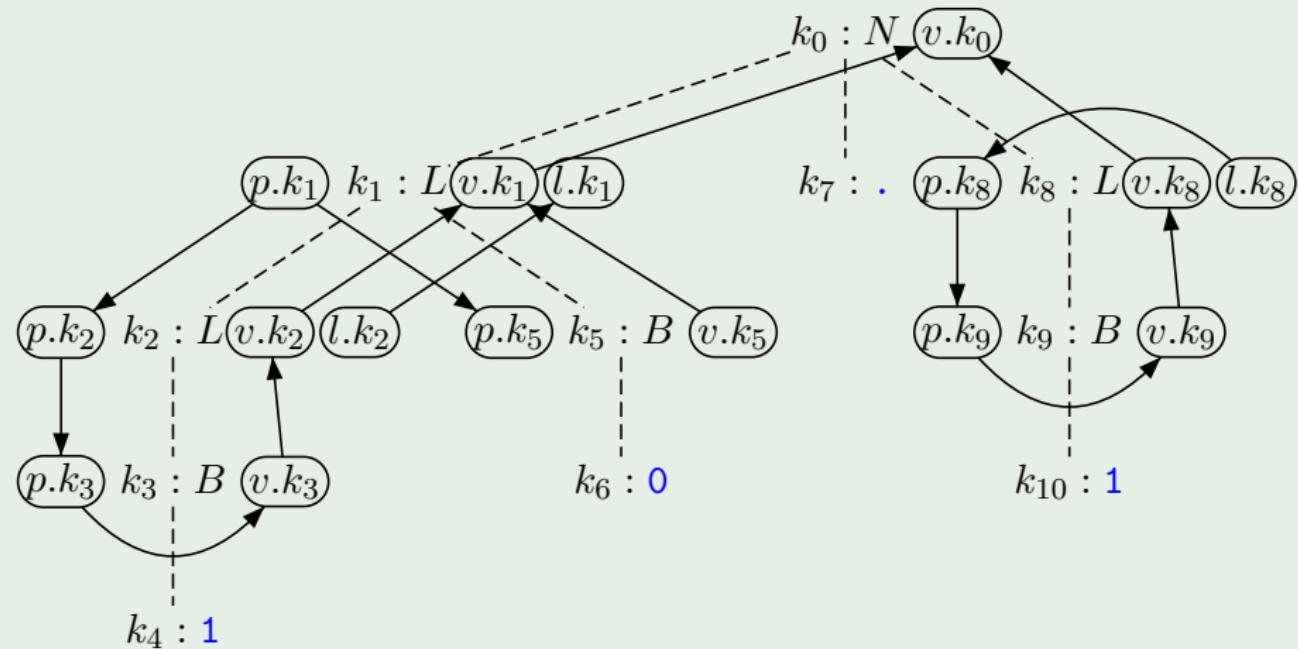
## Corollary

An attribute grammar  $\mathfrak{A} = \langle G, E, V \rangle \in AG$  is **circular** iff there exists a syntax tree  $t$  of  $G$  such that  $D_t$  is **cyclic**.

# Attribute Dependency Graphs II

Example (cf. Example 14.1)

(Acyclic) dependency graph of the syntax tree for 10.1:



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**Observation:** a cycle in the dependency graph  $D_t$  of a given syntax tree  $t$  is caused by the occurrence of a “cover” production

$\pi = A_0 \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$  in a node  $k_0$  of  $t$  such that

- the dependencies in  $E_{k_0}$  yield the “upper end” of the cycle and
- for at least one  $i \in [r]$ , some attributes in  $\text{syn}(A_i)$  depend on attributes in  $\text{inh}(A_i)$ .

## Example 15.1

on the board

To identify such “critical” situations we need to determine for each  $i \in [r]$  the possible ways in which attributes in  $\text{syn}(A_i)$  can depend on attributes in  $\text{inh}(A_i)$ .

## Definition 15.2 (Attribute dependence)

Let  $\mathfrak{A} = \langle G, E, V \rangle \in AG$  with  $G = \langle N, \Sigma, P, S \rangle$ .

- If  $t$  is a syntax tree with root label  $A \in N$  and root node  $k$ ,  $\alpha \in \text{syn}(A)$ , and  $\beta \in \text{inh}(A)$  such that  $\beta.k \rightarrow_t^+ \alpha.k$ , then  $\alpha$  is **dependent on  $\beta$  below  $A$  in  $t$**  (notation:  $\beta \xrightarrow{A} \alpha$ ).
- For every syntax tree  $t$  with root label  $A \in N$ ,  
$$\text{is}(A, t) := \{(\beta, \alpha) \in \text{inh}(A) \times \text{syn}(A) \mid \beta \xrightarrow{A} \alpha \text{ in } t\}.$$
- For every  $A \in N$ ,  
$$\begin{aligned} \text{IS}(A) &:= \{ \text{is}(A, t) \mid t \text{ syntax tree with root label } A \} \\ &\subseteq 2^{\text{Inh} \times \text{Syn}}. \end{aligned}$$

**Remark:** it is important that  $\text{IS}(A)$  is a **system** of attribute dependence sets, not a **union** (later: **strong noncircularity**).

