

# Foundations of the UML

## Lecture 4: Properties of Message Sequence Graphs

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# Message sequence graphs

Let  $\mathbb{M}$  be the set of MSCs (up to isomorphism, i.e., event identities).

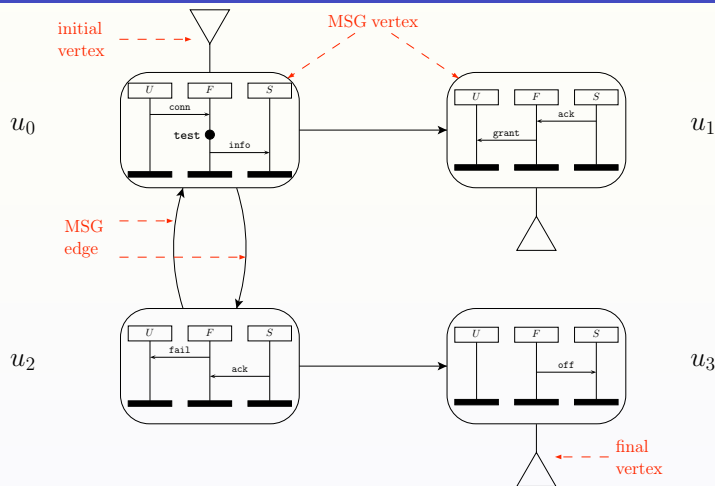
A **Message Sequence Graph** (MSG)  $G$  is a tuple  $G = (V, \rightarrow, v_0, F, \lambda)$  with:

- $(V, \rightarrow)$  is a digraph with finite set  $V$  of vertices and  $\rightarrow \subseteq V \times V$  a set of edges
- $v_0 \in V$  is the starting (or: initial) vertex
- $F \subseteq V$  is a set of final vertices
- $\lambda : V \rightarrow \mathbb{M}$  associates to each vertex  $v \in V$ , an MSC  $\lambda(v)$

## Note:

- 1 an MSG is an NFA without input alphabet where states are MSCs
- 2 every MSC is an MSG

# Message sequence graphs



$$u_0 \ u_2 \ u_0 \ u_1 = \lambda(u_0) \bullet \lambda(u_2) \bullet \lambda(u_0) \bullet \lambda(u_1)$$

# Concatenation of MSCs

Let  $M_i = (\mathcal{P}_i, E_i, \mathcal{C}_i, l_i, m_i, <_i)$   $i \in \{1, 2\}$   
be two MSCs with  $E_1 \cap E_2 = \emptyset$

The **concatenation** of MSCs  $M_1$  and  $M_2$  is the MSC  
 $M_1 \bullet M_2 = (\mathcal{P}, E, \mathcal{C}, l, m, <)$  with:

$$\begin{aligned} \mathcal{P} &= \mathcal{P}_1 \cup \mathcal{P}_2 & E &= E_1 \cup E_2 & \mathcal{C} &= \mathcal{C}_1 \cup \mathcal{C}_2 \\ & & (\text{with } E_? &= E_{1,?} \cup E_{2,?} \text{ etc.}) \end{aligned}$$

$$l(e) = \begin{cases} l_1(e) & \text{if } e \in E_1 \\ l_2(e) & \text{if } e \in E_2 \end{cases} \quad m(e) = \begin{cases} m_1(e) & \text{if } e \in E_1 \\ m_2(e) & \text{if } e \in E_2 \end{cases}$$

$$< = <_1 \cup <_2 \cup \{(e, e') \mid \exists p \in \mathcal{P}. e \in E_1 \cap E_p, e' \in E_2 \cap E_p\}$$

# MSC language of an MSG

Let  $G = (V, \rightarrow, v_0, F, \lambda)$  be an MSG.

## Definition

Path  $\pi = u_0 \dots u_n$  is **accepting** if:  $u_0 = v_0$  and  $u_n \in F$ .

## Definition

The **MSC of a path**  $\pi = u_0 \dots u_n$  is:

$$M(\pi) = \underbrace{\lambda(u_0)}_{\text{MSC of } u_0} \bullet \underbrace{\lambda(u_1)}_{\text{MSC of } u_1} \bullet \dots \bullet \underbrace{\lambda(u_n)}_{\text{MSC of } u_n} = \prod_{i=0}^n \lambda(u_i)$$

## Definition

The **(MSC) language** of MSG  $G$  is defined by:

$$L(G) = \{M(\pi) \mid \pi \text{ is an accepting path of } G\}.$$

# Facts about MSGs

## Expressiveness

The state space of an MSG is context-sensitive.

## Emptiness problem

Given MSGs  $G_1$  and  $G_2$ , the problem to check whether  $L(G_1) \cap L(G_2) = \emptyset$ , is undecidable.

