

# Concurrency Theory

## Interleaving Semantics of Petri Nets

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January 8, 2014



## Overview

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2 Basic net concepts

3 The interleaving semantics of Petri nets

4 Sequential runs

5 Summary

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## Carl Adam Petri (1926-2010)



The original work<sup>1</sup> does not contain a single (graphical) Petri net!

<sup>1</sup>Petri's PhD dissertation, 1962.

## Semantics: executions and traces

Models in the 60s: lambda calculus, finite automata, Turing machines, ...

**States:** current configurations of the machine

One or more initial states

Possibly some distinguished final states

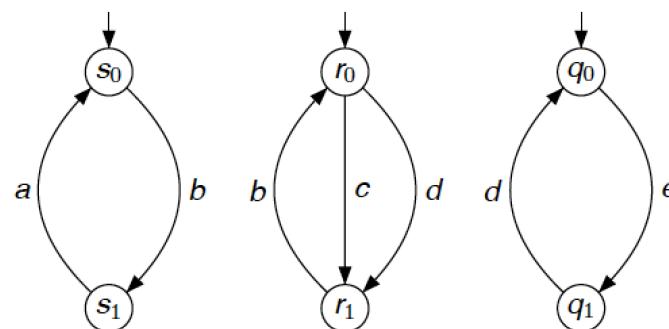
**Transitions:** moves between configurations

Lambda calculus	$(\lambda x.xx)(\lambda y.y)$	$\longrightarrow$	$(\lambda y.y)(\lambda z.z)$
Turing machine	0010 $q_1$ 011	$\longrightarrow$	001 $q_2$ 01011
Finite automaton	$q_1$	$\xrightarrow{a}$	$q_2$
Pushdown automaton	$(q_1, XYZ)$	$\xrightarrow{a}$	$(q_2, XYXYZ)$

**Executions:** alternating sequences of states and transitions

## Petri net

A graphical representation of interacting finite automata:



## Petri's question



C.A. Petri points out a discrepancy between how **Theoretical Physics** and **Theoretical Computer Science** described systems in 1962:

**Theoretical Physics** describes systems as a collection of interacting particles (subsystems), without a notion of global clock or simultaneity

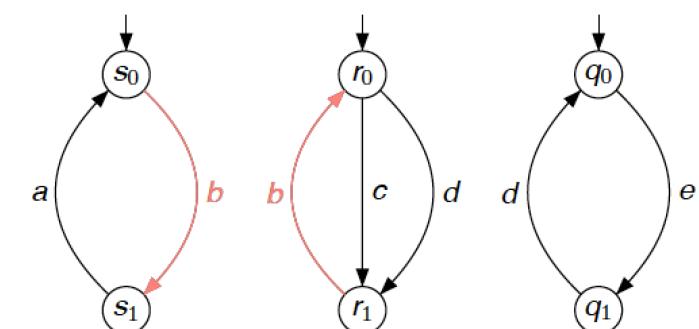
**Theoretical Computer Science** describes systems as sequential virtual machines going through a temporally ordered sequence of global states

Petri's question:

Which kind of abstract machine should be used to describe the **physical implementation** of a Turing machine?

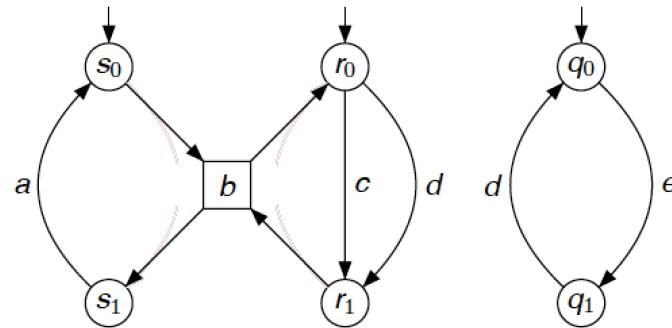
## Petri net

A graphical representation of interacting finite automata:



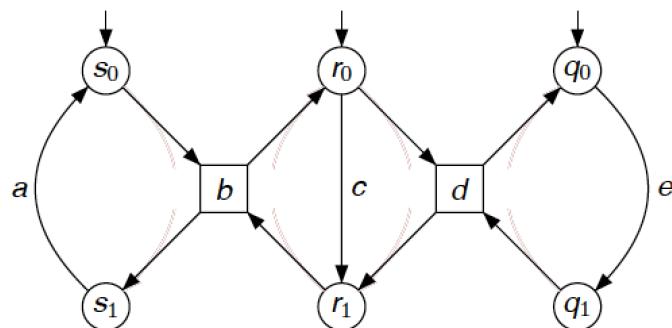
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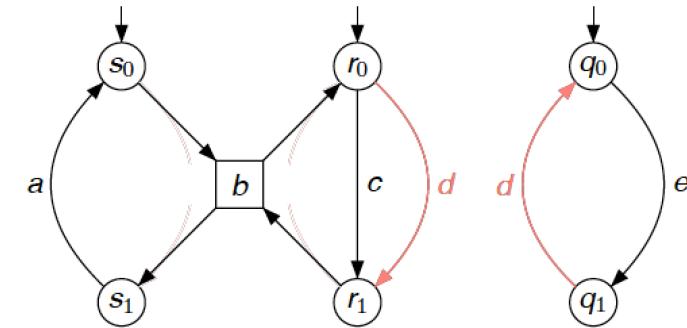
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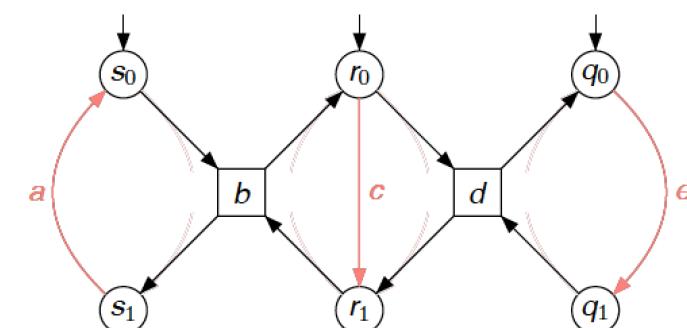
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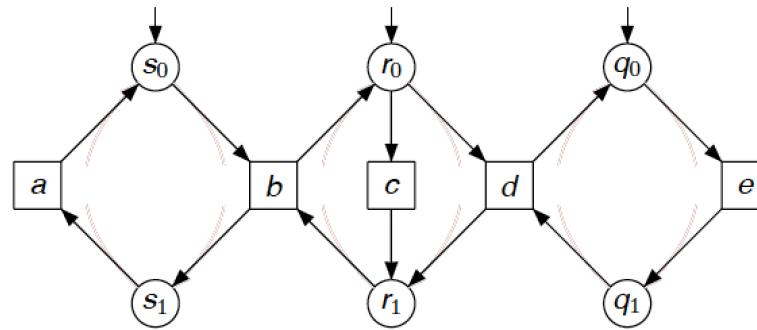
## Petri net

A graphical representation of interacting finite automata:



# Petri net

A graphical representation of interacting finite automata:



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2 Basic net concepts

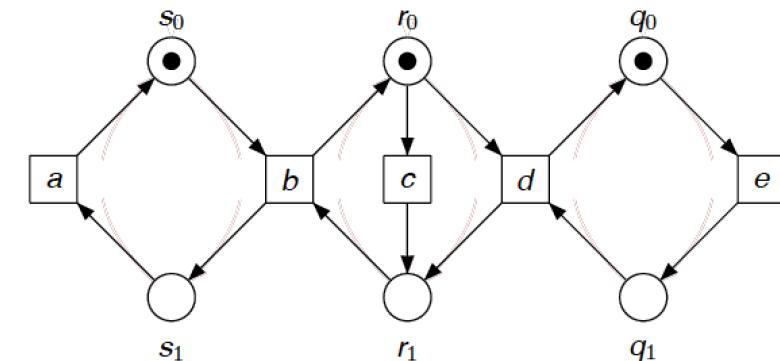
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# Petri net

A graphical representation of interacting finite automata:



## Components of a net

A **Petri net** is a structure with two kinds of elements: **places** and **transitions**. They are connected by **arcs**.

