

# Compiler Construction

## Lecture 16: Code Generation I (Intermediate Code)

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(Software Modeling and Verification)

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<http://www-i2.informatik.rwth-aachen.de/i2/cc12/>

Summer Semester 2012

# Online Registration for Seminars and Practical Courses (Praktika) in Winter Term 2012/13

## Who?

Students of: • Master Courses  
                  • Bachelor Informatik (~~Pro~~Seminar!)

## Where?

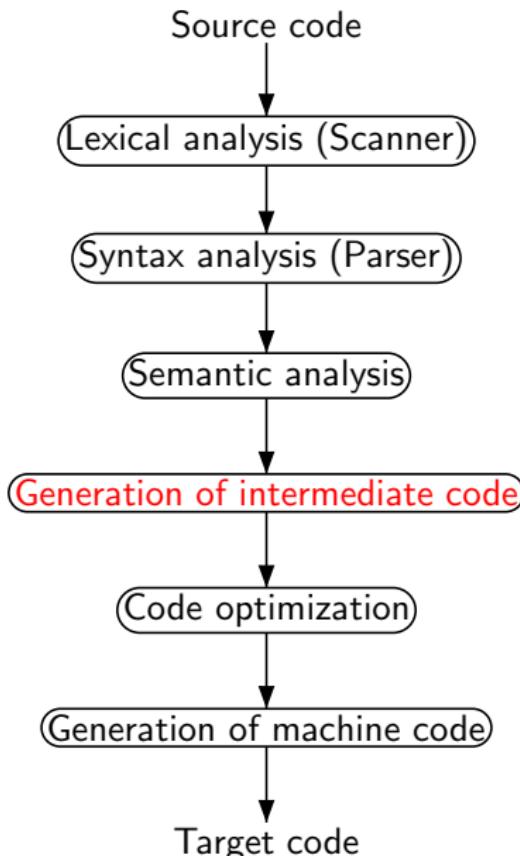
web-info8.informatik.rwth-aachen.de/apse

## When?

18.06.2012 - 01.07.2012

- 1 Generation of Intermediate Code
- 2 The Example Programming Language EPL
- 3 Semantics of EPL
- 4 Intermediate Code for EPL
- 5 The Procedure Stack

# Conceptual Structure of a Compiler



**Splitting** of code generation for programming language PL:

PL  $\xrightarrow{\text{trans}}$  IC  $\xrightarrow{\text{code}}$  MC

Frontend: `trans` generates **machine-independent intermediate code (IC)**  
for abstract (stack) machine

Backend: `code` generates **actual machine code (MC)**

# Modularization of Code Generation I

**Splitting** of code generation for programming language PL:

$$\text{PL} \xrightarrow{\text{trans}} \text{IC} \xrightarrow{\text{code}} \text{MC}$$

Frontend: `trans` generates **machine-independent intermediate code (IC)**  
for abstract (stack) machine

Backend: `code` generates **actual machine code (MC)**

**Advantages:** IC machine independent  $\implies$

**Portability:** much easier to write IC compiler/interpreter for a new  
machine (as opposed to rewriting the whole compiler)

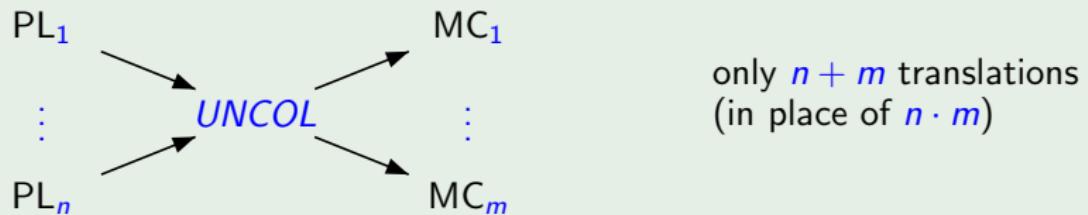
**Fast compiler implementation:** generating IC much easier than generating  
MC

