

Theoretical Foundations of the UML

Lecture 11: Realising Local Choice MSGs

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Outline

- 1 Introduction
- 2 Local Choice MSGs
- 3 Regular Expressions over MSCs
- 4 A Realisation Algorithm for MSGs

Overview

- 1 Introduction
- 2 Local Choice MSGs
- 3 Regular Expressions over MSCs
- 4 A Realisation Algorithm for MSGs

Definition (Realisability of MSGs)

- ① MSG G is **realisable** whenever $L(G) = L(\mathcal{A})$ for some CFM \mathcal{A} .
- ② MSG G is **safely realisable** whenever $L(G) = L(\mathcal{A})$ for some deadlock-free CFM \mathcal{A} .

Results so far:

- 1 Conditions for (safe) realisability for **finite** sets of MSCs.
- 2 Checking these conditions is co-NP complete (in P).
- 3 Regular MSGs are (safely) realisable by \forall -bounded CFMs.
- 4 Checking regularity of MSGs is undecidable.
- 5 Communication-closedness implies regularity, but its check is co-NP complete.
- 6 Local communication-closedness implies regularity, and can be checked in P.

- Can results be obtained for **larger classes** of MSGs?
- What happens if we allow **synchronisation messages**?
 - recall that weak CFMs do not involve synchronisation messages
- How do we obtain a CFM realising an MSG **algorithmically**?
 - in particular, for non-local choice MSGs

Today's lecture

Safe realisability of (a restricted class of) MSGs. So as to obtain deadlock-free CFMs, the input MSG is required to be **local choice**. The CFM are **not** required to be weak. The algorithm will exploit synchronisation messages.

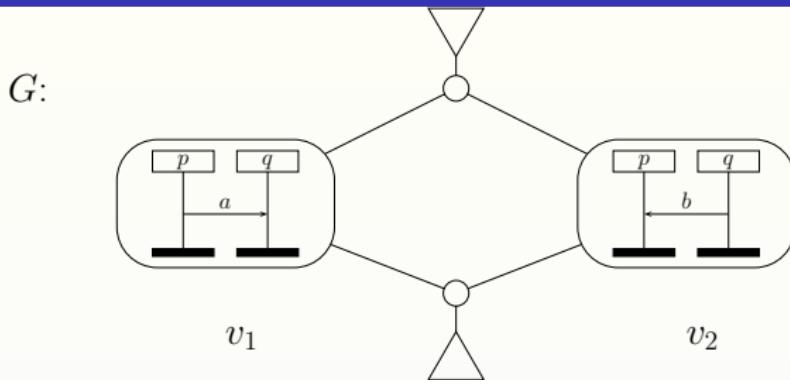
Results:

- ➊ Realisability for constrained regular expressions of local-choice MSGs.
- ➋ An algorithm that generates a CFM from such local-choice MSG.

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Non-local choice



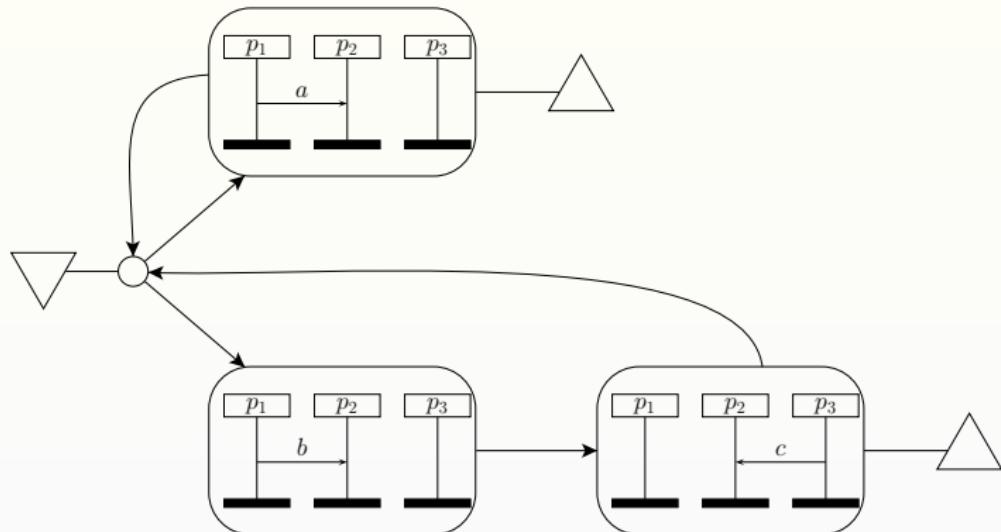
Inconsistency if process p behaves according to vertex v_1
and process q behaves according to vertex v_2

⇒ possible distributed realization may yield a deadlock

Problem:

Subsequent behavior is determined by distinct processes. When several processes independently decide to initiate behavior, they might start executing different successor MSCs (= vertices). This is called a **non-local choice**.