A **place** is represented by a circle or ellipse. A place  $p$  always models a passive component:  $p$  can store, accumulate or show things.

A **transition** is represented by a square or rectangle. A transition  $t$  always models an active component:  $t$  can produce things, consume, transport or change them.

Places and transitions are connected to each other by directed **arcs**. Graphically, an arc is represented by an arrow. An arc models an abstract, sometimes only notional relation between components. Arcs run from places to transitions or vice versa.

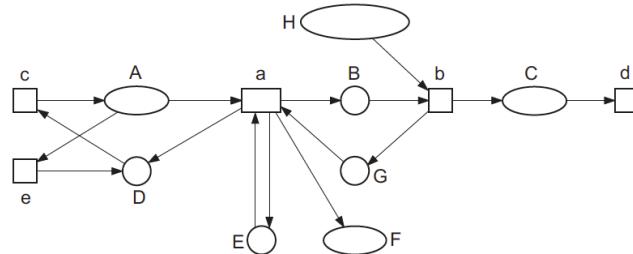
# Nets

## Net

A **Petri net**  $N$  is a triple  $(P, T, F)$  where:

- $P$  is the finite set of **places**
- $T$  is the finite set of **transitions** with  $P \cap T = \emptyset$
- $F \subseteq (P \times T) \cup (T \times P)$  are the **arcs**<sup>2</sup>

Places and transitions are generically called **nodes**.



<sup>2</sup> $F$  is also called the **flow** relation.

# Markings

## Marking

A **marking**  $M$  of a net  $N = (P, T, F)$  is a mapping  $M : P \rightarrow \mathbb{N}$ .

For net  $N = (P, T, F)$  and marking  $M_0$ , the tuple  $(P, T, F, M_0)$  is called an **elementary system net**.  $M_0$  is the **initial marking** of  $N$ .



## Intuition

Note: a marking is a multiset. It defines a distribution of **tokens** across places. Tokens are depicted as black dots.

# The pre- and post-sets

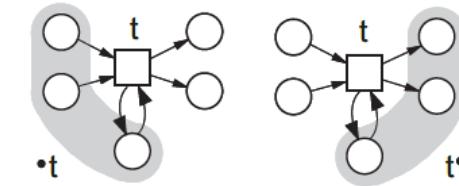
## Pre- and post-sets

Let node  $x \in P \cup T$ .

The **pre-set** of  $x$  is defined by:  $\bullet x = \{ y \mid (y, x) \in F \}$ .

The **post-set** of  $x$  is defined by:  $x^\bullet = \{ y \mid (x, y) \in F \}$ .

Two nodes  $x, y \in N$  form a **loop** if  $x \in \bullet y$  and  $y \in \bullet x$ .



# Transition firing

## Enabling and occurrence of a transition

Let  $(P, T, F)$  be an elementary system net. A marking  $M$  **enables** a transition  $t$  if  $M(p) \geq 1$  for each place  $p \in \bullet t$ .

Transition  $t$  can **occur** in marking  $M$  if  $t$  is enabled at  $M$ . Its occurrence leads to marking  $M'$ , denoted  $M \xrightarrow{t} M'$ , defined for place  $p \in P$  by:

$$M'(p) = M(p) - F(p, t) + F(t, p).$$

where we represent  $F$  by its characteristic function.

## Intuition

Transition  $t$  is enabled whenever every  $p \in \bullet t$  holds at least one token. On  $t$ 's occurrence, one token is removed from each place in  $\bullet t$ , and one token is put in each place in  $t^\bullet$ :

$$M'(p) = \begin{cases} M(p) - 1 & \text{if } p \in \bullet t \text{ and } p \notin t^\bullet \\ M(p) + 1 & \text{if } p \in t^\bullet \text{ and } p \notin \bullet t \\ M(p) & \text{otherwise} \end{cases}$$

## Transition occurrence

### Enabling and occurrence of a transition

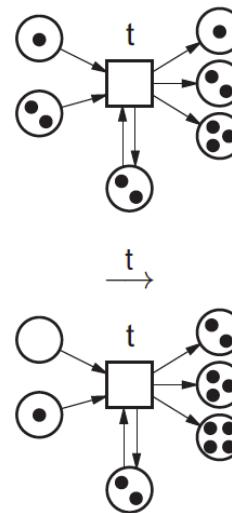
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$$M'(p) = M(p) - F(p, t) + F(t, p).$$

where we represent  $F$  by its characteristic function.

