

# Foundations of the UML

## Lecture 4: Message Passing Automata

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# The architecture of a message-passing system

## Definition

We fix the following parameters:

- $\mathcal{P}$  a finite set of at least two (sequential) **processes**
- $\mathcal{C}$  a finite set of **message contents**

## Definition (communication actions, channels)

- $Act_p^! := \{p!q(a) \mid q \in \mathcal{P} \setminus \{p\}, a \in \mathcal{C}\}$  (for  $p \in \mathcal{P}$ )  
"p sends message a to q"
- $Act_p^? := \{p?q(a) \mid q \in \mathcal{P} \setminus \{p\}, a \in \mathcal{C}\}$   
"p receives message a from q"
- $Act_p := Act_p^! \cup Act_p^?$
- $Act := \bigcup_{p \in \mathcal{P}} Act_p$
- $Ch := \{(p, q) \mid p, q \in \mathcal{P}, p \neq q\}$
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# Message-passing automata

## Definition

A **message-passing automaton** (MPA) over  $\mathcal{P}$  and  $\mathbb{C}$  is a structure

$$\mathcal{A} = (((S_p, \Delta_p))_{p \in \mathcal{P}}, \mathbb{D}, s_{init}, F)$$

where

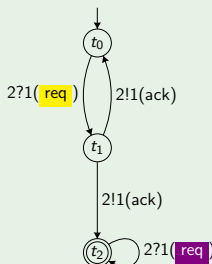
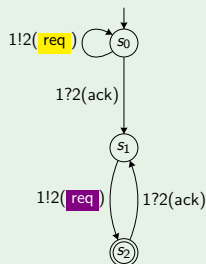
- $\mathbb{D}$  is a nonempty finite set of **synchronization messages** (or **data**)
- for each  $p \in \mathcal{P}$ 
  - ▶  $S_p$  is a nonempty finite set of **local states** (the  $S_p$  are disjoint)
  - ▶  $\Delta_p \subseteq S_p \times Act_p \times \mathbb{D} \times S_p$  is a set of **local transitions**
- $s_{init} \in S_{\mathcal{A}}$  is the **global initial state**
- $F \subseteq S_{\mathcal{A}}$  is the set of **global final states**

hereby:  $S_{\mathcal{A}} := \prod_{p \in \mathcal{P}} S_p$  is the set of **global states** of  $\mathcal{A}$

Note: We sometimes write  $s \xrightarrow{\sigma, m}_p s'$  instead of  $(s, \sigma, m, s') \in \Delta_p$ .

# Message-passing automata

## Example

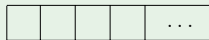
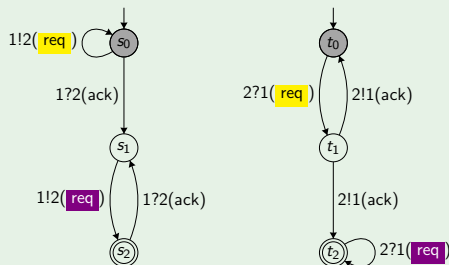


MPA  $\mathcal{A}$  over  
 $\{1, 2\}$  and  $\{\text{req}, \text{ack}\}$

- $\mathbb{D} = \{\text{req}, \text{ack}, \text{ack}\}$
- $S_1 = \{s_0, s_1, s_2\}$
- $S_2 = \{t_0, t_1, t_2\}$
- $\Delta_1: s_0 \xrightarrow{1!2(\text{req})}_1 s_0 \dots$
- $\Delta_2: t_0 \xrightarrow{2?1(\text{req})}_2 t_1 \dots$
- $s_{\text{init}} = (s_0, t_0)$
- $F = \{(s_2, t_2)\}$

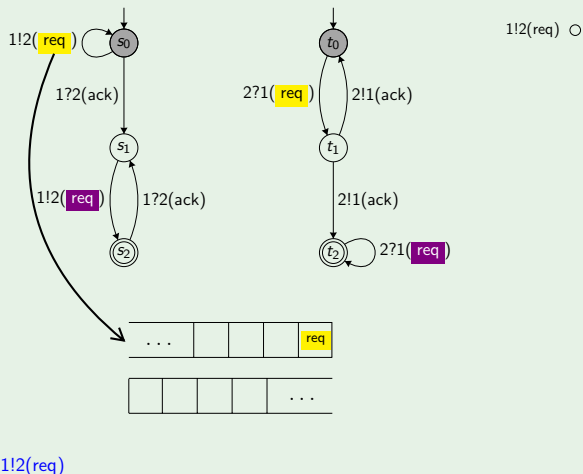
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## Example