A (hidden) non local-choice



Problem:

Inconsistency if p_1 decides to send a and p_3 decides to send c .
Which branch to take in the initial vertex?

Definition (Minimal event)

Let (E, \preceq) be a poset. Event $e \in E$ is a **minimal** event wrt. \preceq if $\neg(\exists e' \neq e. e' \preceq e)$.

Intuition: there is no event that has to happen before e happens.
Or: the occurrence of e does not depend on any other event.

Definition (Partial order of a path)

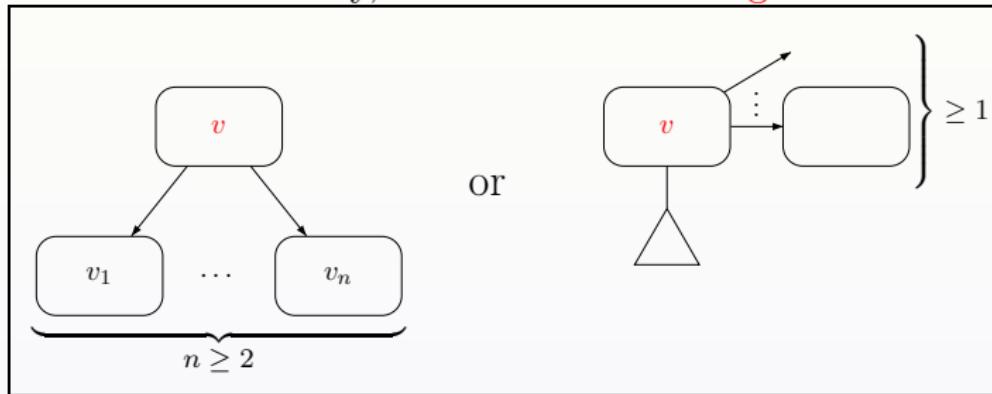
For path $\pi = v_1 \dots v_n$ in MSG G , let $<_{M(\pi)}$ be the partial order of the MSC $M(\pi) = \lambda(v_1) \bullet \dots \bullet \lambda(v_n)$.

For path π let $\min(\pi)$ be the **set of minimal events** along π wrt. $<_{M(\pi)}$.

Branching vertices

A branching vertex either has at least two successors, or is a final¹ vertex with at least one successor.

Pictorially, vertex v is **branching** if:



¹Correction of Lecture 4.

Definition (Local choice)

Let MSG $G = (V, \rightarrow, v_0, F, \lambda)$. MSG G is called **local choice** if for every branching vertex $v \in V$ it holds:

$$\exists \text{process } p. (\forall \pi \in \text{Paths}(v). |\min(\pi)| = 1 \wedge \min(\pi) \subseteq E_p)$$

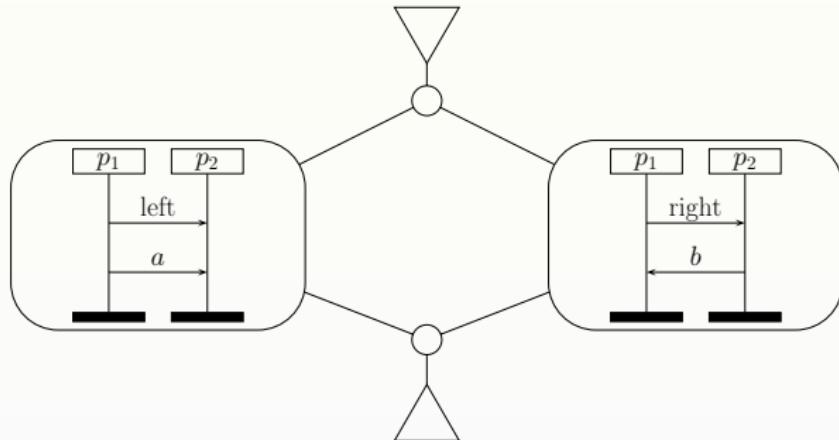
Intuition:

Along every path from a branching vertex in the MSG, there is a single process that initiates behavior. This process decides how to proceed. In a (distributed) implementation, it can inform the other processes how to proceed.

Local choice or not?

