

Compiler Construction

Lecture 19: Code Generation III (Translation to Intermediate Code)

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- 1 Repetition: Source and Intermediate Code
- 2 Translation of EPL into AM Programs
- 3 A Translation Example
- 4 Correctness of the Translation

Definition (Syntax of EPL)

The **syntax of EPL** is defined as follows:

$\mathbb{Z} :$ z (* z is an integer *)

$Ide :$ I (* I is an identifier *)

$AExp :$ $A ::= z \mid I \mid A_1 + A_2 \mid \dots$

$BExp :$ $B ::= A_1 < A_2 \mid \text{not } B \mid B_1 \text{ and } B_2 \mid B_1 \text{ or } B_2$

$Cmd :$ $C ::= I := A \mid C_1; C_2 \mid \text{if } B \text{ then } C_1 \text{ else } C_2 \mid$
 $\text{while } B \text{ do } C \mid I()$

$Dcl :$ $D ::= D_C D_V D_P$
 $D_C ::= \varepsilon \mid \text{const } I_1 := z_1, \dots, I_n := z_n;$
 $D_V ::= \varepsilon \mid \text{var } I_1, \dots, I_n;$
 $D_P ::= \varepsilon \mid \text{proc } I_1; K_1; \dots; I_n; K_n;$

$Block :$ $K ::= D C$

$Pgm :$ $P ::= \text{in/out } I_1, \dots, I_n; K.$

Example

```
in/out x;  
const c = 10;  
var y;  
proc P;  
  var y, z;  
  proc Q;  
    var x, z;  
    [... z := 1; P() ...]  
  [... P() ... R() ...]  
proc R;  
  [... P() ...]  
[... x := 0; P() ...] .
```

- “Innermost” principle
- Static scoping: body of **P** can refer to **x**, **y**, **z**
- Later declaration: call of **R** in **P** followed by declaration (in Pascal: **forward** declarations for one-pass compilation)

(omitting the details)

- To “run” a program, execute the main block in the **state** which is given by the input values
- Consequently, an EPL program $P = \text{in/out } I_1, \dots, I_n; K. \in Pgm$ has as **semantics** a function

$$\mathfrak{M}[[P]] : \mathbb{Z}^n \dashrightarrow \mathbb{Z}^n$$

The Abstract Machine AM

Definition (Abstract machine for EPL)

The **abstract machine for EPL (AM)** is defined by the **state space**

$$S := PC \times DS \times PS$$

with

- the **program counter** $PC := \mathbb{N}$,
- the **data stack** $DS := \mathbb{Z}^*$ (top of stack to the right), and
- the **procedure stack** (or: **runtime stack**) $PS := \mathbb{Z}^*$ (top of stack to the left).

Thus a state $s = (l, d, p) \in S$ is given by

- a program label $l \in PC$,
- a data stack $d = d.r : \dots : d.1 \in DS$, and
- a procedure stack $p = p.1 : \dots : p.t \in PS$.

Definition (AM instructions)

The set of **AM instructions** is divided into

arithmetic instructions: **ADD**, **MULT**, ...

Boolean instructions: **NOT**, **AND**, **OR**, **LT**, ...

jumping instructions: **JMP**(*ca*), **JFALSE**(*ca*) (*ca* $\in PC$)

procedure instructions: **CALL**(*ca*, *dif*, *loc*) (*ca* $\in PC$, *dif*, *loc* $\in \mathbb{N}$), **RET**

transfer instructions: **LOAD**(*dif*, *off*), **STORE**(*dif*, *off*) (*dif*, *off* $\in \mathbb{N}$),
LIT(*z*) (*z* $\in \mathbb{Z}$)

Structure of Procedure Stack I

The semantics of procedure and transfer instructions requires a particular structure of the procedure stack $p \in PS$: it must be composed of **frames** (or: **activation records**) of the form

$$sl : dl : ra : v_1 : \dots : v_k$$

where

static link sl : points to frame of surrounding declaration environment
 $\implies$ used to access non-local variables

dynamic link dl : points to previous frame (i.e., of calling procedure)
 $\implies$ used to remove topmost frame after termination of procedure call

return address ra : program label after termination of procedure call
 $\implies$ used to continue program execution after termination of procedure call