**Code size:** IC programs usually smaller than corresponding MC programs

**Code optimization:** division into machine-independent and  
machine-dependent parts

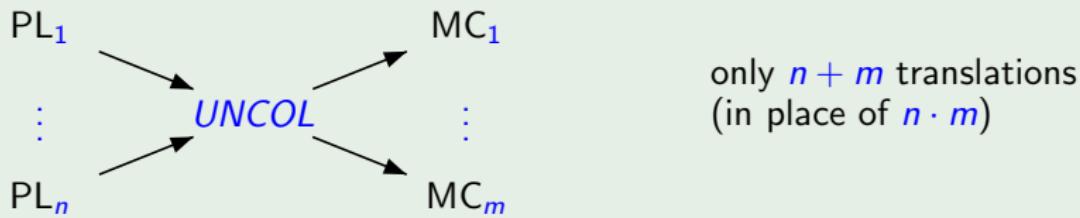
## Example 16.1

① UNiversal Computer-Oriented Language (UNCOL;  $\approx$  1960; <http://en.wikipedia.org/wiki/UNCOL>):  
**universal** intermediate language for compilers (never fully specified or implemented; too ambitious)



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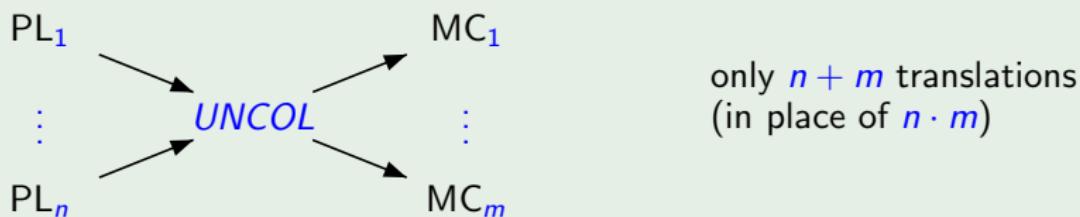
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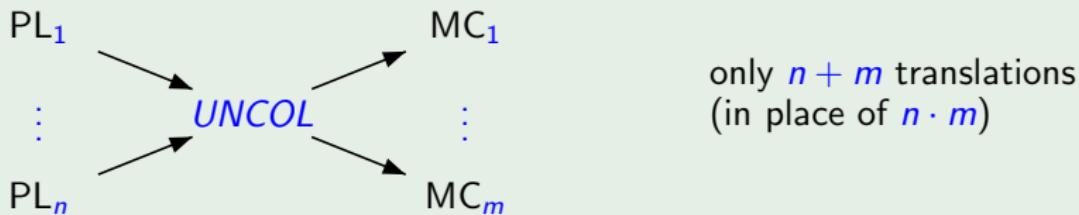
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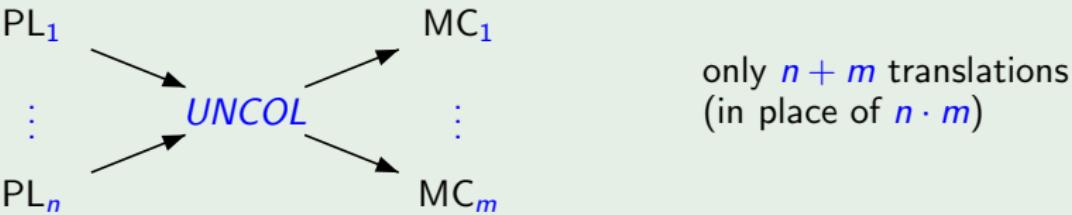
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only  $n + m$  translations  
(in place of  $n \cdot m$ )

## Structures in high-level programming languages:

- Basic data types and basic operations
- Static and dynamic data structures
- Expressions and assignments
- Control structures (sequences, branching statements, loops, ...)
- Procedures and functions
- Modularity: blocks, modules, and classes

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## Use of procedures and blocks:

- FORTRAN: non-recursive and non-nested procedures  
    ⇒ **static** memory management (requirements determined at compile time)
- C: recursive and non-nested procedures  
    ⇒ dynamic memory management using **runtime stack** (requirements only known at runtime), no static links
- Algol-like languages (Pascal, Modula): recursive and nested procedures  
    ⇒ dynamic memory management using **runtime stack with static links**
- Object-oriented languages (C++, Java): object creation and removal  
    ⇒ dynamic memory management using **heap**

**Structures in machine code:** (von Neumann/SISD)

**Memory hierarchy:** accumulators, registers, cache, main memory, background storage

**Instruction types:** arithmetic/Boolean/... operation, test/jump instruction, transfer instruction, I/O instruction, ...