Checking whether MSG G is local choice can be done with a worst-case time complexity which is polynomial in the size of G . (Exercise.)

G:



How to resolve a non-local choice?

Amend your MSG and add control messages (cf. above example)

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Definition (Asynchronous iteration)

For $\mathcal{M}_1, \mathcal{M}_2 \subseteq \mathbb{M}$ sets of MSCs, let:

$$\mathcal{M}_1 \bullet \mathcal{M}_2 = \{ M_1 \bullet M_2 \mid M_1 \in \mathcal{M}_1, M_2 \in \mathcal{M}_2 \}$$

For $\mathcal{M} \subseteq \mathbb{M}$ let

$$\mathcal{M}^i = \begin{cases} \{M_\epsilon\} & \text{if } i=0, \text{ where } M_\epsilon \text{ denotes the empty MSC} \\ \mathcal{M} \bullet \mathcal{M}^{i-1} & \text{if } i > 0 \end{cases}$$

The **asynchronous iteration** of \mathcal{M} is now defined by:

$$\mathcal{M}^* = \bigcup_{i \geq 0} \mathcal{M}^i.$$

Regular expressions over MSCs

Definition (Regular expressions over MSCs)

The set $\text{REX}_{\mathbb{M}}$ of **regular expressions** over \mathbb{M} is given by the grammar:

$$\alpha ::= \emptyset \mid M \mid \alpha_1 \cdot \alpha_2 \mid \alpha_1 + \alpha_2 \mid \alpha^*$$

where MSC $M \in \mathbb{M}$.

Definition (Semantics of regular expressions, $L(\cdot) : \text{REX}_{\mathbb{M}} \rightarrow 2^{\mathbb{M}}$)

- $L(\emptyset) = \emptyset$
- $L(M) = \{ M \}$
- $L(\alpha_1 \cdot \alpha_2) = L(\alpha_1) \bullet L(\alpha_2)$
- $L(\alpha_1 + \alpha_2) = L(\alpha_1) \cup L(\alpha_2)$
- $L(\alpha^*) = L(\alpha)^*$

Definition (Locally accepting CFM)

CFM $\mathcal{A} = (((S_p, \Delta_p))_{p \in \mathcal{P}}, \mathbb{D}, s_{init}, F)$ is **locally accepting** if

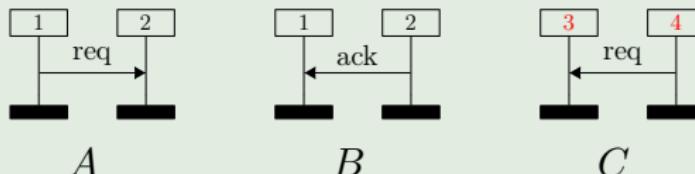
$$F = \prod_{p \in \mathcal{P}} F_p \quad \text{where} \quad F_p \subseteq S_p.$$

An la CFM abbreviates a locally accepting CFM.

Regular expressions for MSCs

Let $\mathcal{P} = \{1, 2, 3, 4\}$ and $\mathcal{C} = \{\text{req}, \text{ack}\}$.

Example



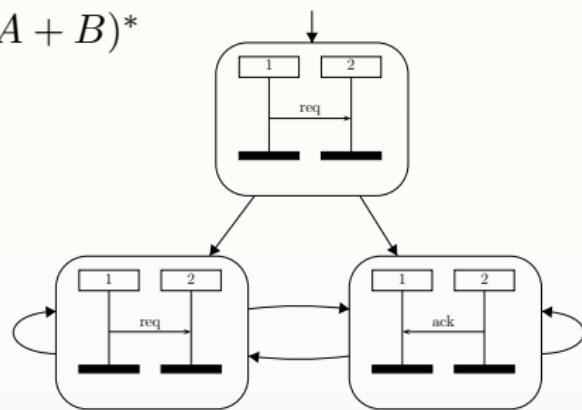
Consider the following regular expressions over \mathbb{M} :

- $\alpha_1 = (A \cdot B)^*$ det. \forall 1-bounded deadlock-free weak la CFM
- $\alpha_2 = (A + B)^*$ det. \exists 1-bounded la CFM
- $\alpha_3 = (A \cdot C)^*$ not realisable
- $\alpha_4 = A \cdot (A + B)^*$ \exists 1-bounded deadlock-free la CFM

How about realisability of $L(\alpha_i)$?

