

# Compiler Construction

## Lecture 1: Introduction

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(Software Modeling and Verification)

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<http://www-i2.informatik.rwth-aachen.de/i2/cc12/>

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## 1 Preliminaries

## 2 Introduction

- Lectures:
  - Thomas Noll ([noll@cs.rwth-aachen.de](mailto:noll@cs.rwth-aachen.de))
  - Uwe Naumann ([naumann@stce.rwth-aachen.de](mailto:naumann@stce.rwth-aachen.de))
- Exercise classes:
  - Friedrich Gretz ([fgretz@cs.rwth-aachen.de](mailto:fgretz@cs.rwth-aachen.de))
  - Christina Jansen ([christina.jansen@cs.rwth-aachen.de](mailto:christina.jansen@cs.rwth-aachen.de))

- Evaluation of exercises
- Organizational support
- 12 hrs/week contract
- Previous CC lecture **not** a prerequisite (but of course helpful)

- **BSc Informatik:**
  - Wahlpflichtfach Theorie
- **MSc Informatik:**
  - Theoretische Informatik
- **MSc Software Systems Engineering:**
  - Theoretical Foundations of SSE (was: Theoretical CS)
- **Diplomstudiengang Informatik:**
  - Theoretische (+ Praktische) Informatik
  - Vertiefungsfach *Formale Methoden, Programmiersprachen und Softwarevalidierung*
  - Combination with Katoen, Thomas, Vöcking, ...; Kobbelt, Seidl, ...

- What **you** can expect:
  - how to implement (imperative) programming languages
  - application of theoretical concepts
  - compiler = example of a complex software architecture
  - gaining experience with tool support
- What **we** expect: basic knowledge in
  - imperative programming languages
  - algorithms and data structures
  - formal languages and automata theory

- **Schedule:**
  - Lecture Wed 10:00–11:30 AH 6 (starting 4 April)
  - Lecture Thu 15:00–16:30 AH 5 (starting 5 April)
  - Exercise class Mon 10:00–11:30 AH 2 (starting 16 April)
  - see overview at <http://www-i2.informatik.rwth-aachen.de/i2/cc12/>
- **1st assignment sheet** next week, presented 16 April
- Work on assignments in **groups of three**
- **Written exams** (2 h) on Thu 12 July/Mon 24 September
  - for BSc/MSc candidates (6 credits)
  - for Diplom candidates (Übungsschein)
- **Admission** requires at least 50% of the points in the exercises
- Written material in **English**, lecture and exercise classes in **German**, rest up to you

## 1 Preliminaries

## 2 Introduction

**Compiler** = Program: Source code → Target code

Source code: in **high-level programming language**, tailored to problem

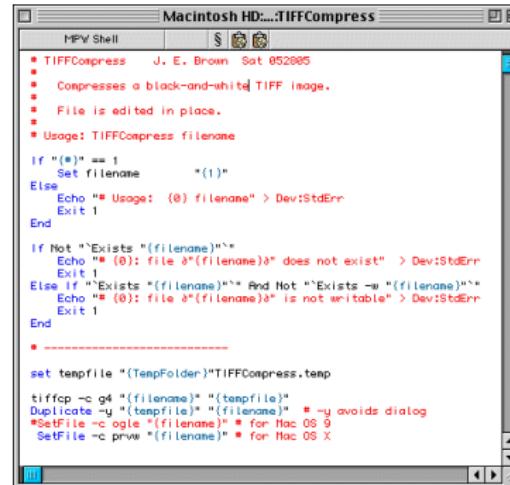
- imperative vs. declarative (functional, logic) vs. object-oriented
- sequential vs. concurrent

Target code: usually **byte/assembly/machine code**, tailored to machine

- architecture dependent (RISC/CISC/parallel)

## Programming language interpreters

- Ad-hoc implementation of small programs in **scripting languages** (perl, bash, ...)
- Programs usually **interpreted**, i.e., executed stepwise
- Moreover: many non-scripting languages involve interpreters (e.g., JVM as byte code interpreter)



The image shows a Macintosh HD:...:TIFFCompress window with a shell script. The script is as follows:

```
#!/bin/sh
# TIFFCompress  J. E. Brown Sat 052005
# Compresses a black-and-white TIFF image.
# File is edited in place.
# Usage: TIFFCompress filename
if "()" == 1
  Set filename      "(1)"
else
  Echo "# Usage: (0) filename" > Dev:StdErr
  Exit 1
End
If Not "Exists "(filename)"^"
  Echo "# (0): file &(filename)& does not exist" > Dev:StdErr
  Exit 1
Else If "Exists "(filename)"^" And Not "Exists -w "(filename)"^"
  Echo "# (0): file &(filename)& is not writable" > Dev:StdErr
  Exit 1
End
#
set tempfile "(TempFolder)TIFFCompress.temp
tiffcp -g4 "(filename)" "(tempfile)"
Duplicate -u "(tempfile)" "(filename)" # -u avoids dialog
SetFile -n ogle "(filename)" # for Mac OS 9
SetFile -n priv "(filename)" # for Mac OS X
```