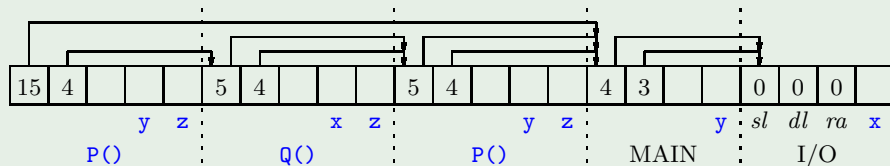
local variables v_i : values of locally declared variables

Structure of Procedure Stack II

Example (cf. Example 19.2)

```
in/out x;  
const c = 10;  
var y;  
proc P;  
  var y, z;  
  proc Q;  
    var x, z;  
    [... P() ...]  
  [... Q() ...]  
proc R;  
  [... P() ...]  
  [... P() ...].
```

Procedure stack after second call of **P**:



Semantics of Procedure Instructions

- **CALL**(*ca*, *dif*, *loc*) with
 - **code address** $ca \in PC$
 - **level difference** $dif \in \mathbb{N}$
 - **number of local variables** $loc \in \mathbb{N}$

creates the new frame and **jumps** to the given address
(= starting address of procedure)

- **RET removes** the topmost frame and returns to the calling site

Definition (Semantics of procedure instructions)

The **semantics of a procedure instruction** O , $\llbracket O \rrbracket : S \dashrightarrow S$, is defined as follows:

$$\begin{aligned} \llbracket \text{CALL}(ca, dif, loc) \rrbracket(l, d, p) \\ &:= (ca, d, \underbrace{(\text{base}(p, dif) + loc + 2)}_{sl} : \underbrace{(loc + 2)}_{dl} : \underbrace{(l + 1)}_{ra} : \underbrace{0 : \dots : 0}_{loc \text{ times}} : p) \\ \llbracket \text{RET} \rrbracket(l, d, p.1 : \dots : p.t) \\ &:= (\underbrace{p.3}_{ra}, d, p.(\underbrace{p.2}_{dl} + 2) : \dots : p.t) \quad \text{if } t \geq p.2 + 2 \end{aligned}$$

Semantics of Transfer Instructions

- $\text{LOAD}(dif, off)$ and $\text{STORE}(dif, off)$ with
 - level difference $dif \in \mathbb{N}$
 - variable offset $off \in \mathbb{N}$

respectively load and store variable values between data and procedure stack, following a chain of dif static links

- $\text{LIT}(z)$ loads the literal constant $z \in \mathbb{Z}$

Definition (Semantics of transfer instructions)

The semantics of a transfer instruction O , $\llbracket O \rrbracket : S \dashrightarrow S$, is defined as follows:

$$\begin{aligned}\llbracket \text{LOAD}(dif, off) \rrbracket(l, d, p) &:= (l + 1, d : p.(\text{base}(p, dif) + off + 2), p) \\ \llbracket \text{STORE}(dif, off) \rrbracket(l, d : z, p) &:= (l + 1, d, p[\text{base}(p, dif) + off + 2 \mapsto z]) \\ \llbracket \text{LIT}(z) \rrbracket(l, d, p) &:= (l + 1, d : z, p)\end{aligned}$$

Definition (Semantics of AM programs)

An **AM program** is a sequence of $k \geq 1$ labeled AM instructions:

$$P = a_1 : O_{a_1}; \dots; a_k : O_{a_k}$$

where $a_i \in PC$ with $a_i = a_1 + i - 1$ for every $i \in [k]$. The set of all AM programs is denoted by AM .

The **semantics of AM programs** is determined by

$$\llbracket . \rrbracket : AM \times S \dashrightarrow S$$

with

$$\llbracket P \rrbracket(l, d, p) := \begin{cases} \llbracket P \rrbracket(\llbracket O_l \rrbracket(l, d, p)) & \text{if } l \in \{a_1, \dots, a_k\} \\ (l, d, p) & \text{otherwise} \end{cases}$$

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Translation of EPL into AM Programs