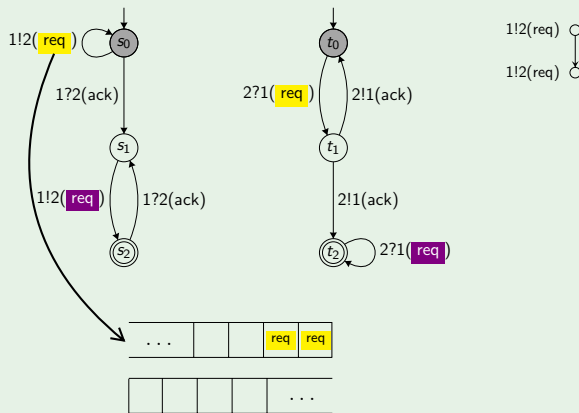
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# Message-passing automata

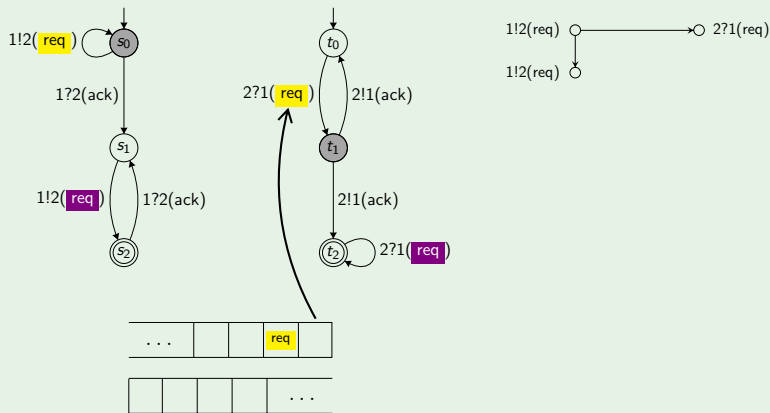
## Example



$1!2(\text{req})$   $1!2(\text{req})$

# Message-passing automata

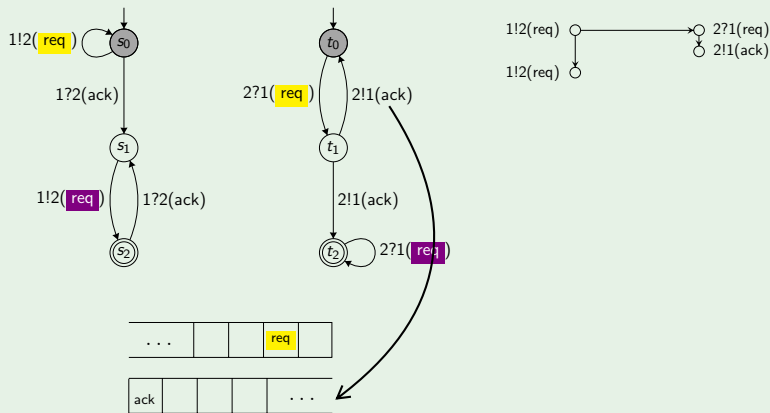
## Example



1!2(req) 1!2(req) 2?1(req)

# Message-passing automata

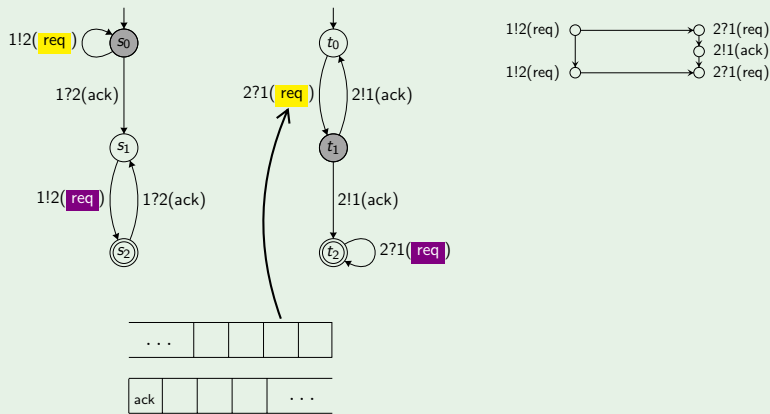
## Example



$1!2(\text{req})$   $1!2(\text{req})$   $2?1(\text{req})$   $2!1(\text{ack})$

# Message-passing automata

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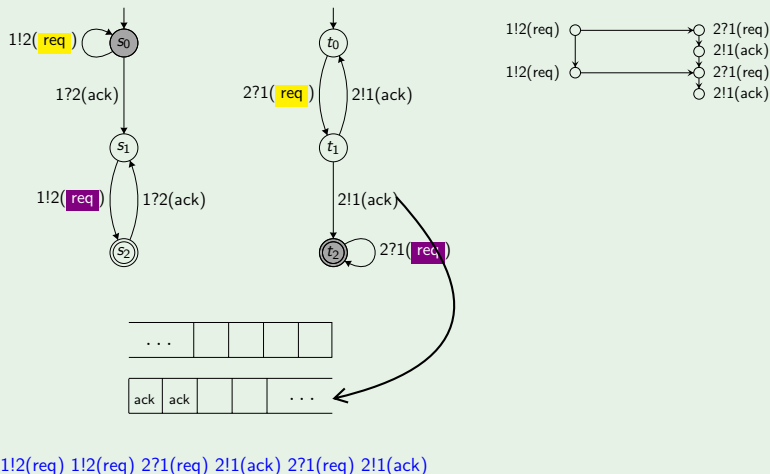