$M \xrightarrow{t} M'$  is also called a step of the net  $N$ .



## The interleaving semantics of Petri nets

An execution semantics

**State:** marking (distribution of tokens over the net)

**Transitions:**  $M \xrightarrow{t} M'$

**Sequential runs:**  $M_0 \xrightarrow{t_1} M_1 \xrightarrow{t_2} M_2 \xrightarrow{t_3} \dots$

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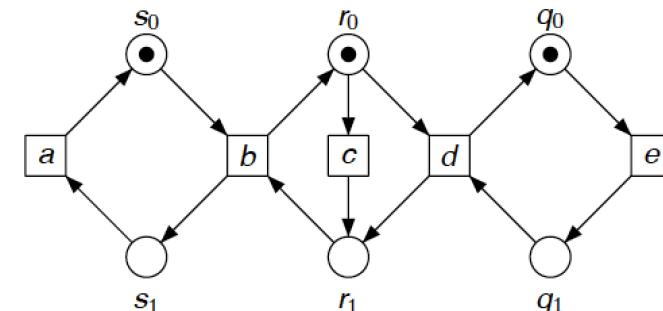
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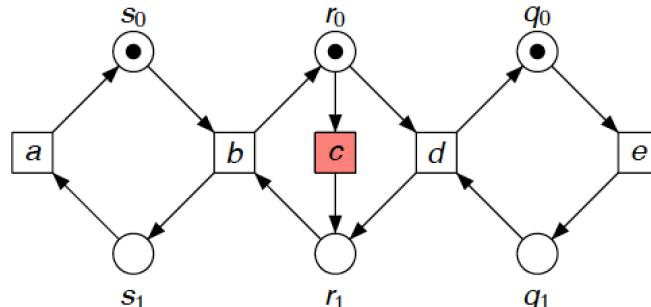
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## The interleaving semantics of Petri nets



$$\begin{bmatrix} s_1 \\ r_1 \\ q_1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

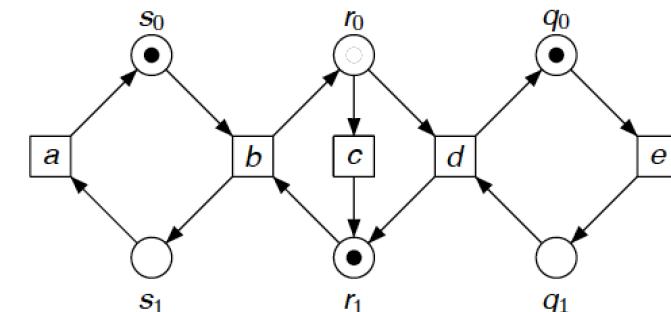
## The interleaving semantics of Petri nets



$$\begin{matrix} s_1 \\ r_1 \\ q_1 \end{matrix} \xrightarrow{c} \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

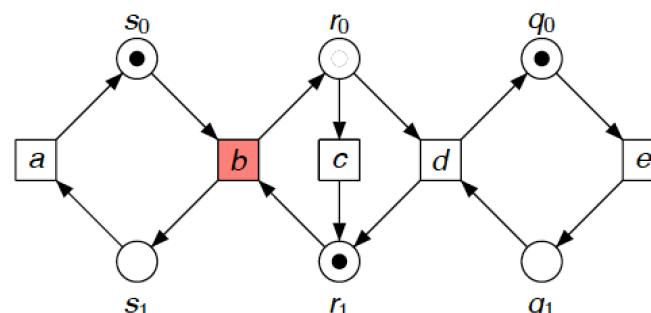
As the marking for  $s_0$  is the complement of  $s_1$ , the marking for  $s_0$  is omitted. The same applies to the places  $r_0$  and  $q_0$ .