Goal: define **translation mapping**

$$\text{trans} : Pgm \dashrightarrow AM$$

The translation employs a **symbol table**:

$$\begin{aligned} Tab := \{st \mid st : Ide \dashrightarrow (\{ \text{const} \} \times \mathbb{Z}) \\ \cup (\{ \text{var} \} \times Lev \times Off) \\ \cup (\{ \text{proc} \} \times PC \times Lev \times Size)\} \end{aligned}$$

whose entries are created by declarations and are used for translating commands:

variable declarations: **declaration level** $lev \in Lev := \mathbb{N}$,

offset $off \in Off := \mathbb{N}$

(offset and difference between usage and declaration level
determine procedure stack entry)

procedure declarations: **code address** $ca \in PC$, **declaration level**

$lev \in Lev$, **number of local variables** $loc \in Size := \mathbb{N}$

Maintaining the Symbol Table

The symbol table is maintained by the function $\text{update}(D, \text{st}, l)$ which specifies the update of symbol table st according to declaration D (with respect to current level l):

Definition 19.1 (update function)

$$\text{update} : Dcl \times Tab \times Lev \dashrightarrow Tab$$

is defined by

$$\begin{aligned} & \text{update}(D_C \ D_V \ D_P, \text{st}, l) \\ & \quad := \text{update}(D_P, \text{update}(D_V, \text{update}(D_C, \text{st}, l), l), l) \\ & \quad \quad \text{if all identifiers in } D_C \ D_V \ D_P \text{ different} \\ & \text{update}(\varepsilon, \text{st}, l) \\ & \quad := \text{st} \\ & \text{update}(\text{const } I_1 := z_1, \dots, I_n := z_n; \text{st}, l) \\ & \quad := \text{st}[I_1 \mapsto (\text{const}, z_1), \dots, I_n \mapsto (\text{const}, z_n)] \\ & \text{update}(\text{var } I_1, \dots, I_n; \text{st}, l) \\ & \quad := \text{st}[I_1 \mapsto (\text{var}, l, 1), \dots, I_n \mapsto (\text{var}, l, n)] \\ & \text{update}(\text{proc } I_1; K_1; \dots; I_n; K_n; \text{st}, l) \\ & \quad := \text{st}[I_1 \mapsto (\text{proc}, a_1, l, \text{size}(K_1)), \dots, I_n \mapsto (\text{proc}, a_n, l, \text{size}(K_n))] \\ & \quad \quad \text{with “fresh” addresses } a_1, \dots, a_n \\ & \quad \quad \text{where } \text{size}(D_C \ \text{var } I_1, \dots, I_n; D_P C) := n \end{aligned}$$

The Initial Symbol Table

An EPL program $P = \text{in/out } I_1, \dots, I_n; K. \in \text{Pgm}$ has a **semantics** of type $\mathbb{Z}^n \dashrightarrow \mathbb{Z}^n$.

Given $(z_1, \dots, z_n) \in \mathbb{Z}^n$, we choose the **initial state**

$$s := (1, \varepsilon, \underbrace{0 : 0 : 0 : z_1 : \dots : z_n}_{\text{I/O frame}}) \in S = PC \times DS \times PS$$

Thus the corresponding **initial symbol table** has n entries:

$$\text{st}_{I/O}(I_j) := (\text{var}, 0, j) \quad \text{for every } j \in [n]$$

Translation of Programs

Translation of `in/out  $I_1, \dots, I_n; D C$` .:

- 1 Create MAIN frame for executing C
- 2 Stop program execution after return

Definition 19.2 (Translation of programs)

The mapping

$$\text{trans} : Pgm \dashrightarrow AM$$

is defined by

$$\begin{aligned} \text{trans}(\text{in/out } I_1, \dots, I_n; K.) &:= 1 : \text{CALL}(a, 0, \text{size}(K)); \\ &\quad 2 : \text{JMP}(0); \\ &\quad \text{kt}(K, \text{st}_{I/O}, a, 1) \end{aligned}$$

Translation of Blocks

Translation of $D\ C$:

- 1 Update symbol table according to D
- 2 Create code for procedures declared in D
(using the updated symbol table – recursion!)
- 3 Create code for C (using the updated symbol table)

Definition 19.3 (Translation of blocks)

The mapping

$$kt : Block \times Tab \times PC \times Lev \dashrightarrow AM$$

(“block translation”) is defined by

$$\begin{aligned} kt(D\ C, st, a, l) := & \text{dt}(D, \text{update}(D, st, l), l) \\ & \text{ct}(C, \text{update}(D, st, l), a, l) \\ & a' : \text{RET}; \end{aligned}$$