1!2(req) 1!2(req) 2?1(req) 2!1(ack) 2?1(req)



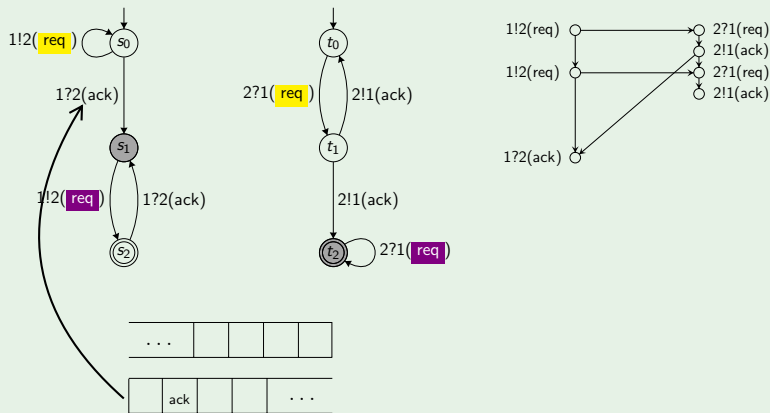
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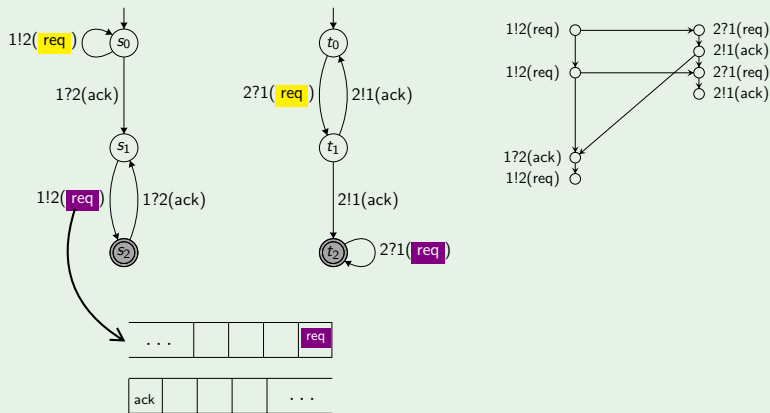
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$1!2(\text{req})$   $1!2(\text{req})$   $2?1(\text{req})$   $2!1(\text{ack})$   $2?1(\text{req})$   $2!1(\text{ack})$   $1?2(\text{ack})$

