

# Concurrency Theory

## Lecture 9: Extensions of CCS: Value Passing and Mobility

Joost-Pieter Katoen    Thomas Noll

Lehrstuhl für Informatik 2  
(Software Modeling and Verification)



[{katoen,noll}@cs.rwth-aachen.de](mailto:{katoen,noll}@cs.rwth-aachen.de)

<http://www-i2.informatik.rwth-aachen.de/i2/ct13/>

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- 1 Syntax of Value-Passing CCS
- 2 Semantics of Value-Passing CCS
- 3 Translation of Value-Passing into Pure CCS
- 4 Modelling Mobile Concurrent Systems
- 5 Another Example: Mobile Clients

- **So far:** pure CCS
  - communication = mere synchronisation
  - no (explicit) exchange of data
- **But:** processes usually **do** pass around data

⇒ value-passing CCS

- Introduced in Robin Milner: *Communication and Concurrency*, Prentice-Hall, 1989
- Assumption (for simplicity): only **integers** as data type

## Example 9.1 (One-place buffer with data (cf. Example 2.5))

One-place buffer that outputs successor of stored value:

$$\begin{aligned} B &= in(x).B'(x) \\ B'(x) &= \overline{out}(x+1).B \end{aligned}$$

## Definition 9.2 (Syntax of value-passing CCS)

- Let  $A$ ,  $\bar{A}$ ,  $Pid$  (ranked) as in Definition 2.1.
- Let  $e$  and  $b$  respectively stand for integer and Boolean expressions, built from integer variables  $x, y, \dots$
- The set  $Prc^+$  of **value-passing process expressions** is defined by:

$P ::=$	$\text{nil}$	(inaction)
	$a(x).P$	(input prefixing)
	$\bar{a}(e).P$	(output prefixing)
	$\tau.P$	( $\tau$ prefixing)
	$P_1 + P_2$	(choice)
	$P_1 \parallel P_2$	(parallel composition)
	$P \setminus L$	(restriction)
	$P[f]$	(relabelling)
	<b>if <math>b</math> then <math>P</math></b>	(conditional)
	$C(e_1, \dots, e_n)$	(process call)

where  $a \in A$ ,  $L \subseteq A$ ,  $C \in Pid$  (of rank  $n \in \mathbb{N}$ ), and  $f : A \rightarrow A$ .

## Definition 9.2 (Syntax of value-passing CCS; continued)

A **value-passing process definition** is an equation system of the form

$$(C_i(x_1, \dots, x_{n_i}) = P_i \mid 1 \leq i \leq k)$$

where

- $k \geq 1$ ,
- $C_i \in \text{Pid}$  of rank  $n_i$  (pairwise distinct),
- $P_i \in \text{Prc}^+$  (with process identifiers from  $\{C_1, \dots, C_k\}$ ), and
- all occurrences of a integer variable  $y$  in each  $P_i$  are **bound**, i.e.,  $y \in \{x_1, \dots, x_{n_i}\}$  or  $y$  is in the scope of an input prefix of the form  $a(y)$  (to ensure well-definedness of values).

## Example 9.3

- 1  $C(x) = \bar{a}(x + 1).b(y).C(y)$  is allowed
- 2  $C(x) = \bar{a}(x + 1).\bar{a}(y + 1).\text{nil}$  is disallowed as  $y$  is not bound

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## Definition 9.4 (Semantics of value-passing CCS)