Translation of Declarations

Translation of D :

- Generate code for the procedures declared in D

Definition 19.4 (Translation of declarations)

The mapping

$$dt : Dcl \times Tab \times Lev \dashrightarrow AM$$

(“declaration translation”) is defined by

$$dt(D_C \ D_V \ D_P, st, l)$$

$$:= dt(D_P, st, l)$$

$$dt(\varepsilon, st, l)$$

$$:= \varepsilon$$

$$dt(\text{proc } I_1; K_1; \dots; I_n; K_n; , st, l)$$

$$:= kt(K_1, st, a_1, l + 1)$$

$$\vdots$$

$$kt(K_n, st, a_n, l + 1)$$

where $st(I_j) = (\text{proc}, a_j, \dots, \dots)$ for every $j \in [n]$

Definition 19.5 (Translation of commands)

The mapping

$$ct : Cmd \times Tab \times PC \times Lev \dashrightarrow AM$$

(“command translation”) is defined by

$$\begin{aligned} ct(I := A, st, a, l) &:= at(A, st, a, l) \\ &\quad a' : \text{STORE}(l - lev, off); \\ &\quad \text{if } st(I) = (\text{var}, lev, off) \\ ct(I(), st, a, l) &:= a : \text{CALL}(ca, l - lev, loc); \\ &\quad \text{if } st(I) = (\text{proc}, ca, lev, loc) \\ ct(C_1; C_2, st, a, l) &:= ct(C_1, st, a, l) \\ &\quad ct(C_2, st, a', l) \\ ct(\text{if } B \text{ then } C_1 \text{ else } C_2, st, a, l) &:= bt(B, st, a, l) \\ &\quad a' : \text{JFALSE}(a''); \\ &\quad ct(C_1, st, a' + 1, l) \\ &\quad a'' - 1 : \text{JMP}(a'''); \\ &\quad ct(C_2, st, a'', l) \\ &\quad a''' : \\ ct(\text{while } B \text{ do } C, st, a, l) &:= bt(B, st, a, l) \\ &\quad a' : \text{JFALSE}(a'' + 1); \\ &\quad ct(C, st, a' + 1, l) \\ &\quad a'' : \text{JMP}(a); \end{aligned}$$

Translation of Boolean Expressions

Definition 19.6 (Translation of Boolean expressions)

The mapping

$$\text{bt} : BExp \times Tab \times PC \times Lev \dashrightarrow AM$$

(“Boolean expression translation”) is defined by

$$\begin{aligned} \text{bt}(A_1 < A_2, \text{st}, a, l) &:= \text{at}(A_1, \text{st}, a, l) \\ &\quad \text{at}(A_2, \text{st}, a', l) \\ &\quad a'' : \text{LT}; \end{aligned}$$

$$\begin{aligned} \text{bt}(\text{not } B, \text{st}, a, l) &:= \text{bt}(B, \text{st}, a, l) \\ &\quad a' : \text{NOT}; \end{aligned}$$

$$\begin{aligned} \text{bt}(B_1 \text{ and } B_2, \text{st}, a, l) &:= \text{bt}(B_1, \text{st}, a, l) \\ &\quad \text{bt}(B_2, \text{st}, a', l) \\ &\quad a'' : \text{AND}; \end{aligned}$$

$$\begin{aligned} \text{bt}(B_1 \text{ or } B_2, \text{st}, a, l) &:= \text{bt}(B_1, \text{st}, a, l) \\ &\quad \text{bt}(B_2, \text{st}, a', l) \\ &\quad a'' : \text{OR}; \end{aligned}$$

Translation of Arithmetic Expressions

Definition 19.7 (Translation of arithmetic expressions)

The mapping

$$at : AExp \times Tab \times PC \times Lev \dashrightarrow AM$$

(“arithmetic expression translation”) is defined by

$$at(z, st, a, l) := a : \text{LIT}(z);$$

$$at(I, st, a, l) := \begin{cases} a : \text{LIT}(z); & \text{if } st(I) = (\text{const}, z) \\ a : \text{LOAD}(l - lev, off); & \text{if } st(I) = (\text{var}, lev, off) \end{cases}$$

$$at(A_1 + A_2, st, a, l) := \begin{array}{l} at(A_1, st, a, l) \\ at(A_2, st, a', l) \\ a'' : \text{ADD}; \end{array}$$