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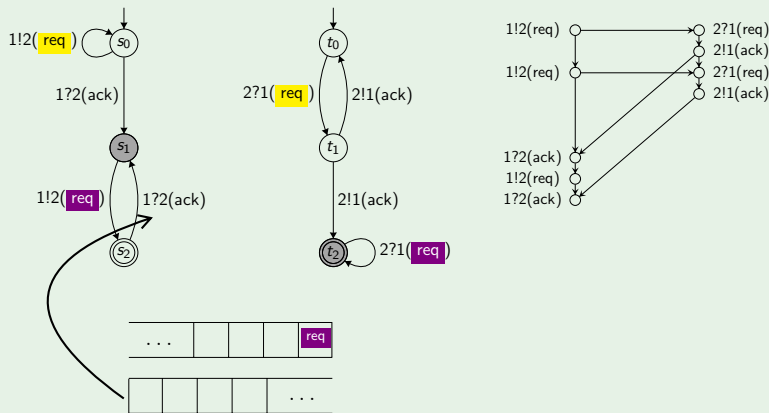
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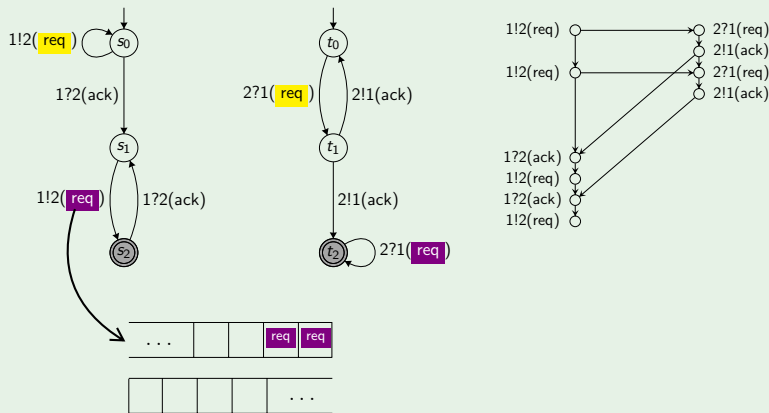
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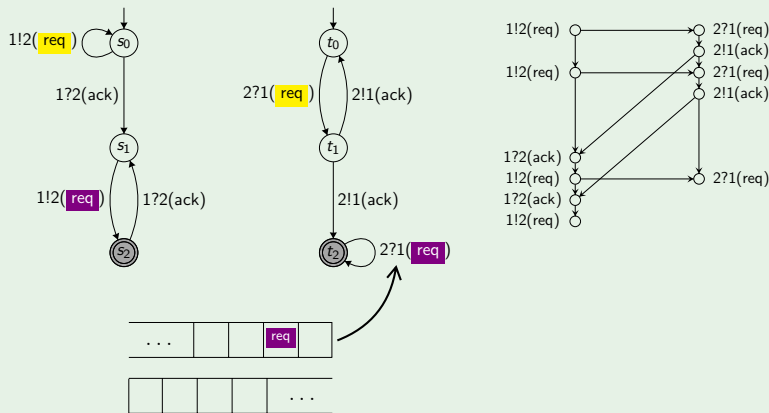
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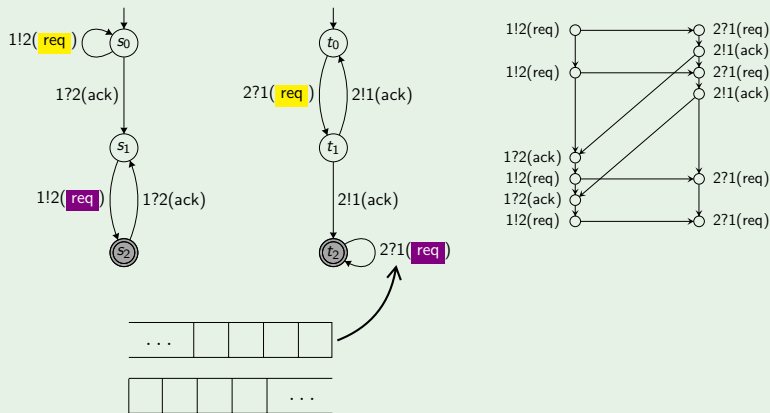
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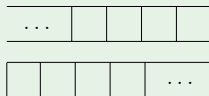
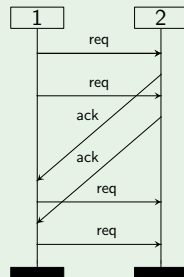
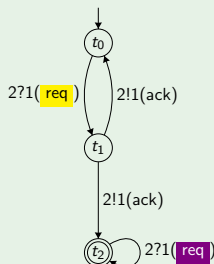
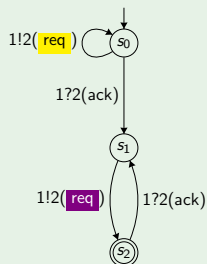
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# Interpretation of MPAs

Let  $\mathcal{A} = (((S_p, \Delta_p))_{p \in \mathcal{P}}, \mathbb{D}, s_{init}, F)$  be an MPA over  $\mathcal{P}$  and  $\mathbb{C}$ .

## Definition

**configurations** of  $\mathcal{A}$ :  $Conf_{\mathcal{A}} := S_{\mathcal{A}} \times \{\eta \mid \eta : Ch \rightarrow (\mathbb{C} \times \mathbb{D})^*\}$

## Definition (global step)

$\Rightarrow_{\mathcal{A}} \subseteq Conf_{\mathcal{A}} \times Act \times \mathbb{D} \times Conf_{\mathcal{A}}$  is defined as follows:

- sending a message:  $((\bar{s}, \eta), p!q(a), m, (\bar{s}', \eta')) \in \Rightarrow_{\mathcal{A}}$  if
  - $(\bar{s}[p], p!q(a), m, \bar{s}'[p]) \in \Delta_p$
  - $\eta' = \eta[(p, q)/(a, m) \cdot \eta((p, q))]$
  - $\bar{s}[r] = \bar{s}'[r]$  for all  $r \in \mathcal{P} \setminus \{p\}$
- receipt of a message:  $((\bar{s}, \eta), p?q(a), m, (\bar{s}', \eta')) \in \Rightarrow_{\mathcal{A}}$  if
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# Linearizations of an MPA

