

# Principles of Model Checking

## Solutions to exercise class 6

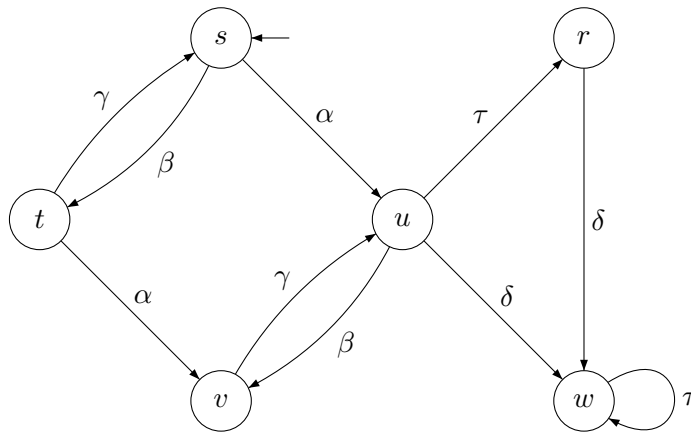
Partial Order Reduction

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### Problem 1

Consider the transition system  $TS$  below, with action set  $Act = \{\alpha, \beta, \gamma, \delta, \tau\}$ . Determine the pairs of independent actions.



### Solution:

Recall that actions  $\alpha_1$  and  $\alpha_2$  are independent if for any  $s \in S$  with  $\alpha_1, \alpha_2 \in Act(s)$  it holds that

$$\alpha_1 \in Act(\alpha_2(s)) \quad \wedge \quad \alpha_2 \in Act(\alpha_1(s)) \quad \wedge \quad \alpha_1(\alpha_2(s)) = \alpha_2(\alpha_1(s)).$$

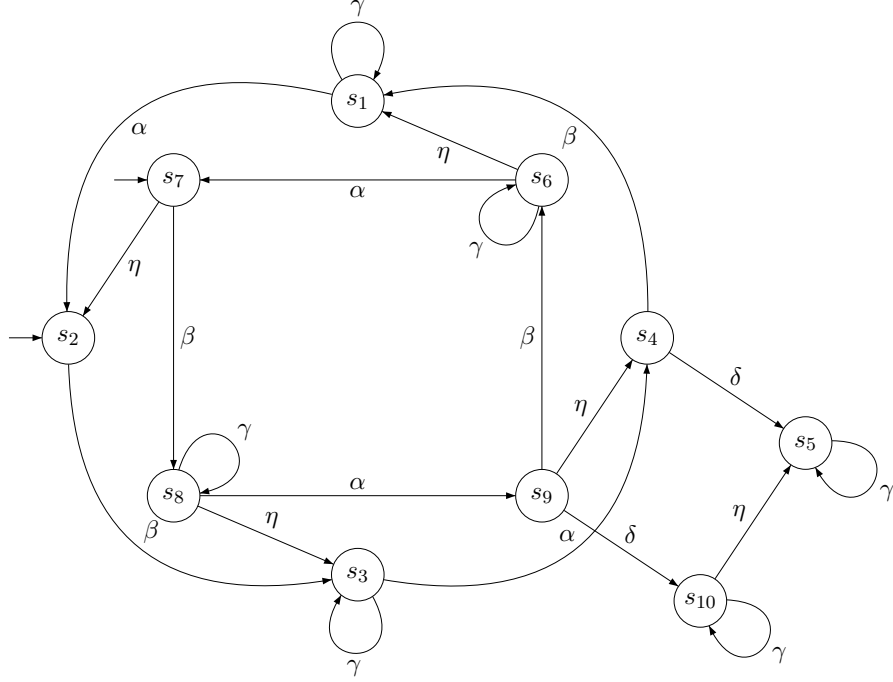
Note that if  $\alpha_1$  and  $\alpha_2$  are never enabled in the same state, it is obvious that they are independent (indicated below by  $+$ ).

action pair	$\alpha\beta$	$\alpha\gamma$	$\alpha\delta$	$\alpha\tau$	$\beta\gamma$	$\beta\delta$	$\beta\tau$	$\gamma\delta$	$\gamma\tau$	$\delta\tau$
independent	+	+	+	+	+	-	-	+	+	+

The reason for the two minuses can be explored in state  $u$ .

## Problem 2

Consider the transition system below:



The states labeling is as follows:

- $L(s_{10}) = \emptyset$
- $L(s_6) = L(s_7) = \{a\}$
- $L(s_3) = L(s_4) = L(s_5) = L(s_8) = L(s_9) = \{b\}$
- $L(s_1) = L(s_2) = \{a, b\}$

Prove or disprove that each of the following *ample sets* satisfy requirements  $A1$  through  $A3$ . Also check whether the requirement  $A4$  holds.