## The interleaving semantics of Petri nets



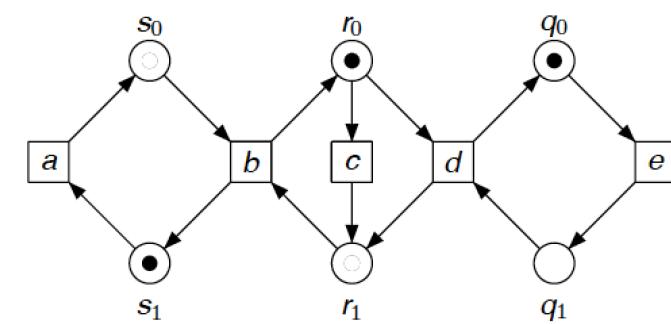
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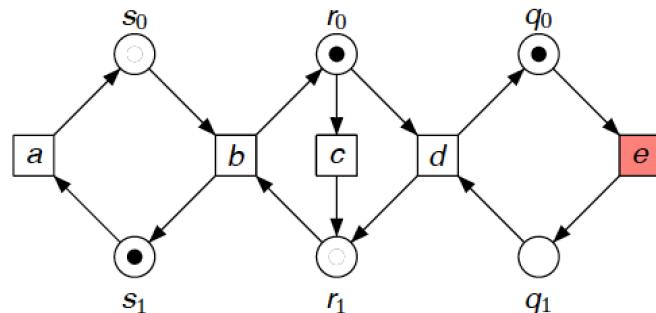
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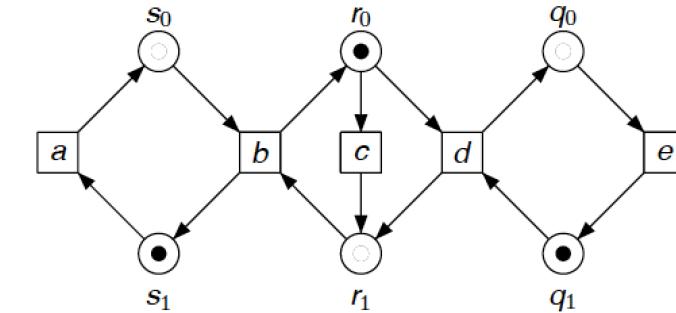
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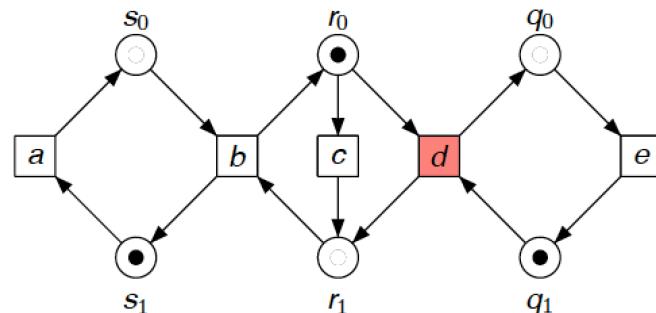
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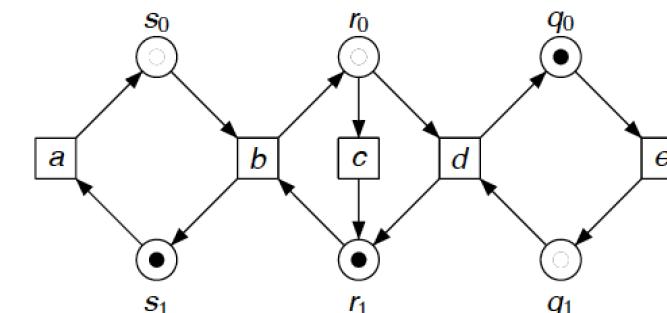
