

# Concurrency Theory

## Lecture 6: Application to Hennessy-Milner Logic

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- 1 Recap: Fixed-Point Theory
- 2 The Fixed-Point Theorem for Finite Lattices
- 3 Largest Fixed Points and Invariants

## Definition (Partial order)

A **partial order (PO)**  $(D, \sqsubseteq)$  consists of a set  $D$ , called **domain**, and of a relation  $\sqsubseteq \subseteq D \times D$  such that, for every  $d_1, d_2, d_3 \in D$ ,

reflexivity:  $d_1 \sqsubseteq d_1$

transitivity:  $d_1 \sqsubseteq d_2$  and  $d_2 \sqsubseteq d_3 \implies d_1 \sqsubseteq d_3$

antisymmetry:  $d_1 \sqsubseteq d_2$  and  $d_2 \sqsubseteq d_1 \implies d_1 = d_2$

It is called **total** if, in addition, always  $d_1 \sqsubseteq d_2$  or  $d_2 \sqsubseteq d_1$ .

## Example

- ①  $(\mathbb{N}, \leq)$  is a total partial order
- ②  $(\mathbb{N}, <)$  is not a partial order (since not reflexive)
- ③  $(2^{\mathbb{N}}, \subseteq)$  is a (non-total) partial order
- ④  $(\Sigma^*, \sqsubseteq)$  is a (non-total) partial order, where  $\Sigma$  is some alphabet and  $\sqsubseteq$  denotes prefix ordering ( $u \sqsubseteq v \iff \exists w \in \Sigma^* : uw = v$ )

# Upper and Lower Bounds

Definition ((Least) upper bounds and (greatest) lower bounds)

Let  $(D, \sqsubseteq)$  be a partial order and  $T \subseteq D$ .

- ① An element  $d \in D$  is called an **upper bound** of  $T$  if  $t \sqsubseteq d$  for every  $t \in T$  (notation:  $T \sqsubseteq d$ ). It is called **least upper bound (LUB)** (or **supremum**) of  $T$  if additionally  $d \sqsubseteq d'$  for every upper bound  $d'$  of  $T$  (notation:  $d = \sqcup T$ ).
- ② An element  $d \in D$  is called an **lower bound** of  $T$  if  $d \sqsubseteq t$  for every  $t \in T$  (notation:  $d \sqsubseteq T$ ). It is called **greatest lower bound (GLB)** (or **infimum**) of  $T$  if  $d' \sqsubseteq d$  for every lower bound  $d'$  of  $T$  (notation:  $d = \sqcap T$ ).

## Example

- ①  $T \subseteq \mathbb{N}$  has a LUB in  $(\mathbb{N}, \leq)$  iff it is finite
- ② In  $(2^{\mathbb{N}}, \subseteq)$ , every subset  $T \subseteq 2^{\mathbb{N}}$  has an LUB and GLB:

$$\sqcup T = \bigcup T \quad \text{and} \quad \sqcap T = \bigcap T$$

## Definition (Complete lattice)

A **complete lattice** is a partial order  $(D, \sqsubseteq)$  such that all subsets of  $D$  have LUBs and GLBs. In this case,

$$\perp := \bigcap D \quad \text{and} \quad \top := \bigcup D$$

respectively denote the **least and greatest element** of  $D$ .

## Example

- ①  $(\mathbb{N}, \leq)$  is not a complete lattice as, e.g.,  $\mathbb{N}$  does not have a LUB
- ②  $(\mathbb{N} \cup \{\infty\}, \leq)$  with  $n \leq \infty$  for all  $n \in \mathbb{N}$  is a complete lattice
- ③  $(2^{\mathbb{N}}, \subseteq)$  is a complete lattice

## Lemma

Let  $(S, Act, \rightarrow)$  be an LTS. Then  $(2^S, \subseteq)$  is a complete lattice with

- $\bigsqcup \mathcal{T} = \bigcup \mathcal{T} = \bigcup_{T \in \mathcal{T}} T$  for all  $\mathcal{T} \subseteq 2^S$
- $\bigsqcap \mathcal{T} = \bigcap \mathcal{T} = \bigcap_{T \in \mathcal{T}} T$  for all  $\mathcal{T} \subseteq 2^S$
- $\perp = \bigsqcap 2^S = \emptyset$
- $\top = \bigsqcup 2^S = S$

## Proof.

omitted



## Definition (Monotonicity)

Let  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$  be partial orders. A function  $f : D \rightarrow D'$  is called **monotonic** (w.r.t.  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$ ) if, for every  $d_1, d_2 \in D$ ,

$$d_1 \sqsubseteq d_2 \implies f(d_1) \sqsubseteq' f(d_2).$$

## Example

- ①  $f_1 : \mathbb{N} \rightarrow \mathbb{N} : n \mapsto n^2$  is monotonic w.r.t.  $(\mathbb{N}, \leq)$
- ②  $f_2 : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}} : T \mapsto T \cup \{1, 2\}$  is monotonic w.r.t.  $(2^{\mathbb{N}}, \subseteq)$
- ③ Let  $\mathcal{T} := \{T \subseteq \mathbb{N} \mid T \text{ finite}\}$ . Then  $f_3 : \mathcal{T} \rightarrow \mathbb{N} : T \mapsto \sum_{n \in T} n$  is monotonic w.r.t.  $(2^{\mathbb{N}}, \subseteq)$  and  $(\mathbb{N}, \leq)$ .
- ④  $f_4 : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}} : T \mapsto \mathbb{N} \setminus T$  is not monotonic w.r.t.  $(2^{\mathbb{N}}, \subseteq)$  (since, e.g.,  $\emptyset \subseteq \mathbb{N}$  but  $f_4(\emptyset) = \mathbb{N} \not\subseteq f_4(\mathbb{N}) = \emptyset$ ).