## Example 15.3

on the board

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# The Circularity Test I

In the circularity test, the dependency systems  $IS(A)$  are iteratively computed. It employs the following notation:

## Definition 15.4

Given  $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$  and  $is_i \subseteq \text{inh}(A_i) \times \text{syn}(A_i)$  for every  $i \in [r]$ , let

$$is[\pi; is_1, \dots, is_r] \subseteq \text{inh}(A) \times \text{syn}(A)$$

be given by

$$is[\pi; is_1, \dots, is_r] := \left\{ (\beta, \alpha) \mid (\beta.0, \alpha.0) \in (\rightarrow_\pi \cup \bigcup_{i=1}^r \{(\beta'.p_i, \alpha'.p_i) \mid (\beta', \alpha') \in is_i\})^+ \right\}$$

where  $p_i := \sum_{j=1}^i |w_{j-1}| + i$ .

## Example 15.5

on the board

# The Circularity Test II

Algorithm 15.6 (Circularity test for attribute grammars)

**Input:**  $\mathfrak{A} = \langle G, E, V \rangle \in AG$  with  $G = \langle N, \Sigma, P, S \rangle$

**Procedure:** ① for every  $A \in N$ , *iteratively construct  $IS(A)$*  as follows:

- ① if  $\pi = A \rightarrow w \in P$ , then  $is[\pi] \in IS(A)$
- ② if  $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$  and  $is_i \in IS(A_i)$  for every  $i \in [r]$ , then  $is[\pi; is_1, \dots, is_r] \in IS(A)$

② *test whether  $\mathfrak{A}$  is circular* by checking if there exist  $\pi = A \rightarrow w_0 A_1 w_1 \dots A_r w_r \in P$  and  $is_i \in IS(A_i)$  for every  $i \in [r]$  such that the following relation is cyclic:

$$\rightarrow_\pi \cup \bigcup_{i=1}^r \{(\beta.p_i, \alpha.p_i) \mid (\beta, \alpha) \in is_i\}$$

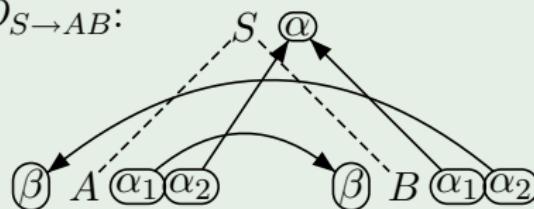
(where  $p_i := \sum_{j=1}^i |w_{j-1}| + i$ )

**Output:** “yes” or “no”

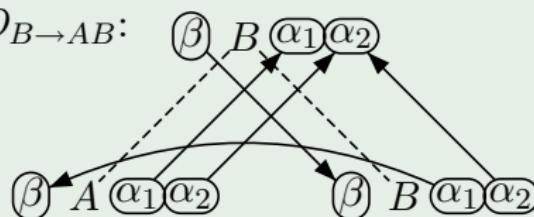
# The Circularity Test III

## Example 15.7

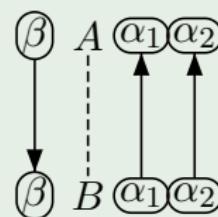
$D_{S \rightarrow AB}$ :



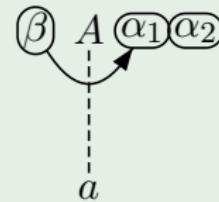
$D_{B \rightarrow AB}$ :



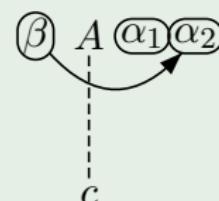
$D_{A \rightarrow B}$ :



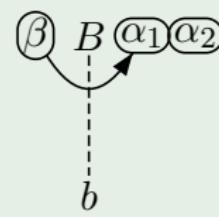
$D_{A \rightarrow a}$ :



$D_{A \rightarrow c}$ :



$D_{B \rightarrow b}$ :



Application of Algorithm 15.6: on the board

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Theorem 15.1 (Correctness of circularity test)

*An attribute grammar is circular iff Algorithm 15.6 yields the answer “yes”.*

Proof.

by induction on the syntax tree  $t$  with cyclic  $D_t$

□

Lemma 15.2

*The time complexity of the circularity test is **exponential** in the size of the attribute grammar (= maximal length of right-hand sides of productions).*

Proof.

by reduction of the word problem of alternating Turing machines (see  
M. Jazayeri: *A Simpler Construction for Showing the Intrinsically Exponential Complexity of the Circularity Problem for Attribute Grammars*, Comm. of the ACM 28(4), 1981, pp. 715–720)

□