## Web browsers

- Receive **HTML (XML)** pages from web server
- Analyse (**parse**) data and **translate** it to graphical representation

```
1  <!DOCTYPE html PUBLIC "-//W3C//DTD HTML
2  <html>
3      <head>
4          <title>Example</title>
5          <link href="screen.css" rel="sty
6      </head>
7      <body>
8          <h1>
9              <a href="/">Header</a>
10         </h1>
11         <ul id="nav">
12             <li>
13                 <a href="one/">One</a>
14             </li>
15             <li>
16                 <a href="two/">Two</a>
17             </li>
```

## Text processors

- **LATEX** = “programming language” for texts of various kinds
- Translated to DVI, PDF, ...

```
\documentclass[12pt]{article}
%options include 12pt or 11pt or 10pt
%classes include article, report, book, letter, thesis
\title{This is the title}
\author{Author One \\ Author Two}
\date{\today}
\begin{document}
\maketitle
This is the content of this document.
This is the 2nd paragraph.
Here is an inline formula:
$V=\frac{4}{3}\pi r^3
And appearing immediately below
is a displayed formula:
$$V=\frac{4}{3}\pi r^3$$
\end{document}
```

# Properties of a Good Compiler

## Correctness

**Goals:** **conformance** to source and target language specifications;  
“**equivalence**” of source and target code

- compiler validation and verification
- proof-carrying code, ...

## Efficiency of generated code

**Goal:** target code as **fast** and/or **memory efficient** as possible

- program analysis and optimization

## Efficiency of compiler

**Goal:** translation process as **fast** and/or **memory efficient** as possible (for inputs of arbitrary size)

- fast (linear-time) algorithms
- sophisticated data structures

**Remark:** mutual tradeoffs!

# Aspects of a Programming Language

Syntax: “How does a program look like?”

- hierarchical composition of programs from structural components

Semantics: “What does this program mean?”

“Static semantics”: properties which are not (easily) definable in syntax  
(declaredness of identifiers, type correctness, ...)

“Dynamic semantics”: execution evokes state transformations of an  
(abstract) machine

Pragmatics

- length and understandability of programs
- learnability of programming language
- appropriateness for specific applications
- ...

## Example

- ① From NASA's Mercury Project: FORTRAN `DO` loop
  - `DO 5 K = 1,3`: DO loop with index variable `K`
  - `DO 5 K = 1.3`: assignment to (`real`) variable `D05K`
- ② How often is the following loop traversed?

```
for i := 2 to 1 do ...
```

FORTRAN IV: once

PASCAL: never

- ③ What if `p = nil` in the following program?

```
while p <> nil and p^.key < val do ...
```

Pascal: strict Boolean operations  $\frac{1}{2}$

Modula: non-strict Boolean operations ✓

# Historical Development

Code generation: since 1940s

- ad-hoc techniques
- concentration on back-end
- first FORTRAN compiler in 1960

Formal syntax: since 1960s

- LL/LR parsing
- shift towards front-end
- semantics defined by compiler/interpreter

Formal semantics: since 1970s

- operational
- denotational
- axiomatic
- see course *Semantics and Verification of Software*

Automatic compiler generation: since 1980s

- [f]lex, yacc, ANTLR, action semantics, ...
- see <http://catalog.compilertools.net/>

## Lexical analysis (Scanner):

- recognition of symbols, delimiters, and comments
- by regular expressions and finite automata

## Syntax analysis (Parser):

- determination of hierarchical program structure
- by context-free grammars and pushdown automata