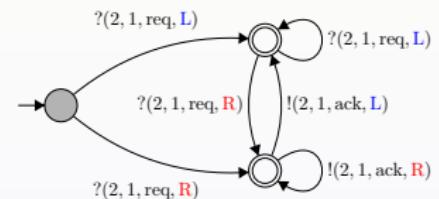
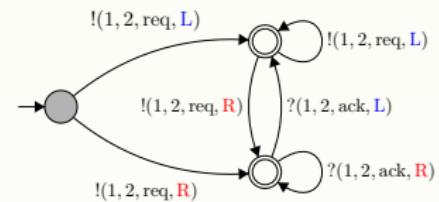
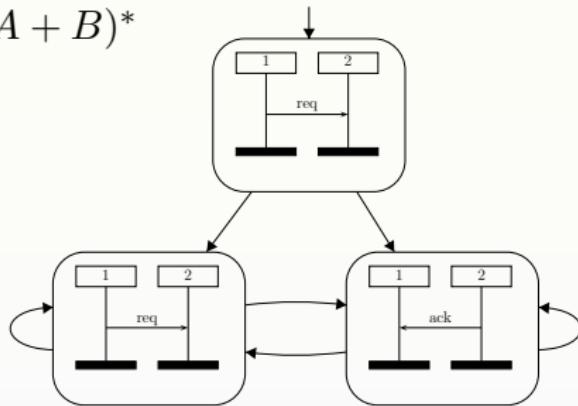
Realising local-choice expressions by deadlock-free CFMs

$$A \cdot (A + B)^*$$



Realising local-choice expressions by deadlock-free CFMs

$$A \cdot (A + B)^*$$

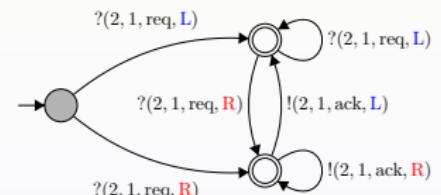
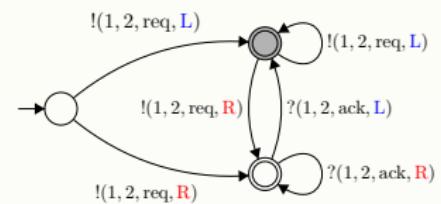
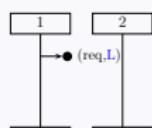
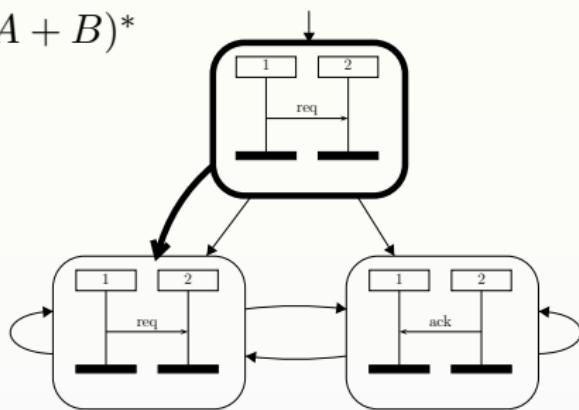


$1 \rightarrow 2 :$
$2 \rightarrow 1 :$

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Realising local-choice expressions by deadlock-free CFMs

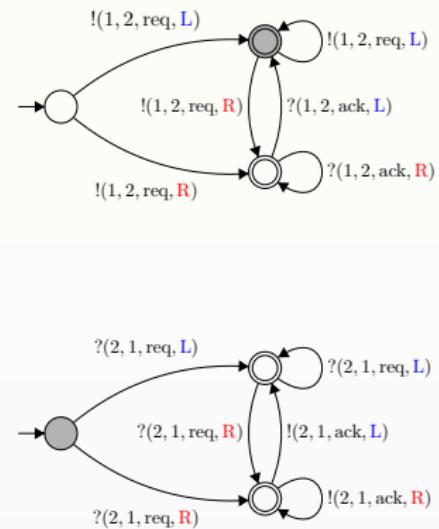
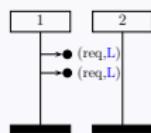
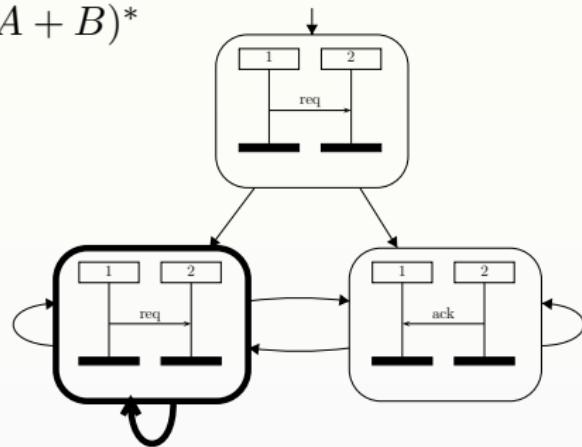
$$A \cdot (A + B)^*$$