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## Definition

A **run** of  $\mathcal{A}$  on  $\sigma_1 \dots \sigma_n \in Act^*$  is a sequence  $\rho = \gamma_0 m_1 \gamma_1 \dots \gamma_{n-1} m_n \gamma_n$  such that

- $\gamma_0 = (s_{init}, \eta_\varepsilon)$  with  $\eta_\varepsilon$  mapping any channel to  $\varepsilon$
- $\gamma_{i-1} \xrightarrow{\sigma_i, m_i}_{\mathcal{A}} \gamma_i$  for any  $i \in \{1, \dots, n\}$

Run  $\rho$  is **accepting** if  $\gamma_n \in F \times \{\eta_\varepsilon\}$ .

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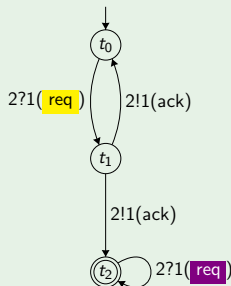
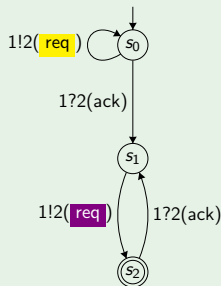
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# Linearizations of an example MPA

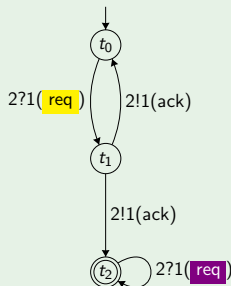
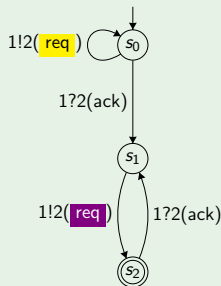
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MPA  $\mathcal{A}$  over  
 $\{1, 2\}$  and  $\{\text{req}, \text{ack}\}$

# Linearizations of an example MPA

## Example



MPA  $\mathcal{A}$  over  
 $\{1, 2\}$  and  $\{\text{req}, \text{ack}\}$

$\text{Lin}(\mathcal{A}) = \{w \in \text{Act}^* \mid \text{there is } n \geq 1 \text{ such that:}$

$$w \upharpoonright 1 = (1!2(\text{req}))^n (1?2(\text{ack}) 1!2(\text{req}))^n$$

$$w \upharpoonright 2 = (2?1(\text{req}) 2!1(\text{ack}))^n (2?1(\text{req}))^n$$

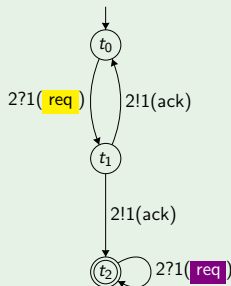
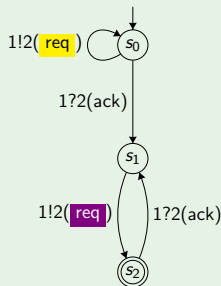
for any  $u \in \text{Pref}(w)$  and  $(p, q) \in \text{Ch}$ :

$$\sum_{a \in \mathbb{C}} |u|_{p!q(a)} - \sum_{a \in \mathbb{C}} |u|_{q?p(a)} \geq 0 \}$$



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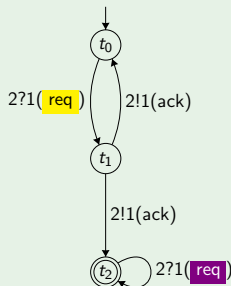
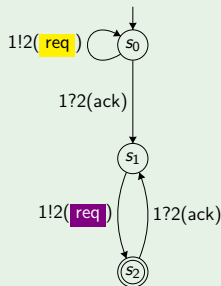
- $1!2(\text{req})$  and  $2!1(\text{ack})$  are always independent.
- $1!2(\text{req})$  and  $1?2(\text{ack})$  are always dependent.
- $1!2(\text{req})$  and  $2?1(\text{req})$  are **sometimes** independent.

↪ non-regular (word) languages

↪ actually more complicated than framework of traces

# Linearizations and MSCs of an example MPA

## Example



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$\text{Lin}(\mathcal{A}) = \{w \in \text{Act}^* \mid \text{there is } n \geq 1 \text{ such that:}$

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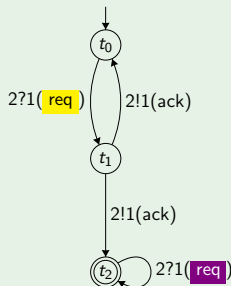
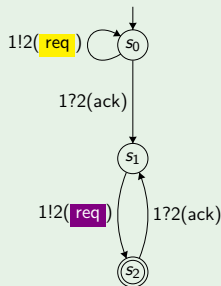
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# Linearizations and MSCs of an example MPA

## Example



MPA  $\mathcal{A}$  over  
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$L(\mathcal{A}) = \{ \mathcal{M} \in \mathbb{M} \mid \text{there is } n \geq 1 \text{ such that:}$

$$\mathcal{M} \upharpoonright 1 = (1!2(\text{req}))^n (1?2(\text{ack}) 1!2(\text{req}))^n$$

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# Elementary questions are undecidable for MPA ...