A value-passing process definition  $(C_i(x_1, \dots, x_{n_i}) = P_i \mid 1 \leq i \leq k)$  determines the LTS  $(Prc^+, Act, \longrightarrow)$  with  $Act := (A \cup \bar{A}) \times \mathbb{Z} \cup \{\tau\}$  whose transitions can be inferred from the following rules ( $P, P', Q, Q' \in Prc^+$ ,  $a \in A$ ,  $x_i$  integer variables,  $e_i/b$  integer/Boolean expressions,  $z \in \mathbb{Z}$ ,  $\alpha \in Act$ ,  $\lambda \in (A \cup \bar{A}) \times \mathbb{Z}$ ):

$$\begin{array}{lll}
 \text{(In)} \frac{}{a(x).P \xrightarrow{a(z)} P[z/x]} & \text{(Out)} \frac{(z \text{ value of } e)}{\bar{a}(e).P \xrightarrow{\bar{a}(z)} P} & \text{(Tau)} \frac{}{\tau.P \xrightarrow{\tau} P} \\
 \text{(Sum}_1\text{)} \frac{P \xrightarrow{\alpha} P'}{P + Q \xrightarrow{\alpha} P'} & \text{(Sum}_2\text{)} \frac{Q \xrightarrow{\alpha} Q'}{P + Q \xrightarrow{\alpha} Q'} & \\
 \text{(Par}_1\text{)} \frac{P \xrightarrow{\alpha} P'}{P \parallel Q \xrightarrow{\alpha} P' \parallel Q} & \text{(Par}_2\text{)} \frac{Q \xrightarrow{\alpha} Q'}{P \parallel Q \xrightarrow{\alpha} P \parallel Q'} & \text{(Com)} \frac{P \xrightarrow{\lambda} P' \quad Q \xrightarrow{\bar{\lambda}} Q'}{P \parallel Q \xrightarrow{\tau} P' \parallel Q'} \\
 \text{(Rel)} \frac{P \xrightarrow{\alpha} P'}{P[f] \xrightarrow{f(\alpha)} P'[f]} & \text{(Res)} \frac{P \xrightarrow{\alpha} P' \quad (\alpha \notin (L \cup \bar{L}) \times \mathbb{Z})}{P \setminus L \xrightarrow{\alpha} P' \setminus L} & \\
 \text{(If)} \frac{P \xrightarrow{\alpha} P' \quad (b \text{ true})}{\text{if } b \text{ then } P \xrightarrow{\alpha} P'} & \text{(Call)} \frac{P[z_1/x_1, \dots, z_n/x_n] \xrightarrow{\alpha} P' \quad (C(x_1, \dots, x_n) = P, z_i \text{ value of } e_i)}{C(e_1, \dots, e_n) \xrightarrow{\alpha} P'} & 
 \end{array}$$

# Semantics of Value-Passing CCS II

## Remarks:

- The binding restriction ensures that all integer and Boolean expressions have a **defined value**
- $P[z_1/x_1, \dots, z_n/x_n]$  denotes the **substitution** of each free (i.e., unbound) occurrence of  $x_i$  by  $z_i$  ( $1 \leq i \leq n$ )
- **Relabelling** functions are extended to actions by letting  $f(a(z)) := f(a)(z)$  and  $f(\overline{a}(z)) := \overline{f(a)}(z)$  (and  $f(\tau) := \tau$ )
- The **two-armed conditional**

if  $b$  then  $P$  else  $Q$

can be defined as

(if  $b$  then  $P$ ) + (if  $\neg b$  then  $Q$ )

## Example 9.5

One-place buffer that outputs non-negative predecessor of stored value:

$$B = in(x).B'(x)$$

$$B'(x) = (\text{if } x = 0 \text{ then } \overline{out}(0).B) + (\text{if } x > 0 \text{ then } \overline{out}(x-1).B)$$

(on the board)



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- **To show:** value-passing process definitions can be represented in pure CCS
- **Idea:** each parametrised construct ( $a(x)$ ,  $\bar{a}(e)$ ,  $C(e_1, \dots, e_n)$ ) corresponds to a **family** of constructs in pure CCS, one for each possible integer value
- Requires extension of pure CCS by **infinite** choices ( $\sum \dots$ ), restrictions, and process definitions