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Example: Factorial Function I

Example 19.8 (Factorial function)

Source code:

```
in/out x;
var y;
proc F;
  if x > 1 then
    y := y * x;
    x := x - 1;
    F()
  y := 1;
  F();
  x := y.
```

$\text{trans}(\text{in/out } I_1, \dots, I_n; K.) :=$

1 : $\text{CALL}(a, 0, \text{size}(K))$; $\text{kt}(D \ C, \text{st}, a, l) :=$

2 : $\text{JMP}(0)$;

$\text{kt}(K, \text{st}_{I/O}, a, 1)$

$\text{dt}(D, \text{update}(D, \text{st}, l), l)$

$\text{ct}(C, \text{update}(D, \text{st}, l), a, l)$

$a' : \text{RET};$

$\text{kt}(K_1, \text{st}, a_1, l + 1)$

$\vdots$

$\text{kt}(K_n, \text{st}, a_n, l + 1)$

Intermediate code:

$\text{trans}(\text{in/out } x; K.)$ 1 : $\text{CALL}(a_0, 0, 1)$;

2 : $\text{JMP}(0)$;

$\text{kt}(K, \text{st}_{I/O}, a_0, 1)$

1 : $\text{CALL}(a_0, 0, 1)$;

2 : $\text{JMP}(0)$;

$\text{dt}(D, \text{update}(D, \text{st}, l), l)$

$\text{ct}(C, \text{update}(D, \text{st}, l), a, l)$

$a_2 : \text{RET};$

1 : $\text{CALL}(a_0, 0, 1)$;

2 : $\text{JMP}(0)$;

$\text{dt}(D, \text{st}', 1)$

$\text{ct}(C, \text{st}', a_0, 1)$

$a_2 : \text{RET};$

1 : $\text{CALL}(a_0, 0, 1)$;

2 : $\text{JMP}(0)$;

$\text{kt}(K_F, \text{st}', a_1, 2)$

$\text{ct}(C, \text{st}', a_0, 1)$

$a_2 : \text{RET};$

1 : $\text{CALL}(a_0, 0, 1)$;

2 : $\text{JMP}(0)$;

$\text{ct}(C_F, \text{st}', a_1, 2)$

$a_3 : \text{RET};$

Example: Factorial Function II

Example 19.8 (Factorial function; continued)

Code with symbolic addresses:

```
1 : CALL(a0,0,1);
2 : JMP(0);
a1 : LOAD(2,1);
      LIT(1);
      GT;
a4 : JFALSE(a3);
      LOAD(1,1);
      LOAD(2,1);
      MULT;
      STORE(1,1);
      LOAD(2,1);
      LIT(1);
      SUB;
      STORE(2,1);
      CALL(a1,1,0);
a3 : RET;
a0 : LIT(1);
      STORE(0,1);
      CALL(a1,0,0);
      LOAD(0,1);
      STORE(1,1);
a2 : RET;
```

Linearized

($a_0 = 17, a_1 = 3, a_2 = 22, a_3 = 16, a_4 = 6$):

```
1 : CALL(17,0,1);
2 : JMP(0);
3 : LOAD(2,1);
4 : LIT(1);
5 : GT;
6 : JFALSE(16);
7 : LOAD(1,1);
8 : LOAD(2,1);
9 : MULT;
10 : STORE(1,1);
11 : LOAD(2,1);
12 : LIT(1);
13 : SUB;
14 : STORE(2,1);
15 : CALL(3,1,0);
16 : RET;
17 : LIT(1);
18 : STORE(0,1);
19 : CALL(3,0,0);
20 : LOAD(0,1);
21 : STORE(1,1);
22 : RET;
```

Example: Factorial Function III

Example 19.8 (Factorial function; continued)