## Proposition (Brand & Zafiropulo 1983)

*The following problem is undecidable (even if  $\mathbb{C}$  is a singleton):*

INPUT: MPA  $\mathcal{A}$  over  $\mathcal{P}$  and  $\mathbb{C}$

QUESTION: Is  $L(\mathcal{A})$  empty?

## Proof (sketch)

Reduction from halting problem for Turing machine

$TM = (Q, \Sigma, \Delta, \square, q_0, q_f)$  to emptiness for MPA with two processes. Build MPA  $\mathcal{A} = ((\mathcal{A}_1, \mathcal{A}_2), \mathbb{D}, s_{init}, F)$  over  $\{1, 2\}$  and some singleton set such that  $L(\mathcal{A}) \neq \emptyset$  iff  $TM$  can reach  $q_f$ .

- Process 1 sends current configurations to process 2.
- Process 2 chooses successor configurations and sends them back to 1.
- $\mathbb{D} = ((\Sigma \cup \{\square\}) \times (Q \cup \{-\})) \cup \{\#\}$

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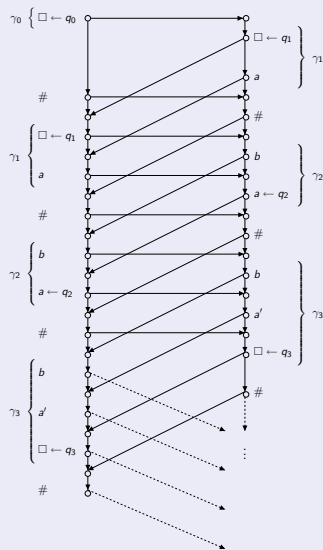
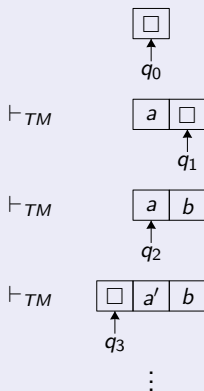
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# An MPA simulating a Turing machine

## Proof (contd.)



# An MPA simulating a Turing machine

## Proof (contd.)

- **Left or standstill transition:** Process 2 may just wait for a symbol containing a state of the Turing machine and to alter it correspondingly. In the example, the left-moving transition  $(q_2, a, a', L, q_3)$  is applied so that process 2
  - ▶ sends  $b$  unchanged back to process 1
  - ▶ detects (receives)  $a \leftarrow q_2$
  - ▶ sends  $a'$  to process 1 entering a state indicating that the symbol to be sent next has to be equipped with  $q_3$
  - ▶ receives  $\#$  so that the symbol  $\square \leftarrow q_3$  has to be inserted before returning  $\#$
- **Right transition:** Process 2 has to guess what the position right before the head is. For example, provided process 2 decided in favor of  $(q_2, a, a', R, q_3)$  while reading the  $b$ , it would have to
  - ▶ send  $b \leftarrow q_3$  instead of just  $b$ , entering some state  $t(a \leftarrow q_2)$
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## Proof (contd.)

- Introduce local final states  $s_f$  and  $t_f$ , one for process 1 and one for process 2, respectively (i.e.,  $F = \{(s_f, t_f)\}$  and  $\mathcal{A}$  is locally accepting).
- At any time, process 1 may switch into  $s_f$ , in which arbitrary and arbitrarily many messages can be received to empty channel  $(2, 1)$ .
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# Towards subclasses of MPA: Boundedness

## Definition ( $B$ -bounded words)

Let  $B \geq 1$ . A word  $w \in Act^*$  is called  $B$ -bounded if, for any  $u \in Pref(w)$  and any channel  $(p, q) \in Ch$ :

$$\sum_{a \in \mathbb{C}} |u|_{p!q(a)} - \sum_{a \in \mathbb{C}} |u|_{q?p(a)} \leq B$$

## Example

$1!2(a) 1!2(b) 2?1(a) 2?1(b)$  is 2-bounded but not 1-bounded.