# Translation of Value-Passing into Pure CCS II

## Definition 9.6 (Translation of value-passing into pure CCS)

For each  $P \in \text{Prc}^+$  without free integer variables, its **translated form**  $\widehat{P} \in \text{Prc}$  is given by

$$\begin{aligned}\widehat{\text{nil}} &:= \text{nil} & \widehat{\tau.P} &:= \tau.\widehat{P} \\ \widehat{a(x).P} &:= \sum_{z \in \mathbb{Z}} a_z.\widehat{P[z/x]} & \widehat{\overline{a}(e).P} &:= \overline{a_z}.\widehat{P} \\ & & & (z \text{ value of } e) \\ \widehat{P_1 + P_2} &:= \widehat{P_1} + \widehat{P_2} & \widehat{P_1 \parallel P_2} &:= \widehat{P_1} \parallel \widehat{P_2} \\ \widehat{P \setminus L} &:= \widehat{P} \setminus \{a_z \mid a \in L, z \in \mathbb{Z}\} & \widehat{P[f]} &:= \widehat{P}[\widehat{f}] \\ & & & (\widehat{f}(a_z) := f(a)_z) \\ \text{if } \widehat{b} \text{ then } P &:= \begin{cases} \widehat{P} & \text{if } b \text{ true} \\ \text{nil} & \text{otherwise} \end{cases} & C(\widehat{e_1}, \dots, \widehat{e_n}) &:= C_{z_1, \dots, z_n}\end{aligned}$$

Moreover, each defining equation  $C(x_1, \dots, x_n) = P$  of a process identifier is translated into the indexed collection of process definitions

$$\left( C_{z_1, \dots, z_n} = P[z_1/x_1, \dots, z_n/x_n] \mid v_1, \dots, v_n \in \mathbb{Z} \right)$$

## Example 9.7 (cf. Example 9.5)

$$B = in(x).B'(x)$$

$$B'(x) = (\text{if } x = 0 \text{ then } \overline{out}(0).B) + (\text{if } x > 0 \text{ then } \overline{out}(x-1).B)$$

(on the board)

## Theorem 9.8 (Correctness of translation)

For all  $P, P' \in \text{Prc}^+$  and  $\alpha \in \text{Act}$ ,

$$P \xrightarrow{\alpha} P' \iff \widehat{P} \xrightarrow{\widehat{\alpha}} \widehat{P'}$$

where  $\widehat{a(z)} := a_z$ ,  $\widehat{\bar{a}(z)} := \bar{a}_z$ , and  $\widehat{\tau} := \tau$ .

Proof.

by induction on the structure of  $P$  (omitted)



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**Observation:** CCS imposes a **static communication structure**: if  $P, Q \in \text{Prc}$  want to communicate, then both must syntactically refer to the same action name

- $\Rightarrow$  every potential communication partner known beforehand, no dynamic passing of communication links
- $\Rightarrow$  lack of **mobility**

**Goal:** develop calculus in the spirit of CCS which supports mobility

- $\Rightarrow$   $\pi$ -Calculus

## Example 9.9 (Dynamic access to resources)

- Server  $S$  controls access to printer  $P$
- Client  $C$  wishes to use  $P$
- In CCS:  $P$  and  $C$  must share some action name  $a$   
 $\implies C$  could access  $P$  without being granted it by  $S$
- In  $\pi$ -Calculus:
  - initially only  $S$  has access to  $P$  (using link  $a$ )
  - using another link  $b$ ,  $C$  can request access to  $P$
- Formally:

$$\begin{array}{l}
 \underbrace{\bar{b}\langle a \rangle . S'}_S \parallel \underbrace{b(c) . \bar{c}\langle d \rangle . C'}_C \parallel \underbrace{a(e) . P'}_P \\
 \xrightarrow{\tau} S' \parallel \bar{a}\langle d \rangle . C' \parallel a(e) . P' \\
 \xrightarrow{\tau} S' \parallel C' \parallel P'[d/e]
 \end{array}$$

- $a$ : link to  $P$
- $b$ : link between  $S$  and  $C$
- $c$ : “placeholder” for  $a$
- $d$ : data to be printed
- $e$ : “placeholder” for  $d$