- $\text{ample}(s_6) = \{\alpha, \gamma\}$
- $\text{ample}(s_7) = \{\beta\}$
- $\text{ample}(s_8) = \{\alpha\}$
- $\text{ample}(s_9) = \{\alpha, \beta, \delta\}$
- $\text{ample}(s_{10}) = \{\gamma, \eta\}$

In case some of the conditions  $A1$  through  $A4$  do not hold, modify the *ample sets* in an appropriate way to fix it. Clarify your changes.

**Solution:**

- Let us first indicate dependent and independent actions which we might need:
  - Action  $\eta$  is independent of  $\alpha, \beta, \gamma, \delta$ .
  - Action  $\alpha$  and  $\gamma$  are dependent, and so are  $\delta$  and  $\beta$ .
- Now let's indicate the stutter actions:
  - Clearly  $\alpha, \gamma$  are stutter actions.
- Considering all given *ample sets*, and the condition (A4), we realize that for the cycle  $s_6, s_7, s_8, s_9, s_6$ ,  $\eta \in Act(s_6)$ , but  $\eta \notin ample(s_6) \cup ample(s_7) \cup ample(s_8) \cup ample(s_9)$ . Thus, condition (A4) is violated. In order to fix it we have to add  $\eta$  for example to  $ample(s_7)$ . Later, we will see that  $\eta$  has to be added to  $ample(s_7)$  anyway.
 

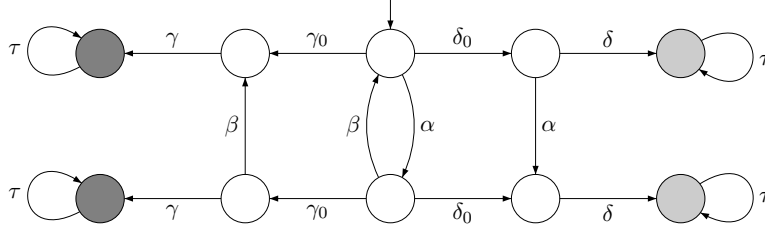
On the cycle  $s_2, s_3, s_4, s_1, s_2$  all states are fully-expanded, so they clearly satisfy the cycle condition.
- Consider each of the given *ample sets* (+ stands for satisfied, – for not satisfied):
  - $ample(s_6) = \{\alpha, \gamma\}$ :
    - (A1) +:  $ample(s_6) \neq \emptyset$ .
    - (A2) +: All paths starting from  $s_6$  either start with an ample action  $\alpha, \gamma$ , or with  $\eta$ . Since  $\eta$  is independent of both  $\alpha$  and  $\gamma$ , this is fine. After  $\eta$ , either  $\gamma$  or  $\alpha$  is observed. Hence, every path from  $s_6$  at some point reaches an ample action while beforehand only containing actions independent of  $ample(s_6)$ .
    - (A3) +: as  $\alpha$  and  $\gamma$  are stutter actions, (A3) clearly holds.
  - $ample(s_7) = \{\beta\}$ :
    - (A1) +:  $ample(s_7) \neq \emptyset$ .
    - (A2) +: All paths from  $s_7$  start by either  $\beta$  or  $\eta\beta$ . Since  $\beta$  is in the ample set, and  $\eta$  is independent of  $\beta$ , (A2) is satisfied.
    - (A3) –:  $ample(s_7) \neq Act(s_7)$  and  $\beta$  is not a stutter action, so we should have  $ample(s_7) = \{\beta, \eta\}$ .

- $ample(s_8) = \{\alpha\}$ :
    - (A1) +:  $ample(s_8) \neq \emptyset$ .
    - (A2) –: For the execution  $s_8 \xrightarrow{\gamma} s_8$  we find that  $ample(s_8)$  and  $\gamma$  are dependent, so we have to have  $ample(s_8) = \{\alpha, \gamma\}$ . Then, (A2) indeed holds, as all paths starting from  $s_8$  either start with ample action  $\gamma$  or  $\alpha$ , or by  $\eta\alpha$  (which is also fine since  $\eta$  is independent of  $ample(s_8)$  and  $\alpha$  is an ample action).
    - (A3) +: as  $\alpha$  is a stutter action (for  $ample(s_8) = \{\alpha, \gamma\}$  the condition holds as well, since  $\gamma$  is also a stutter action).
  