## Semantic analysis:

- checking context dependencies, data types, ...
- by attribute grammars

## Generation of intermediate code:

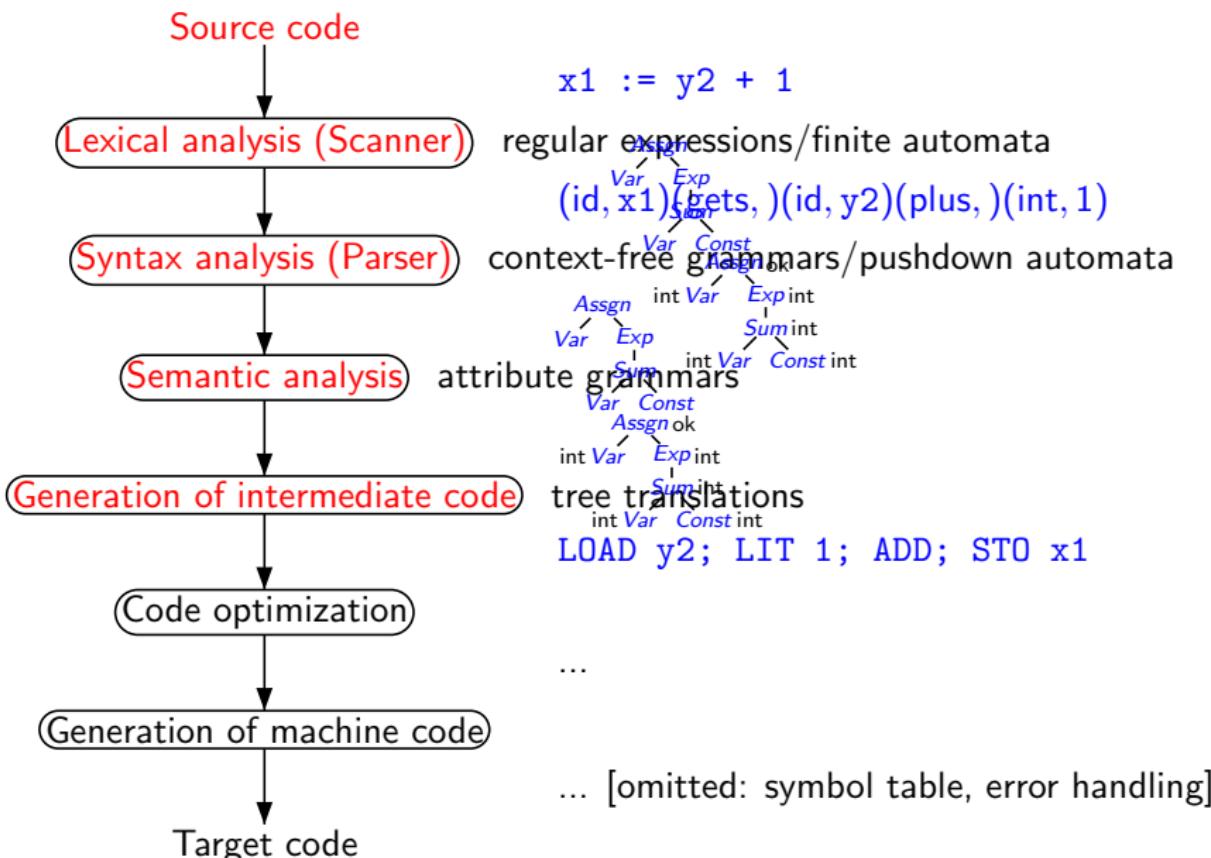
- translation into (target-independent) intermediate code
- by tree translations

**Code optimization:** to improve runtime and/or memory behavior

**Generation of target code:** tailored to target system

**Additionally:** optimization of target code, symbol table, error handling

# Conceptual Structure of a Compiler



# Classification of Compiler Phases

## Analysis vs. synthesis

**Analysis:** lexical/syntax/semantic analysis  
(determination of syntactic structure, error handling)

**Synthesis:** generation of (intermediate/machine) code + optimization

## Front-end vs. back-end

**Front-end:** machine-independent parts  
(analysis + intermediate code + machine-independent optimizations)

**Back-end:** machine-dependent parts  
(generation + optimization of machine code)

## Historical: *n*-pass compiler

- $n$  = number of runs through source program
- nowadays mainly one-pass

(CS Library: "Handapparat *Softwaremodellierung und Verifikation*" )

## General

- A.V. Aho, M.S. Lam, R. Sethi, J.D. Ullman: *Compilers – Principles, Techniques, and Tools*; 2nd ed., Addison-Wesley, 2007
- A.W. Appel, J. Palsberg: *Modern Compiler Implementation in Java*, Cambridge University Press, 2002
- D. Grune, H.E. Bal, C.J.H. Jacobs, K.G. Langendoen: *Modern Compiler Design*, Wiley & Sons, 2000
- R. Wilhelm, D. Maurer: *Übersetzerbau*, 2. Auflage, Springer, 1997

## Special

- O. Mayer: *Syntaxanalyse*, BI-Wissenschafts-Verlag, 1978
- D. Brown, R. Levine T. Mason: *lex & yacc*, O'Reilly, 1995
- T. Parr: *The Definite ANTLR Reference*, Pragmatic Bookshelf, 2007

## Historical

- W. Waite, G. Goos: *Compiler Construction*, 2nd edition, Springer, 1985
- N. Wirth: *Grundlagen und Techniken des Compilerbaus*, Addison-Wesley, 1996