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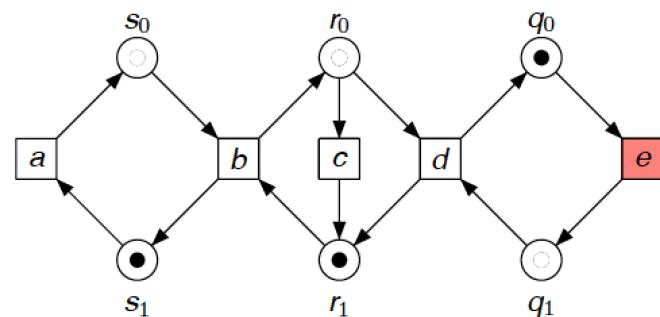
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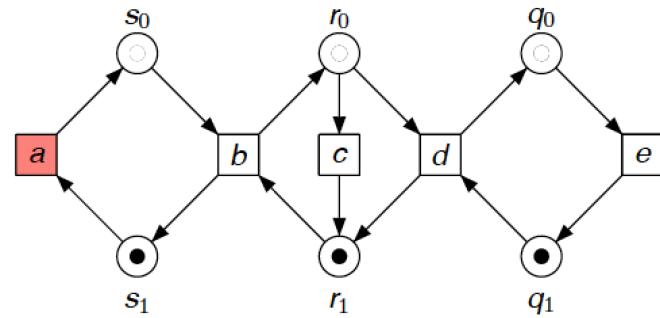
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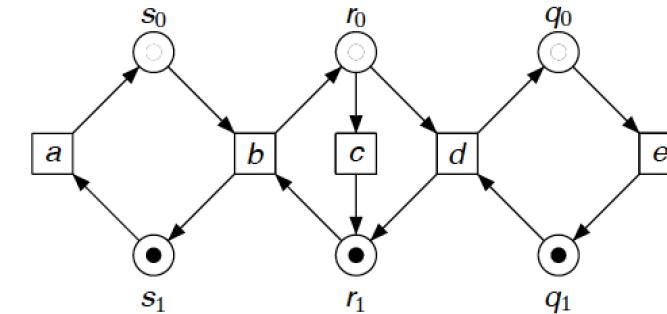
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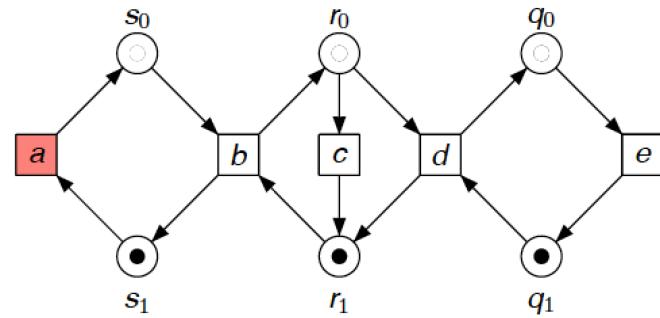
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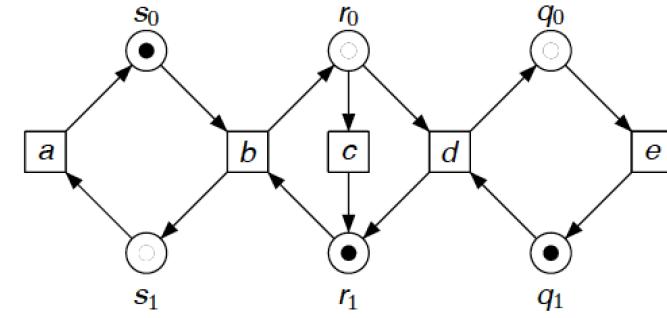