## Local choice

Checking whether an MSG is local choice, is in PTIME.

## Theorem

*The decision problem:*

*for MSGs  $G_1$  and  $G_2$ , do we have  $L(G_1) \cap L(G_2) = \emptyset$ ?*

*is **undecidable**.*

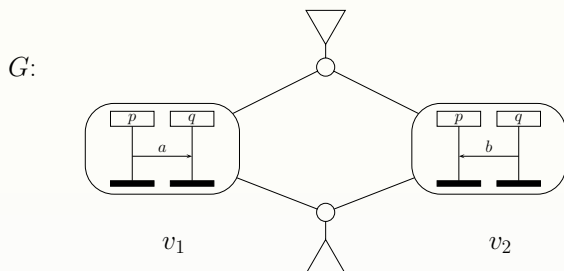
## Proof.

Reduction from Post's Correspondence Problem (PCP)

... black board ...



# Local choice property (1)



**Inconsistency** if process  $p$  behaves according to  $v_1$   
and process  $q$  behaves according to  $v_2$

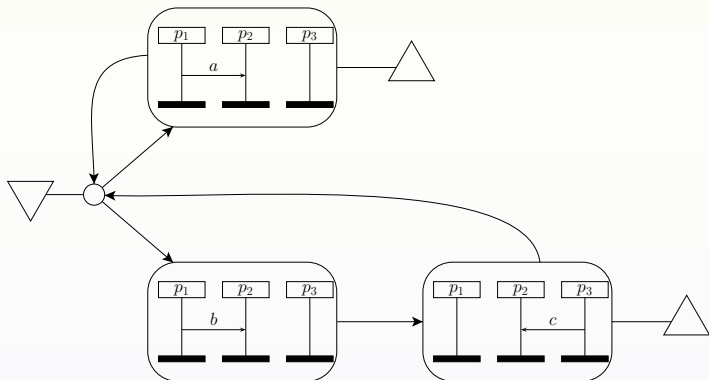
$\Rightarrow$  possible distributed realization may yield a deadlock

**Problem:**

Subsequent behavior is determined by distinct processes



# Example of local-choice MSG



Inconsistency if  $p_1$  sends  $a$  and  $p_3$  sends  $c$ .

## Local choice property (2)

- $e$  is a minimal event wrt.  $\preceq$  if  $\neg(\exists e' \neq e. e' \preceq e)$
- $p$  is **active** in MSC  $M$  if  $E_p \neq \emptyset$
- $p$  is **active** in path  $v_1 \dots v_n$  in MSG  $G$  if  $p$  is active in  $\lambda(v_i)$  for some  $i$

### Definition (local choice MSG)

MSG  $G = (V, \rightarrow, v_0, F, \lambda)$  is **local choice** if:

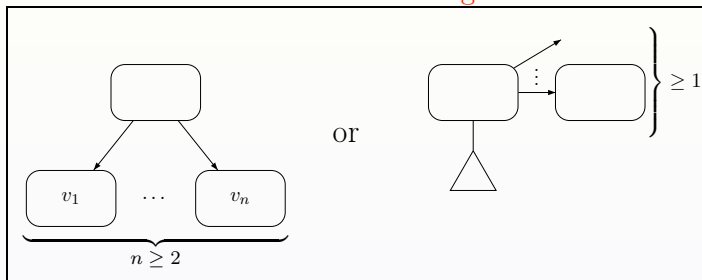
- 1  $\exists$  active  $p. \forall \pi \in \text{Paths}(v_0).$   
 $\pi$  contains a single minimal event  $e \in E_p$
- 2  $\forall$  branching vertex  $v \in V.$  with  $v \rightarrow w$   
 $\exists$  active  $p. \forall \pi \in \text{Paths}(w).$   
 $\pi$  contains a single minimal event  $e \in E_p$

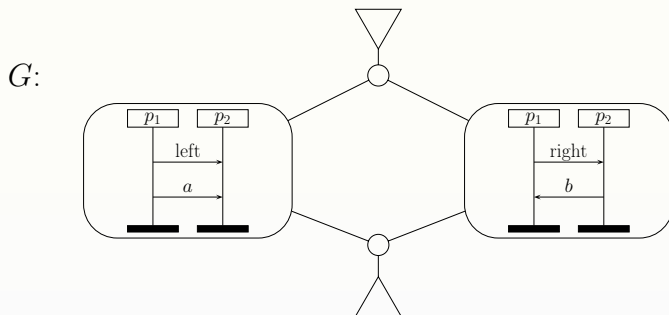
### Intuition:

Along every path from an initial or branching vertex there is a single process deciding how to proceed which can inform the other processes how to proceed.