**Addressing modes:** direct/indirect, absolute/relative, ...

**Architectures:** RISC (few [fast but simple] instructions, many registers), CISC (many [complex but slow] instructions, few registers)

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**Structures in intermediate code:**

- **Data types and operations** like PL
- **Data stack** with basic operations
- **Jumping instructions** for control structures
- **Runtime stack** for blocks, procedures, and static data structures
- **Heap** for dynamic data structures

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## Structures of EPL:

- Only integer and Boolean **values**
- Arithmetic and Boolean **expressions** with strict and non-strict semantics
- **Control structures**: sequence, branching, iteration
- Nested **blocks** and recursive **procedures** with local and global variables  
( $\Rightarrow$  dynamic memory management using runtime stack with static links)
- (not considered: procedure **parameters** and [dynamic] **data structures**)

## Definition 16.2 (Syntax of EPL)

The **syntax of EPL** is defined as follows:

$\mathbb{Z} : z$  (\*  $z$  is an integer \*)

$lde : I$  (\*  $I$  is an identifier \*)

$AExp : A ::= z \mid I \mid A_1 + A_2 \mid \dots$

$BExp : B ::= A_1 < A_2 \mid \text{not } B \mid B_1 \text{ and } B_2 \mid B_1 \text{ or } B_2$

$Cmd : C ::= I := A \mid C_1; C_2 \mid \text{if } B \text{ then } C_1 \text{ else } C_2 \mid \text{while } B \text{ do } C \mid I()$

$Dcl : D ::= D_C \ D_V \ D_P$   
 $D_C ::= \epsilon \mid \text{const } I_1 := z_1, \dots, I_n := z_n;$   
 $D_V ::= \epsilon \mid \text{var } I_1, \dots, I_n;$   
 $D_P ::= \epsilon \mid \text{proc } I_1; K_1; \dots; \text{proc } I_n; K_n;$

$Blk : K ::= D \ C$

$Pgm : P ::= \text{in/out } I_1, \dots, I_n; K.$

## Example 16.3 (Factorial function)

```
in/out x;
      var y;
      proc F;
          if x > 1 then
              y := y * x;
              x := x - 1;
              F()
          y := 1;
          F();
          x := y.
```

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Each usage in a command  $C$  refers to the **“innermost” declaration**.
- **Static scoping**: the usage of an identifier in the body of a called procedure refers to its declaration environment (and not to its calling environment).