Alfred Tarski (1901–1983)

## Theorem (Tarski's fixed-point theorem)

Let  $(D, \sqsubseteq)$  be a complete lattice and  $f : D \rightarrow D$  monotonic. Then  $f$  has a least fixed point  $\text{fix}(f)$  and a greatest fixed point  $\text{FIX}(f)$  given by

$$\text{fix}(f) = \bigcap \{d \in D \mid f(d) \sqsubseteq d\} \quad (\text{GLB of all pre-fixed points of } f)$$

$$\text{FIX}(f) = \bigcup \{d \in D \mid d \sqsubseteq f(d)\} \quad (\text{LUB of all post-fixed points of } f)$$

Proof.

on the board



- 1 Recap: Fixed-Point Theory
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# The Fixed-Point Theorem for Finite Lattices

Theorem 6.1 (Fixed-point theorem for finite lattices)

Let  $(D, \sqsubseteq)$  be a finite complete lattice and  $f : D \rightarrow D$  monotonic. Then

$$\text{fix}(f) = f^m(\perp) \quad \text{and} \quad \text{FIX}(f) = f^M(\top)$$

for some  $m, M \in \mathbb{N}$  where

$$f^0(d) := d \quad \text{and} \quad f^{k+1}(d) := f(f^k(d)).$$

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on the board



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on the board □

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- $f^0(\top) = \{0, 1\}, f^1(\top) = \{0, 1\} = f^0(\top)$   
 $\implies \text{FIX}(f) = \{0, 1\}$  for  $M = 1$

## Lemma 6.3

Let  $(S, Act, \longrightarrow)$  be an LTS and  $F \in \text{HMF}_X$ . Then

- ①  $\llbracket F \rrbracket : 2^S \rightarrow 2^S$  is monotonic w.r.t.  $(2^S, \subseteq)$

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If, in addition,  $S$  is finite, then

- ④  $\text{fix}(\llbracket F \rrbracket) = \llbracket F \rrbracket^m(\emptyset)$  for some  $m \in \mathbb{N}$
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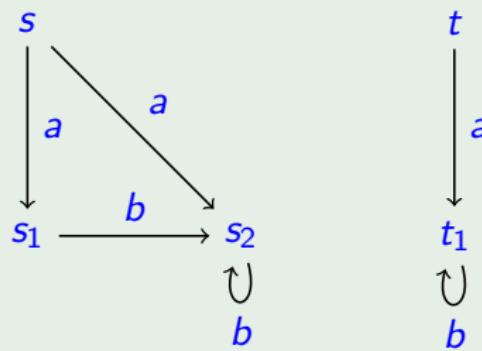
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## Proof.

- ① by induction on the structure of  $F$  (details omitted)
- ② by Lemma 5.7 and Theorem 5.12
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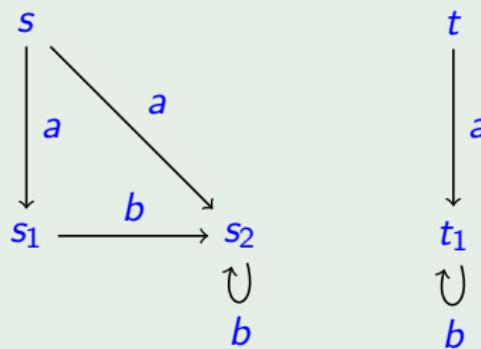


## Example 6.4



Let  $S := \{s, s_1, s_2, t, t_1\}$ .

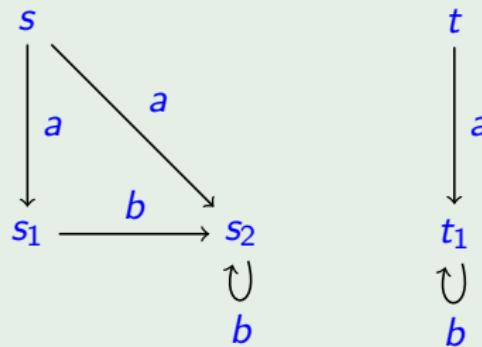
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- ① Solution of  $X \stackrel{\text{max}}{=} \langle b \rangle tt \wedge [b]X$ : on the board
- ② Solution of  $Y \stackrel{\text{min}}{=} \langle b \rangle tt \vee \langle \{a, b\} \rangle Y$ : on the board

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- Remember (Example 4.7):
  - **Invariant:**  $\text{Inv}(F) \equiv X$  for  $F \in \text{HMF}$  and  $X \stackrel{\text{max}}{\equiv} F \wedge [\text{Act}]X$
  - $s \models \text{Inv}(F)$  if all states reachable from  $s$  satisfy  $F$

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- Let  $inv : 2^S \rightarrow 2^S : T \mapsto \llbracket F \rrbracket \cap [\cdot Act \cdot]T$  be the corresponding semantic function
- By Theorem 5.12,  $\text{FIX}(inv) = \bigcup\{T \subseteq S \mid T \subseteq inv(T)\}$

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- By Theorem 5.12,  $FIX(inv) = \bigcup \{T \subseteq S \mid T \subseteq inv(T)\}$
- Direct formulation of invariance property:

$$Inv = \{s \in S \mid \forall w \in Act^*, s' \in S : s \xrightarrow{w} s' \implies s' \in \llbracket F \rrbracket\}$$

# Largest Fixed Points and Invariants

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For every LTS  $(S, Act, \rightarrow)$ ,  $Inv = FIX(inv)$  holds.

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on the board