Computation for $x = 2$:	<i>PC</i>	<i>DS</i>	<i>PS</i>
1 : CALL(17,0,1);	1	ε	0 : 0 : 0 : 2
2 : JMP(0);	17	ε	4 : 3 : 2 : 0 : 0 : 0 : 0 : 2
3 : LOAD(2,1);	18	1	4 : 3 : 2 : 0 : 0 : 0 : 0 : 2
4 : LIT(1);	19	ε	4 : 3 : 2 : 1 : 0 : 0 : 0 : 2
5 : GT;	3	ε	3 : 2 : 20 : 4 : 3 : 2 : 1 : 0 : 0 : 0 : 2
6 : JFALSE(16);	4	2	3 : 2 : 20 : 4 : 3 : 2 : 1 : 0 : 0 : 0 : 2
7 : LOAD(1,1);	5	2 : 1	3 : 2 : 20 : 4 : 3 : 2 : 1 : 0 : 0 : 0 : 2
8 : LOAD(2,1);	6	1	3 : 2 : 20 : 4 : 3 : 2 : 1 : 0 : 0 : 0 : 2
9 : MULT;	7	ε	3 : 2 : 20 : 4 : 3 : 2 : 1 : 0 : 0 : 0 : 2
10 : STORE(1,1);	8	1	3 : 2 : 20 : 4 : 3 : 2 : 1 : 0 : 0 : 0 : 2
11 : LOAD(2,1);	9	1 : 2	3 : 2 : 20 : 4 : 3 : 2 : 1 : 0 : 0 : 0 : 2
12 : LIT(1);	10	2	3 : 2 : 20 : 4 : 3 : 2 : 1 : 0 : 0 : 0 : 2
13 : SUB;	11	ε	3 : 2 : 20 : 4 : 3 : 2 : 2 : 0 : 0 : 0 : 2
14 : STORE(2,1);	12	2	3 : 2 : 20 : 4 : 3 : 2 : 2 : 0 : 0 : 0 : 2
15 : CALL(3,1,0);	13	2 : 1	3 : 2 : 20 : 4 : 3 : 2 : 2 : 0 : 0 : 0 : 2
16 : RET;	14	1	3 : 2 : 20 : 4 : 3 : 2 : 2 : 0 : 0 : 0 : 2
17 : LIT(1);	15	ε	3 : 2 : 20 : 4 : 3 : 2 : 2 : 0 : 0 : 0 : 1
18 : STORE(0,1);	3	ε	6 : 2 : 16 : 3 : 2 : 20 : 4 : 3 : 2 : 2 : 0 : 0 : 0 : 1
19 : CALL(3,0,0);	4	1	6 : 2 : 16 : 3 : 2 : 20 : 4 : 3 : 2 : 2 : 0 : 0 : 0 : 1
20 : LOAD(0,1);	5	1 : 1	6 : 2 : 16 : 3 : 2 : 20 : 4 : 3 : 2 : 2 : 0 : 0 : 0 : 1
21 : STORE(1,1);	6	0	6 : 2 : 16 : 3 : 2 : 20 : 4 : 3 : 2 : 2 : 0 : 0 : 0 : 1
22 : RET;	16	ε	6 : 2 : 16 : 3 : 2 : 20 : 4 : 3 : 2 : 2 : 0 : 0 : 0 : 1
	16	ε	3 : 2 : 20 : 4 : 3 : 2 : 2 : 0 : 0 : 0 : 1
	20	ε	4 : 3 : 2 : 2 : 0 : 0 : 0 : 1
	21	2	4 : 3 : 2 : 2 : 0 : 0 : 0 : 1
	22	ε	4 : 3 : 2 : 2 : 0 : 0 : 0 : 2
	2	ε	0 : 0 : 0 : 2
	0	ε	0 : 0 : 0 : 2

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Theorem 19.9 (Correctness of translation)

For every $P \in Pgm$, $n \in \mathbb{N}$, and $(z_1, \dots, z_n), (z'_1, \dots, z'_n) \in \mathbb{Z}^n$:

$$\begin{aligned} & \mathfrak{M}[[P]](z_1, \dots, z_n) = (z'_1, \dots, z'_n) \\ \iff & [[\text{trans}(P)]](1, \varepsilon, 0 : 0 : 0 : z_1 : \dots : z_n) = (0, \varepsilon, 0 : 0 : 0 : z'_1 : \dots : z'_n) \end{aligned}$$

Proof.

see M. Mohnen: *A Compiler Correctness Proof for the Static Link Technique by means of Evolving Algebras*, Fundamenta Informaticae 29(3), 1997, pp. 257–303 □