## Definition (bounded MSCs)

Let  $B \geq 1$ . An MSC  $\mathcal{M} \in \mathbb{M}$  is called

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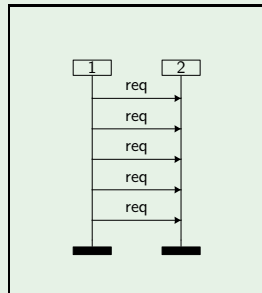
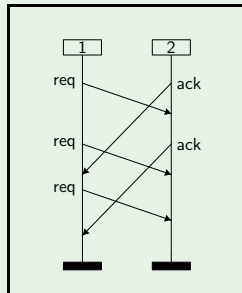
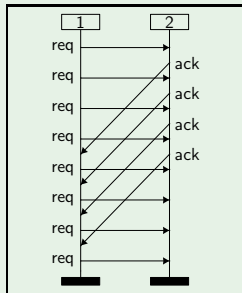
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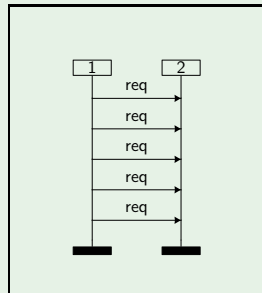
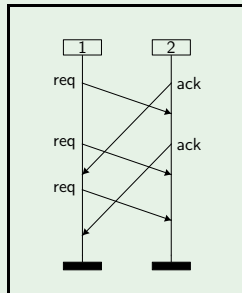
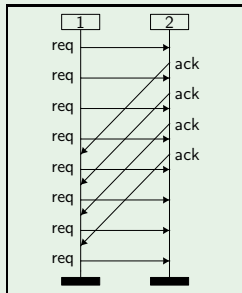
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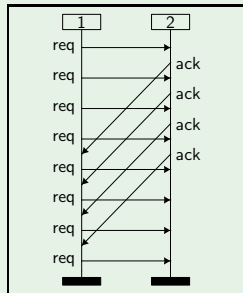
$\forall$ 4-bounded

$\exists$ 2-bounded

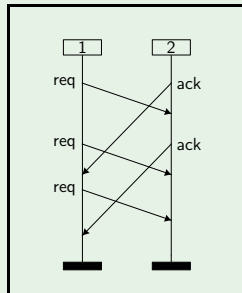
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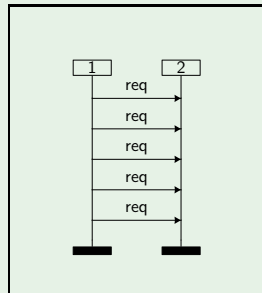
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$\forall 4$ -bounded  
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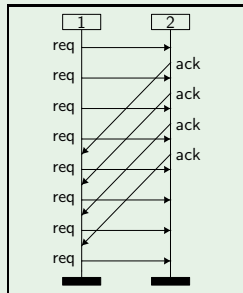


$\forall 3$ -bounded  
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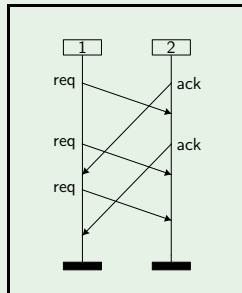
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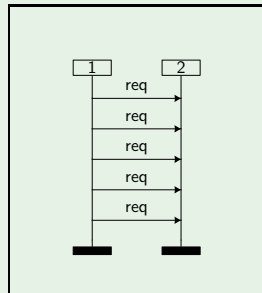
$\exists 2$ -bounded

not  $\exists 1$ -bounded



$\forall 3$ -bounded

$\exists 1$ -bounded



$\forall 5$ -bounded

$\exists 1$ -bounded

# A zoo of MPA

## Definition

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# A zoo of MPA

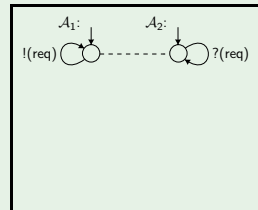
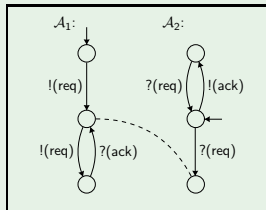
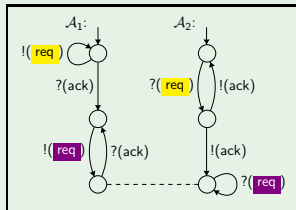
## Definition

An MPA  $\mathcal{A} = (((S_p, \Delta_p))_{p \in \mathcal{P}}, \mathbb{D}, s_{init}, F)$  over  $\mathcal{P}$  and  $\mathbb{C}$  is called