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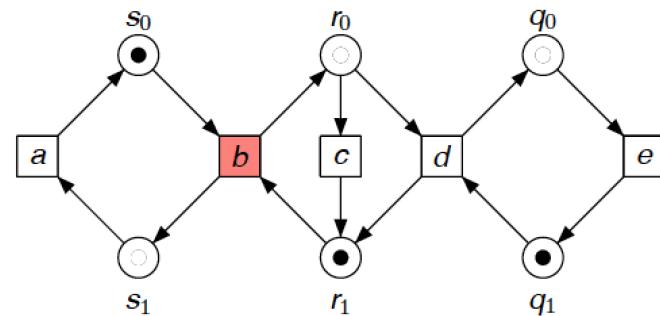


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## The interleaving semantics of Petri nets



$$\begin{array}{l}
 s_1 \quad \left[ \begin{array}{c} 0 \\ 0 \\ 0 \end{array} \right] \xrightarrow{c} \left[ \begin{array}{c} 0 \\ 1 \\ 0 \end{array} \right] \xrightarrow{b} \left[ \begin{array}{c} 1 \\ 0 \\ 0 \end{array} \right] \dots \xrightarrow{e} \left[ \begin{array}{c} 1 \\ 1 \\ 1 \end{array} \right] \xrightarrow{a} \left[ \begin{array}{c} 0 \\ 1 \\ 1 \end{array} \right] \\
 r_1 \\
 q_1
 \end{array}$$

## Reachable markings

### Step sequence

A sequence of transitions  $\sigma = t_1 t_2 \dots t_n$  is an **step sequence** if there exist markings  $M_1$  through  $M_n$  such that:

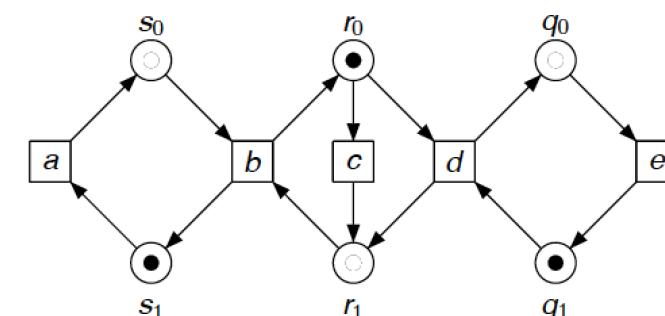
$$M_0 \xrightarrow{t_1} M_1 \xrightarrow{t_2} \dots \xrightarrow{t_{n-1}} M_{n-1} \xrightarrow{t_n} M_n$$

Marking  $M_n$  is reached by the occurrence of  $\sigma$ , denoted  $M_0 \xrightarrow{\sigma} M_n$ .

$M$  is a **reachable marking** if there exists a step sequence  $\sigma$  with  $M_0 \xrightarrow{\sigma} M$ .

The **marking graph** of  $N$  has nodes the reachable markings of  $N$  and as edges the reachable steps of  $N$ .

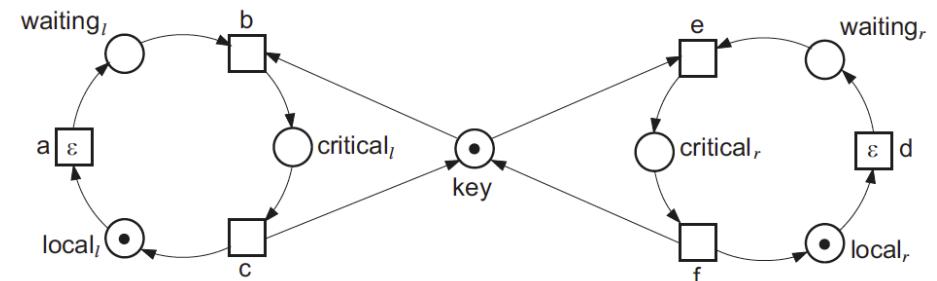
## The interleaving semantics of Petri nets



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 r_1 \\
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## Mutual exclusion