## Example 16.4

```
in/out x;
  const c = 10;
  var y;
  proc P;
    var y, z;
    proc Q;
      var x, z;
      [... z := 1; P() ...]
      [... P() ... R() ...]
    proc R;
      [... P() ...]
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- “Innermost” principle
- **Static scoping**: body of P can refer to x, y, z

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- “Innermost” principle
- Static scoping: body of **P** can refer to **x**, **y**, **z**
- **Later declaration**: call of **R** in **P** followed by declaration (in Pascal: **forward** declarations for one-pass compilation)

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  - composition  $C_1; C_2$ : sequential execution
  - branching  $\text{if } B \text{ then } C_1 \text{ else } C_2$ : test of  $B$ , followed by jump to respective branch
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- Consequently, an EPL program  $P = \text{in/out } I_1, \dots, I_n; K. \in Pgm$  has as **semantics** a function

$$\mathfrak{M}[P] : \mathbb{Z}^n \dashrightarrow \mathbb{Z}^n$$

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Example 16.5 (Factorial function; cf. Example 16.3)

here  $n = 1$  and  $\mathfrak{M}[P](x) = x!$  (where  $x! := 1$  for  $x \leq 1$ )

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## Definition 16.6 (Abstract machine for EPL)

The abstract machine for EPL (AM) is defined by the state space

$$S := PC \times DS \times PS$$

with

- the program counter  $PC := \mathbb{N}$ ,
- the data stack  $DS := \mathbb{Z}^*$  (top of stack to the right), and
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Thus a state  $s = (l, d, p) \in S$  is given by

- a program label  $l \in PC$ ,
- a data stack  $d = d.r : \dots : d.1 \in DS$ , and
- a procedure stack  $p = p.1 : \dots : p.t \in PS$ .

## Definition 16.7 (AM instructions)

The set of **AM instructions** is divided into

arithmetic instructions: **ADD**, **MULT**, ...

Boolean instructions: **NOT**, **AND**, **OR**, **LT**, ...

jumping instructions: **JMP**(*ca*), **JFALSE**(*ca*) (*ca*  $\in$  *PC*)

procedure instructions: **CALL**(*ca*, *dif*, *loc*) (*ca*  $\in$  *PC*, *dif*, *loc*  $\in$   $\mathbb{N}$ ), **RET**

transfer instructions: **LOAD**(*dif*, *off*), **STORE**(*dif*, *off*) (*dif*, *off*  $\in$   $\mathbb{N}$ ),  
**LIT**(*z*) (*z*  $\in$   $\mathbb{Z}$ )

## Definition 16.8 (Semantics of AM instructions (1st part))

The semantics of an AM instruction  $O$

$$\llbracket O \rrbracket : S \dashrightarrow S$$

is defined as follows:

$$\llbracket \text{ADD} \rrbracket(l, d : z_1 : z_2, p) := (l + 1, d : z_1 + z_2, p)$$

$$\llbracket \text{NOT} \rrbracket(l, d : b, p) := (l + 1, d : \neg b, p) \quad \text{if } b \in \{0, 1\}$$

$$\llbracket \text{AND} \rrbracket(l, d : b_1 : b_2, p) := (l + 1, d : b_1 \wedge b_2, p) \quad \text{if } b_1, b_2 \in \{0, 1\}$$

$$\llbracket \text{OR} \rrbracket(l, d : b_1 : b_2, p) := (l + 1, d : b_1 \vee b_2, p) \quad \text{if } b_1, b_2 \in \{0, 1\}$$

$$\llbracket \text{LT} \rrbracket(l, d : z_1 : z_2, p) := \begin{cases} (l + 1, d : 1, p) & \text{if } z_1 < z_2 \\ (l + 1, d : 0, p) & \text{if } z_1 \geq z_2 \end{cases}$$

$$\llbracket \text{JMP}(ca) \rrbracket(l, d, p) := (ca, d, p)$$

$$\llbracket \text{JFALSE}(ca) \rrbracket(l, d : b, p) := \begin{cases} (ca, d, p) & \text{if } b = 0 \\ (l + 1, d, p) & \text{if } b = 1 \end{cases}$$

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# Structure of Procedure Stack I

The semantics of procedure and transfer instructions requires a particular structure of the procedure stack  $p \in PS$ : it must be composed of **frames** (or: **activation records**) of the form

$$sl : dl : ra : v_1 : \dots : v_k$$

where

static link  $sl$ : points to frame of surrounding declaration environment  
     $\Rightarrow$  used to access non-local variables

dynamic link  $dl$ : points to previous frame (i.e., of calling procedure)  
     $\Rightarrow$  used to remove topmost frame after termination of procedure call

return address  $ra$ : program label after termination of procedure call  
     $\Rightarrow$  used to continue program execution after termination of procedure call

local variables  $v_i$ : values of locally declared variables

- Frames are **created** whenever a procedure call is performed
- Two **special frames**:

I/O frame: for keeping values of **in/out** variables  
( $sl = dl = ra = 0$ )

MAIN frame: for keeping values of top-level block  
( $sl = dl = \text{I/O frame}$ )

## Example 16.9 (cf. Example 16.4)

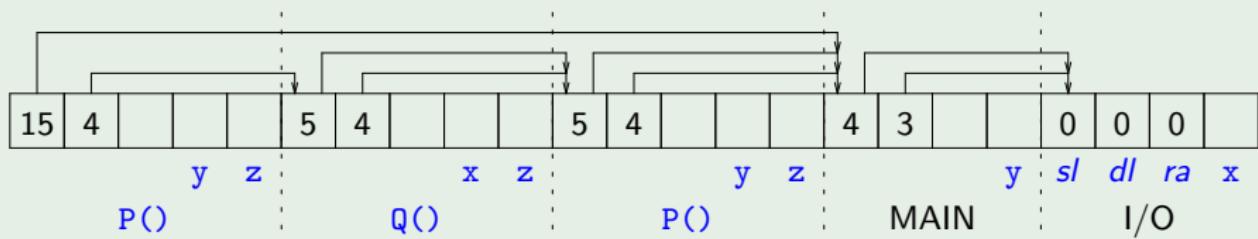
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# Structure of Procedure Stack III

Example 16.9 (cf. Example 16.4)