$1 \rightarrow 2 : (\text{req}, \text{L})$
 $2 \rightarrow 1 :$

Realising local-choice expressions by deadlock-free CFMs

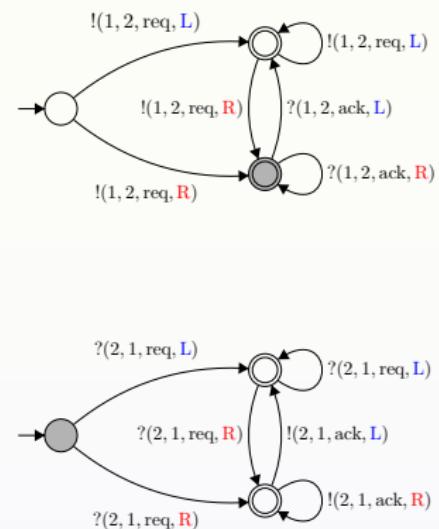
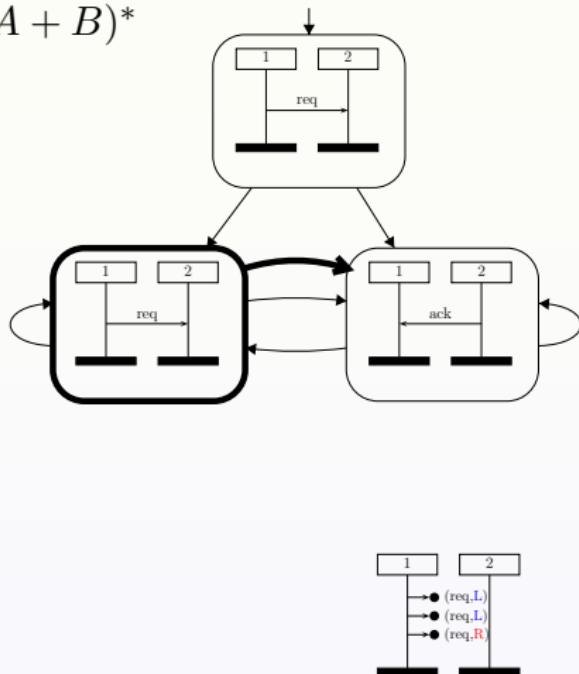
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1 → 2 : (req,L) (req,L)
2 → 1 :

Realising local-choice expressions by deadlock-free CFMs

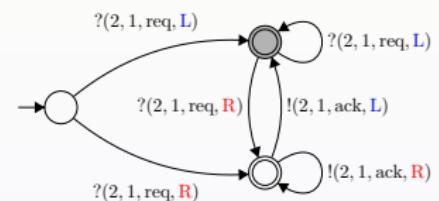
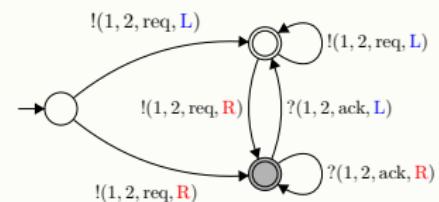
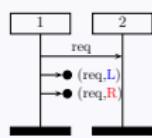
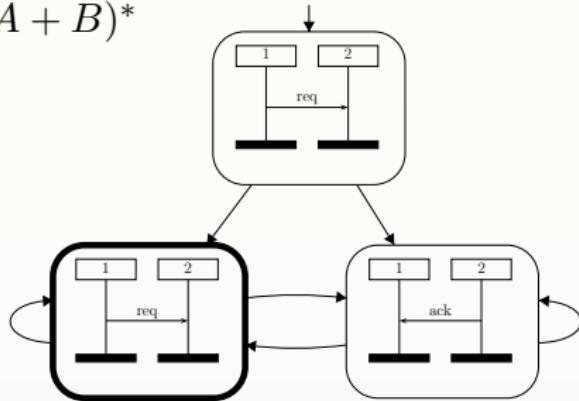
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1 → 2 : (req, L) (req, L) (req, R)
2 → 1 :