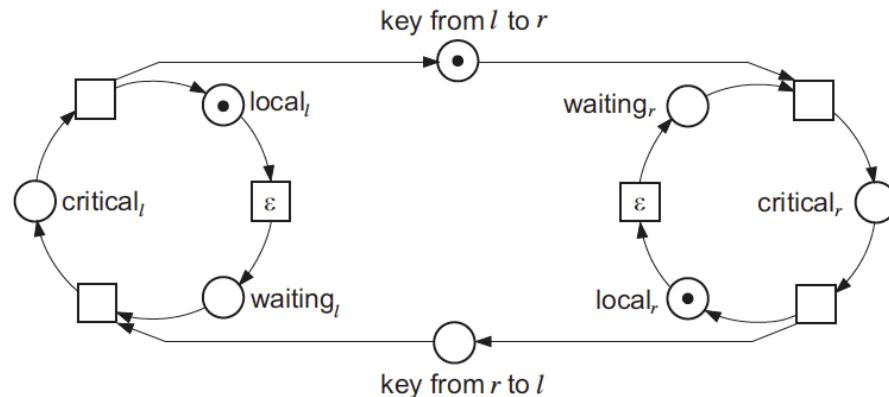
Two processes cycling through the states local, waiting and critical.



Between transitions b and e a conflict can arise infinitely often. No strategy has been modeled to solve this conflict.

## Mutual exclusion

A strategy where processes are acquired access in an alternating fashion:



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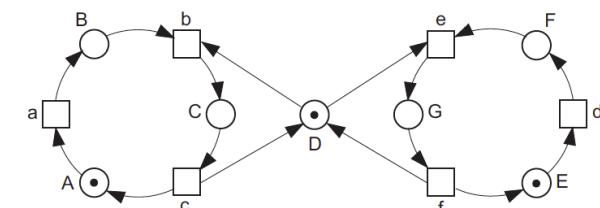
## One-bounded elementary system nets

### 1-bounded elementary net system

An elementary net system  $N$  is called **1-bounded** if for each reachable marking  $M$  and place  $p$  of  $N$ :

$$M(p) \leq 1.$$

Markings of 1-bounded elementary net systems can be described as a string of marked places, e.g.,  $ADE$ . Two steps begin with this marking:  $ADE \xrightarrow{a} BDE$  and  $ADE \xrightarrow{d} ADF$ .



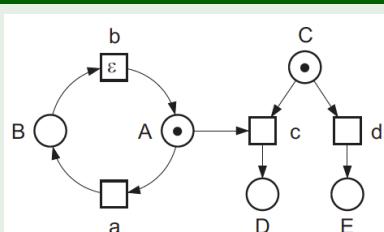
## Sequential runs

### Sequential run

Let  $N$  be an elementary net system. A **sequential run** of  $N$  is a sequence

$$M_0 \xrightarrow{t_1} M_1 \xrightarrow{t_2} \dots$$

of steps of  $N$  starting with the initial marking  $M_0$ . A run can be finite or infinite. A finite run  $M_0 \xrightarrow{t_1} M_1 \xrightarrow{t_2} \dots \xrightarrow{t_n} M_n$  is **complete** if  $M_n$  does not enable any transition.



Complete sample runs for the example net  $N$  (left) are:

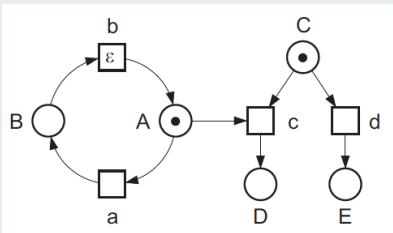
$$AC \xrightarrow{a} BC \xrightarrow{b} AC \xrightarrow{c} D$$

and

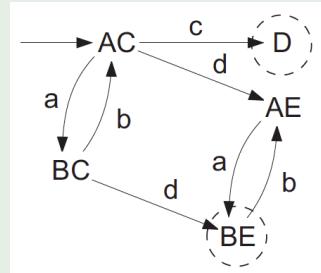
$$AC \xrightarrow{d} AE \xrightarrow{a} BE$$

## Marking graph

The **marking graph** of  $N$  has as nodes the reachable markings of  $N$  and as edges the reachable steps of  $N$ .



A sample elementary net system



Its marking graph

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## Summary

- ▶ A Petri net consists of places, transitions and arcs
- ▶ An elementary net is a Petri net plus a marking
- ▶ Firing a single transition in a marking is a step
- ▶ A sequential run is a sequence of steps starting in the initial marking
- ▶ A marking graph has as nodes the reachable markings of the net and as edges its reachable steps.
- ▶ The marking graph is the interleaving semantics of a net.