# Branching vertices

A vertex is **branching** if:





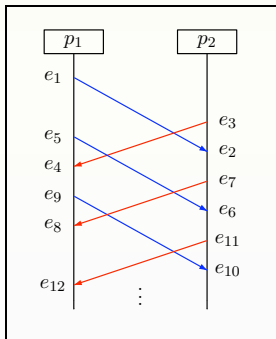
## Note:

Checking whether an MSG is local choice can be done in PTIME.

## How can non-local choice be resolved?

Refine your MSG and add control messages (cf. above example)

# Restriction of MSGs [Yannakakis 1999]



This MSC **cannot** be decomposed as

$$M_1 \bullet M_2 \bullet \dots \bullet M_n \quad \text{for } n > 1$$

This can be seen as follows:

- $e_1$  and  $e_2 = m(e_1)$  must reside in same  $M_i$
- $e_3 < e_2$  and  $e_1 < e_4$  thus  
 $e_3, e_4 \notin M_j, j < i \text{ or } j > i$   
 $\implies e_3, e_4 \in M_i$
- by similar reasoning:  $e_5, e_6 \in M_i$  etc.

## Problem:

Compulsory matching between send and receive in **same** MSG vertex (i.e., send  $e$  and receive  $m(e)$ )

# Compositional MSCs [Gunter, Muscholl, Peled 2001]

Solution: drop restriction that  $e$  and  $m(e)$  belong to the same MSC  
(= allow for incomplete message transfer)

## Definition

$M = (\mathcal{P}, E, \mathcal{C}, l, m, <)$  is a **compositional MSC** (CMSC, for short) where  $\mathcal{P}, E, \mathcal{C}$  and  $l$  are as before, and

- $m : E_! \rightarrow E_?$  is a partial, injective function such that (as before):

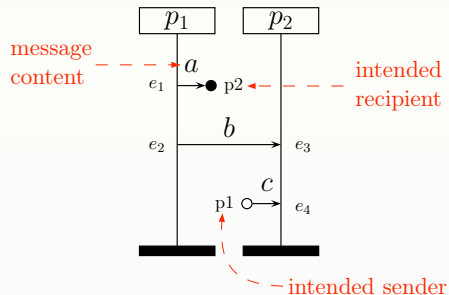
$$m(e) = e' \wedge l(e) = !(p, q, a) \implies l(e') = ?(q, p, a)$$

- $< = \left( \bigcup_{p \in \mathcal{P}} <_p \quad \cup \quad \{(e, m(e)) \mid e \in \underbrace{\text{dom}(m)}_{\substack{\text{domain of } m \\ \text{"}m(e) \text{ is defined" }}} \} \right)^*$

## Note:

An MSC is a CMSC where  $m$  is total and bijective.

# CMSC example



$$\begin{aligned} m(e_2) &= e_3 \\ e_1 &\notin \text{dom}(m) \\ e_4 &\notin \text{rng}(m) \end{aligned}$$

## Definition

A **compositional MSG** (CMSC)  $G = (V, \rightarrow, v_0, F, \lambda)$  with  $\lambda : V \rightarrow \mathbb{CM}$ , where  $\mathbb{CM}$  is the set of all CMSCs, and  $V, \rightarrow, v_0$ , and  $F$  as before.

# Concatenation of CMSCs (1)

Let  $M_i = (\mathcal{P}_i, E_i, \mathcal{C}_i, l_i, m_i, <_i) \in \mathbb{CM}$   $i \in \{1, 2\}$   
be CMSCs with  $E_1 \cap E_2 = \emptyset$

The **concatenation** of CMSCs  $M_1$  and  $M_2$  is the CMSC  
 $M_1 \bullet M_2 = (\mathcal{P}_1 \cup \mathcal{P}_2, E, \mathcal{C}_1 \cup \mathcal{C}_2, l, m, <)$  with:

- $E = E_1 \cup E_2$
- $l(e) = l_1(e)$  if  $e \in E_1$ ,  $l_2(e)$  otherwise
- $m(e) = E_! \rightarrow E?$  satisfies:
  - ①  $m$  extends  $m_1$  and  $m_2$ , i.e.,  $e \in \text{dom}(m_i)$  implies  $m(e) = m_i(e)$
  - ②  $m$  matches unmatched send events in  $M_1$  with unmatched receive events in  $M_2$  according to order on process (matching from top to bottom)  
the  $k$ -th unmatched send in  $M_1$  is matched with the  $k$ -th unmatched receive in  $M_2$  (of the same “type”)
  - ③  $M_1 \bullet M_2$  is FIFO (when restricted to matched events)