Realising local-choice expressions by deadlock-free CFMs

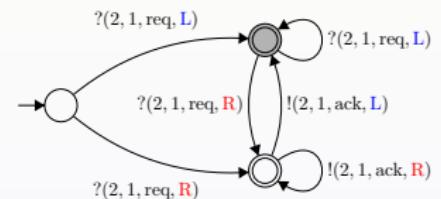
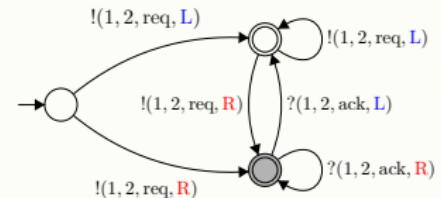
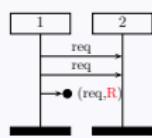
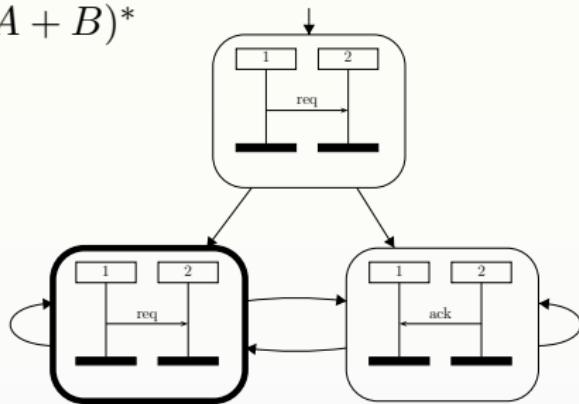
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$1 \rightarrow 2 : (req, L) (req, R)$
 $2 \rightarrow 1 :$

Realising local-choice expressions by deadlock-free CFMs

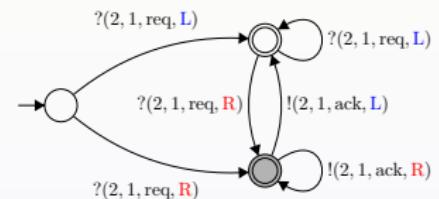
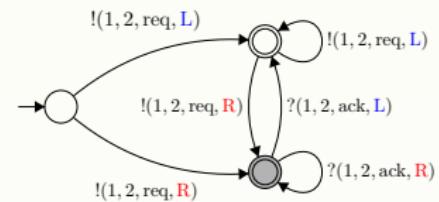
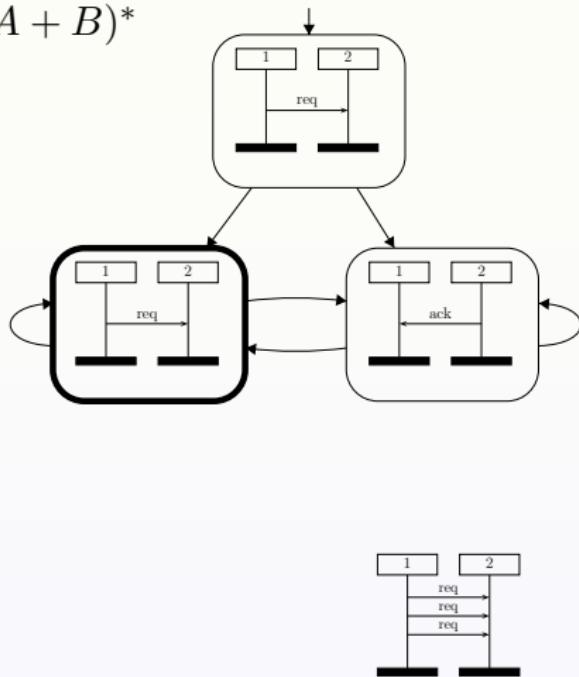
$$A \cdot (A + B)^*$$