## Example 9.9 (Dynamic access to resources; continued)

- Different rôles of action name  $a$ :
  - in interaction between  $S$  and  $C$ :  
object transferred from  $S$  to  $C$
  - in interaction between  $C$  and  $P$ :  
name of communication link
- Intuitively, names represent access rights:
  - $a$ : for  $P$
  - $b$ : for  $S$
  - $d$ : for data to be printed
- If  $a$  is only way to access  $P$   
 $\Rightarrow P$  “moves” from  $S$  to  $C$



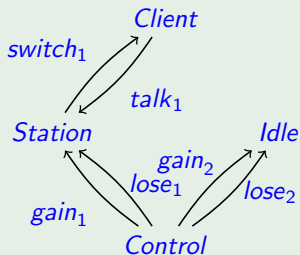
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## Example 9.10 (Hand-over protocol)

### Scenario:

- **client devices** moving around (phones, PCs, sensors, ...)
- each radio-connected to some **base station**
- stations wired to **central control**
- some event (e.g., signal fading) may cause a client to be **switched** to another station
- essential: specification of switching process ("**hand-over protocol**")

### Simplest case: two stations, one client



## Example 9.10 (Hand-over protocol; continued)

- Every station is in one of two **modes**: *Station* (active; four links) or *Idle* (inactive; two links)
- Client* can **talk** via *Station*, and at any time *Control* can request *Station/Idle* to **lose/gain** *Client*:

$$\begin{aligned} \text{Station}(\text{talk}, \text{switch}, \text{gain}, \text{lose}) &= \text{talk}.\text{Station}(\text{talk}, \text{switch}, \text{gain}, \text{lose}) + \\ &\quad \text{lose}(t, s).\overline{\text{switch}}\langle t, s \rangle.\text{Idle}(\text{gain}, \text{lose}) \\ \text{Idle}(\text{gain}, \text{lose}) &= \text{gain}(t, s).\text{Station}(t, s, \text{gain}, \text{lose}) \end{aligned}$$

- If *Control* decides *Station* to lose *Client*, it issues a **new pair of channels** to be shared by *Client* and *Idle*:

$$\begin{aligned} \text{Control}_1 &= \overline{\text{lose}_1}\langle \text{talk}_2, \text{switch}_2 \rangle.\overline{\text{gain}_2}\langle \text{talk}_2, \text{switch}_2 \rangle.\text{Control}_2 \\ \text{Control}_2 &= \overline{\text{lose}_2}\langle \text{talk}_1, \text{switch}_1 \rangle.\overline{\text{gain}_1}\langle \text{talk}_1, \text{switch}_1 \rangle.\text{Control}_1 \end{aligned}$$

- Client* can either **talk** or, if requested, **switch** to a new pair of channels:

$$\text{Client}(\text{talk}, \text{switch}) = \overline{\text{talk}}.\text{Client}(\text{talk}, \text{switch}) + \text{switch}(t, s).\text{Client}(t, s)$$

## Example 9.10 (Hand-over protocol; continued)

- As usual, the whole system is a **restricted composition** of processes:

$$System_1 = \text{new } L (Client_1 \parallel Station_1 \parallel Idle_2 \parallel Control_1)$$

where

$$\begin{aligned} Client_i &:= Client(talk_i, switch_i) \\ Station_i &:= Station(talk_i, switch_i, gain_i, lose_i) \\ Idle_i &:= Idle(gain_i, lose_i) \\ L &:= (talk_i, switch_i, gain_i, lose_i \mid i \in \{1, 2\}) \end{aligned}$$

- After having formally defined the  $\pi$ -Calculus we will see that this protocol is **correct**, i.e., that the hand-over does indeed occur:

$$System_1 \longrightarrow^* System_2$$

where

$$System_2 = \text{new } L (Client_2 \parallel Idle_1 \parallel Station_2 \parallel Control_2)$$