## Concatenation of CMSCs (2)

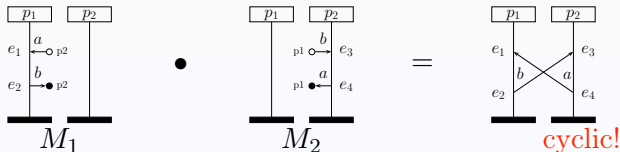
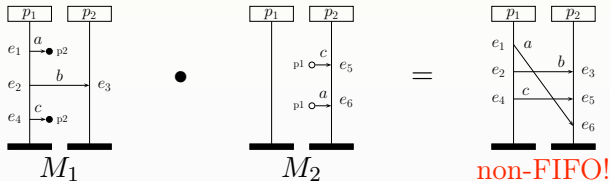
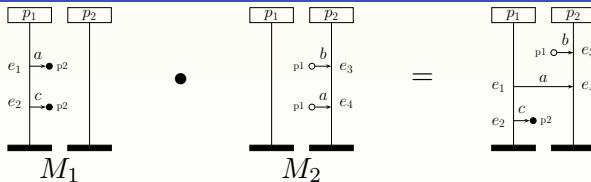
Let  $M_i = (\mathcal{P}_i, E_i, \mathcal{C}_i, l_i, m_i, <_i) \in \mathbb{CM}$      $i \in \{1, 2\}$   
be CMSCs with  $E_1 \cap E_2 = \emptyset$

The **concatenation** of CMSCs  $M_1$  and  $M_2$  is the CMSC  
 $M_1 \bullet M_2 = (\mathcal{P}_1 \cup \mathcal{P}_2, E_1 \cup E_2, \mathcal{C}_1 \cup \mathcal{C}_2, l, m, <)$  with:

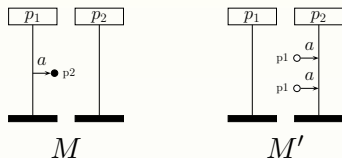
- $<$  is the reflexive and transitive closure of:

$$\begin{aligned} \left( \bigcup_{p \in \mathcal{P}} <_{p,1} \cup <_{p,2} \right) \cup & \{ (e, e') \mid e \in E_1 \cap E_p, e' \in E_2 \cap E_p \} \\ \cup & \{ (e, m(e)) \mid e \in \text{dom}(m) \} \end{aligned}$$

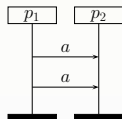
# Examples



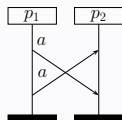
# Associativity



$(M \bullet M) \bullet M'$ :



$M \bullet (M \bullet M')$ :



this is non-FIFO  
(and thus undefined)

**Note:**

Concatenation of CMSCs is not associative.

# Paths

Let  $G = (V, \rightarrow, v_0, F, \lambda)$  be a CMSG.

## Definition

A **path**  $\pi$  of  $G$  is a finite sequence

$$\pi = u_0 \ u_1 \ \dots \ u_n \text{ with } u_i \in V \ (0 \leq i \leq n) \text{ and } u_i \rightarrow u_{i+1} \ (0 \leq i < n)$$

## Definition

Path  $\pi = u_0 \ \dots \ u_n$  is **accepting** if:  $u_0 = v_0$  and  $u_n \in F$ .

## Definition

The **CMSC of a path**  $\pi = u_0 \ \dots \ u_n$  is:

$$M(\pi) = (\dots (\lambda(u_0) \bullet \lambda(u_1)) \bullet \lambda(u_2) \dots) \bullet \lambda(u_n) = \prod_{i=0}^n \lambda(u_i)$$

where CMSC concatenation is left associative.

## Definition

The (MSC) language of CMSG  $G$  is defined by:

$$L(G) = \{ \underbrace{M(\pi) \in \mathbb{M}}_{\text{only MSCs are considered}} \mid \pi \text{ is an accepting path of } G \}.$$

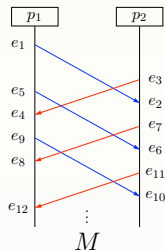
## Definition (safeness)

CMSG  $G$  is **safe** if for every accepting path  $\pi$  of  $G$ ,  $M(\pi)$  is an MSC.

So:

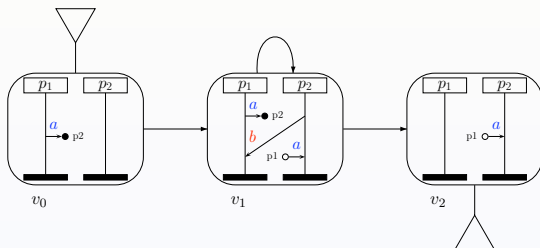
CMSG  $G$  is safe if on any of its accepting paths there are no unmatched sends and receipts.

# Consider again



Recall: this behavior cannot be modeled for  $n > 1$  by:

$$M = M_1 \bullet M_2 \bullet \dots \bullet M_n \quad \text{with} \quad M_i \in \mathbb{M}$$



The (safe) CMSG for the above MSC.