$1 \rightarrow 2 : (\text{req}, \text{R})$
 $2 \rightarrow 1 :$

Realising local-choice expressions by deadlock-free CFMs

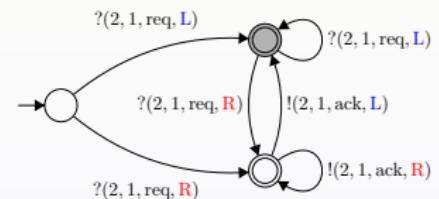
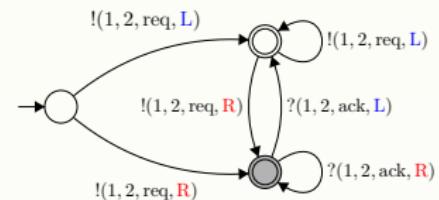
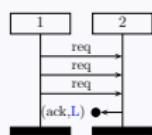
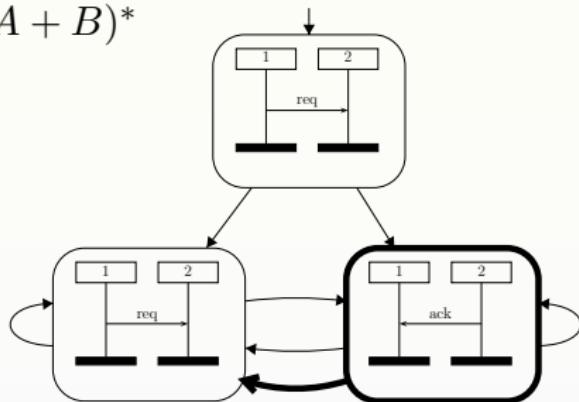
$$A \cdot (A + B)^*$$



1 → 2 :
2 → 1 :

Realising local-choice expressions by deadlock-free CFMs

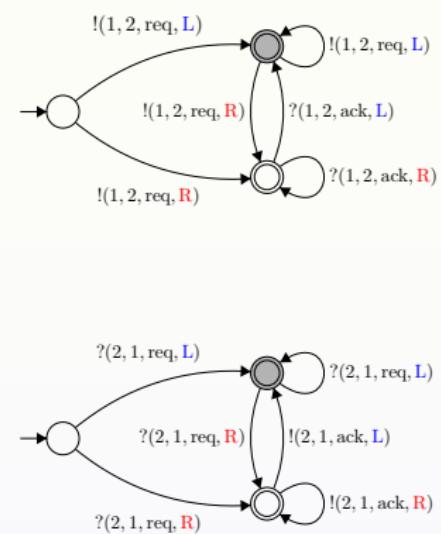
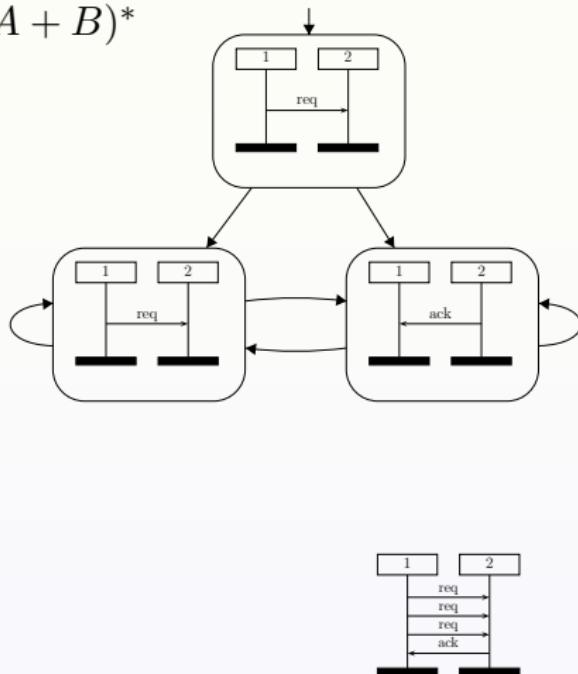
$$A \cdot (A + B)^*$$



1 → 2 :
2 → 1 : (ack, L)

Realising local-choice expressions by deadlock-free CFMs

$$A \cdot (A + B)^*$$



1 → 2 :
2 → 1 :

Star-connected regular expressions

Definition (Connected MSC)

An MSC $M = (\mathcal{P}, E, \mathcal{C}, l, m, <) \in \mathbb{M}$ is **connected** if:

$$\forall e, e' \in E. (e, e') \in (< \cup <^{-1})^*$$

Examples on the black board.

Definition (Star-connected)

Regular expression $\alpha \in \text{REX}_{\mathbb{M}}$ is **star-connected** if, for any subexpression β^* of α , $L(\beta)$ is a set of connected MSCs.

Examples on the black board.

Regular expressions vs. CFMs

Definition (Finitely generated)

Set of MSCs $\mathcal{M} \subseteq \mathbb{M}$ is **finitely generated** if there is a finite set of MSCs $\widehat{\mathcal{M}} \subseteq \mathbb{M}$ such that $\mathcal{M} \subseteq \widehat{\mathcal{M}}^*$.

Theorem

[Morin 2002]

Let \mathcal{M} be finitely generated. Then:

\mathcal{M} is realisable

iff

there exists a **star-connected** regular expression α with $L(\alpha) = \mathcal{M}$.

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An example local-choice MSG on black board.