```
in/out x;  
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```

Procedure stack after second call of P:



## Observation:

- The usage of a variable in a procedure body refers to its **innermost declaration**.
- If the level difference between the usage and the declaration is *dif*, then a **chain of *dif* static links** has to be followed to access the corresponding frame.

# Structure of Procedure Stack IV

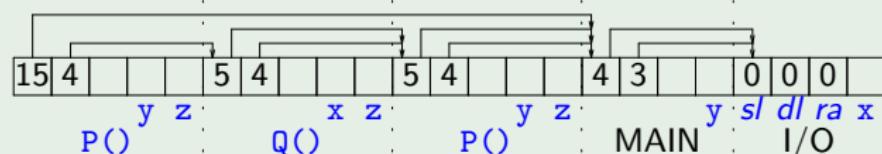
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```

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# Structure of Procedure Stack IV

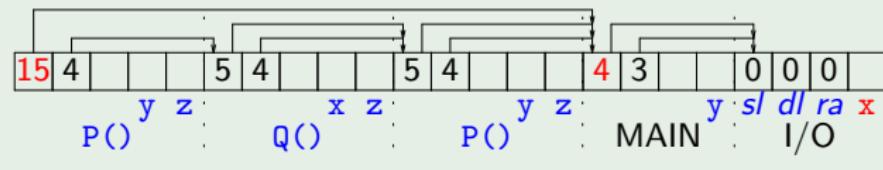
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Procedure stack after second call of P:



P uses x  $\Rightarrow$  *dif* = 2

# Structure of Procedure Stack IV

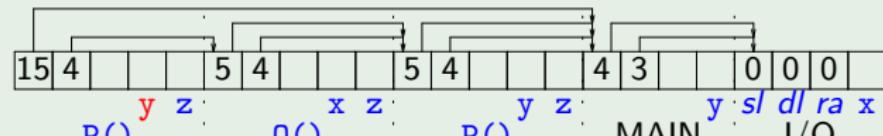
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    [... x ... y ... Q() ...]  
  proc R;  
    [... P() ...]  
  [... P() ...].
```

Procedure stack after second call of P:



P uses y  $\Rightarrow$  *dif* = 0

Upon procedure call, the static link information is computed by the following auxiliary function which, given a procedure stack and a level difference, determines the begin of the corresponding frame.

## Definition 16.11 (base function)

The function

$$\text{base} : PS \times \mathbb{N} \rightarrow \mathbb{N}$$

is given by  $\text{base}(p, 0) := 1$

$$\text{base}(p, \text{dif} + 1) := \text{base}(p, \text{dif}) + p.\text{base}(p, \text{dif})$$

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## Example 16.12 (cf. Example 16.10)

In the second call of  $P$  (from  $Q$ ):  $\text{dif} = 2$

$$\text{base}(p, 0) = 1$$

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The function

$$\text{base} : PS \times \mathbb{N} \rightarrow \mathbb{N}$$

is given by  $\text{base}(p, 0) := 1$

$$\text{base}(p, \text{dif} + 1) := \text{base}(p, \text{dif}) + p.\text{base}(p, \text{dif})$$

## Example 16.12 (cf. Example 16.10)

In the second call of  $P$  (from  $Q$ ):  $\text{dif} = 2$

$$\begin{aligned} \text{base}(p, 0) &= 1 \\ \implies \text{base}(p, 1) &= 1 + p.1 = 6 \end{aligned}$$

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# The base Function

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$$\implies sl = \text{base}(p, 2) + \underbrace{2}_{y,z} + \underbrace{2}_{ra,dl} = 15$$