- **$\forall B$ -bounded** if  $L(\mathcal{A}) \subseteq \mathbb{M}_{\forall B}$ .
- **strongly  $B$ -bounded** if, for any  $u \in Act^*$ :  
if there is a run of  $\mathcal{A}$  on  $u$ , then  $u$  is  $B$ -bounded.
- **$\exists B$ -bounded** if  $L(\mathcal{A}) \subseteq \mathbb{M}_{\exists B}$ .
- a **product** MPA if  $|\mathbb{D}| = 1$ .
- **locally accepting** if  $F = \prod_{p \in \mathcal{P}} F_p$  for some sets  $F_p \subseteq S_p$ ,  $p \in \mathcal{P}$ .
- **deadlock-free** if from every reachable configuration a final configuration is reachable
- **deterministic** if the following holds:
  - ▶ if  $s \xrightarrow{p!q(a), m_1}_p s_1$  and  $s \xrightarrow{p!q(a), m_2}_p s_2$ , then  $s_1 = s_2$  and  $m_1 = m_2$
  - ▶ if  $s \xrightarrow{p?q(a), m}_p s_1$  and  $s \xrightarrow{p?q(a), m}_p s_2$ , then  $s_1 = s_2$

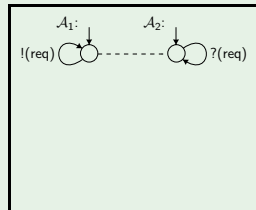
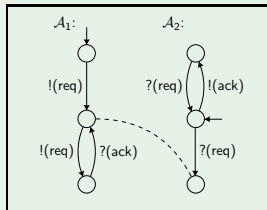
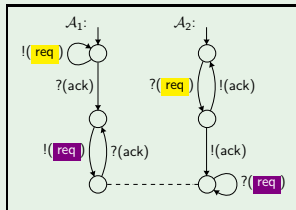
# A zoo of MPA

## Example



# A zoo of MPA

## Example



not  $\exists B$ -bounded f.a.  $B$

not a product MPA

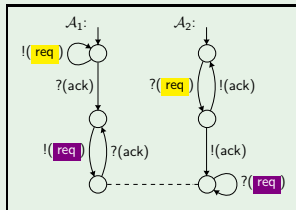
locally accepting

not safe

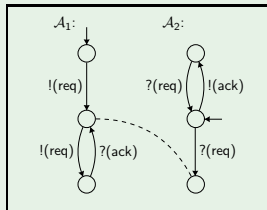
not deterministic

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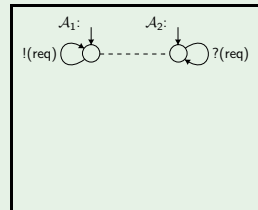
## Example



not  $\exists B$ -bounded f.a.  $B$   
not a product MPA  
locally accepting  
not safe  
not deterministic

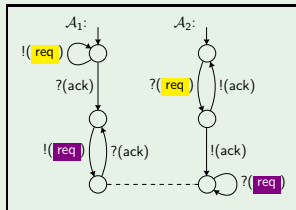


strongly  $\forall 3$ -bounded  
product MPA  
locally accepting  
safe  
deterministic

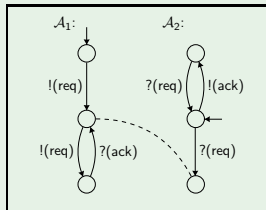


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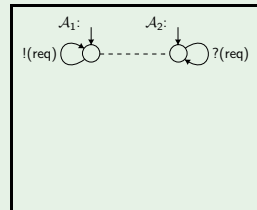
## Example



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 not a product MPA  
 locally accepting  
 not safe  
 not deterministic



strongly  $\forall 3$ -bounded  
 product MPA  
 locally accepting  
 safe  
 deterministic



not  $\forall B$ -bound.f.a.  $B$   
 $\exists 1$ -bounded  
 product MPA  
 locally accepting  
 safe  
 deterministic

# MPA vs. product MPA

## Lemma

*Product MPA are less expressive than MPA.*

## Proof.

For  $m, n \geq 1$ , let  $\mathcal{M}(m, n) \in \mathbb{M}$  over  $(\{1, 2\}, \{\text{req}, \text{ack}\})$  be given by:

- $\mathcal{M} \upharpoonright 1 = (1!2(\text{req}))^m (1!2(\text{ack}) 1!2(\text{req}))^n$
- $\mathcal{M} \upharpoonright 2 = (2?1(\text{req}) 2!1(\text{ack}))^n (2?1(\text{req}))^m$

There is no product MPA over  $\{1, 2\}$  and  $\{\text{req}, \text{ack}\}$  whose language is  $L = \{\mathcal{M}(n, n) \mid n \geq 1\}$ . Suppose there is a product MPA

$\mathcal{A} = ((\mathcal{A}_1, \mathcal{A}_2), \mathbb{D}, s_{\text{init}}, F)$  with  $L(\mathcal{A}) = L$ . For any  $n \geq 1$ , there is an accepting run of  $\mathcal{A}$  on  $\mathcal{M}(n, n)$ . If  $n$  is sufficiently large, then

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