Theorem

[Genest et. al., 2005]

Any local-choice MSG G is safely realisable by a CFM with additional synchronisation data (which is of size linear in G).

Proof

As MSG G is local choice, at every branch v of G there is a unique process, $p(v)$, say, such that on every path from v the unique minimal event occur at $p(v)$. Then:

- 1 Process $p(v)$ determines the successor vertex of v .
- 2 Process $p(v)$ informs all other processes about its decision by adding synchronisation data to the exchanged messages.
- 3 Synchronisation data is the path (in G) from v to the next branching vertex along the direction chosen by $p(v)$.

Maximal non-branching paths

Definition (Maximal non-branching paths)

For MSG $G = (V, \rightarrow, v_0, F, \lambda)$, let $nbp : V \rightarrow V^*$ be defined by:

$$nbp(v) = \begin{cases} v & \text{if } v \in F \text{ or } v \text{ is a branching vertex} \\ v_1 \dots v_n & \text{otherwise} \end{cases}$$

where $v_1 \dots v_n \in V^*$ is a maximal path (i.e., a path that cannot be prolonged) satisfying:

- ① $v_i = v$ for some i , $0 < i \leq n$, and
- ② $v_n \in F$ or is a branching vertex, and
- ③ $v_1 = v_0$ or is a direct successor of a branching vertex, and
- ④ $v_2, \dots, v_{n-1} \notin F$ and are all non-branching vertices

Intuition

$nbp(v)$ is the maximal non-branching path to which v belongs.

Structure of the CFM of local choice MSG G

Let MSG $G = (V, \rightarrow, v_0, \mathcal{F}, \lambda)$ be local choice.

Define the CFM $\mathcal{A}_G = (((S_p, \Delta_p))_{p \in \mathcal{P}}, \mathbb{D}, s_{init}, \mathcal{F}')$ with:

- ① Local automaton $\mathcal{A}_p = (S_p, \Delta_p)$ as defined on next slides
- ② $\mathbb{D} = \{ npb(v) \mid v \in V \}$
synchronisation data = maximal non-branching paths in G
- ③ $s_{init} = \{ (v_0, \emptyset) \}^n$ where $n = |\mathcal{P}|$
each local automaton \mathcal{A}_p starts in initial state (v_0, \emptyset) , i.e.,
in initial vertex v_0 while no events of p have been performed
- ④ $\overline{s} \in \mathcal{F}'$ iff for all $p \in \mathcal{P}$, local state $\overline{s}[p] = (v, E)$ with $E \subseteq E_p$ and:
 - ① $v \in \mathcal{F}$ and E contains a maximal event wrt. $<_p$ in MSC $\lambda(v)$, or
 - ② $v \notin \mathcal{F}$ and $\pi = v \dots w$ is a path in G with $w \in \mathcal{F}$ and E contains a maximal event wrt. $<_p$ in MSC $\lambda(\pi)$.

State space of local automaton \mathcal{A}_p

- $S_p = V \times E_p$ such that for any $s = (v, E) \in S_p$:

$$\forall e, e' \in \lambda(v). (e <_p e' \text{ and } e' \in E \text{ implies } e \in E)$$

that is, E is downward-closed with respect to $<_p$ in MSC $\lambda(v)$

- Intuition: a state (v, E) means that process p is currently in vertex v of G and has already performed the events E of $\lambda(v)$
- Initial state of \mathcal{A}_p is $\overline{s_{init}}[p] = (v_0, \emptyset)$

Transition relation of local automaton \mathcal{A}_p

- Executing events within a vertex of the MSG G :

$$\frac{e \in E_p \cap \lambda(v) \text{ and } e \notin E}{(v, E) \xrightarrow{l(e), nbp(v)}_p (v, E \cup \{e\})}$$

Note: since $E \cup \{e\}$ is downward-closed wrt. $<_p$, e is enabled

- Taking an edge (possibly a self-loop) of the MSG G :

$$\frac{E = E_p \cap \lambda(\textcolor{blue}{v}) \text{ and } e \in E_p \cap \lambda(\textcolor{red}{w}) \text{ and } \textcolor{blue}{v}u_0 \dots u_n \textcolor{red}{w} \in V^* \text{ with } p \text{ not active in } u_0 \dots u_n}{(\textcolor{blue}{v}, E) \xrightarrow{l(e), nbp(\textcolor{red}{w})}_p (\textcolor{red}{w}, \{e\})}$$

Note: vertex $\textcolor{red}{w}$ is the first successor vertex of $\textcolor{blue}{v}$ on which p is active

Examples

A couple of examples on the black board.