  - $ample(s_9) = \{\alpha, \beta, \delta\}$ :
    - (A1) –:  $ample(s_9) \neq \emptyset$  but  $ample(s_9) \setminus Act(s_9) = \{\alpha\}$ , thus it should be  $ample(s_9) = \{\beta, \delta\}$ .
    - (A2) +: All paths starting from  $s_9$  begin with an ample action, or with the independent action  $\eta$  followed by an ample action.
    - (A3) –: As  $ample(s_9) \neq Act(s_9)$  and  $\beta, \delta$  are not stutter actions, (A3) is violated. Thus to fix it, we are obliged to set  $ample(s_9) = \{\beta, \delta, \eta\}$ , note that (A1), (A2), (A3) then hold, because  $ample(s_9) = Act(s_9)$ .
  
  - $ample(s_{10}) = \{\gamma, \eta\}$ :
    - (A1) +:  $ample(s_{10}) \neq \emptyset$ .
    - (A2) +:  $ample(s_{10}) = Act(s_{10})$ .
    - (A3) +:  $ample(s_{10}) = Act(s_{10})$ .

Note that we can not have  $ample(s_{10}) = \{\gamma\}$ , because it will violate (A4).
- After fixing all the problems with the given *ample sets* we have:
    - $ample(s_6) = \{\alpha, \gamma\}$
    - $ample(s_7) = \{\beta, \eta\}$
    - $ample(s_8) = \{\alpha, \gamma\}$
    - $ample(s_9) = \{\beta, \delta, \eta\}$
    - $ample(s_{10}) = \{\gamma, \eta\}$

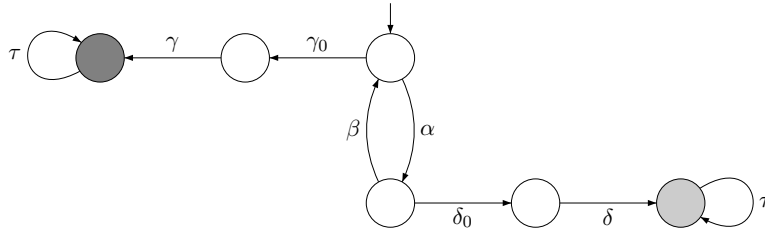
### Problem 3

Consider the transition system  $TS$  shown in the following figure. Show that the ample set conditions (A1)-(A4) do not allow for any state reduction, although there is a smaller subsystem  $\widehat{TS}$  that is stutter-trace equivalent to  $TS$ .



#### Solution:

The reduced system shown in the following picture is easily seen to be stutter-trace equivalent to  $TS$ :



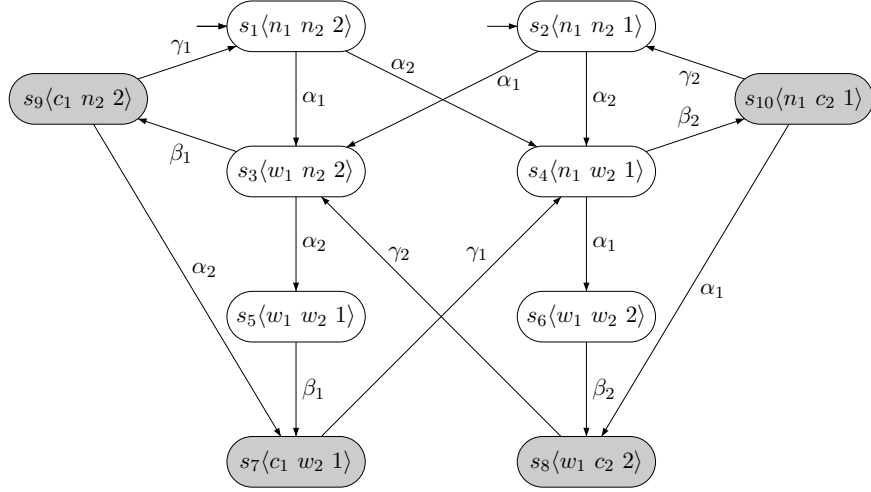
Conditions (A1)-(A4) do not allow for any state reduction, though, since the following pairs of actions are dependent:

$$(\alpha, \gamma_0), (\beta, \delta_0), (\gamma_0, \delta_0), (\gamma, \beta), (\alpha, \delta).$$

Thus, the initial state  $s_0$  has to be fully expanded by the dependency condition (A2). The same argument applies to  $\alpha(s_0)$  which has to be fully expanded too. Since  $\beta$  and  $\gamma$  are dependent, the  $\gamma_0$ -successor of  $\alpha(s_0)$  has to be fully expanded. The same holds for the  $\delta_0$ -successor of  $s_0$ , since  $\alpha$  and  $\delta$  are dependent. The nonemptiness condition (A1) then yields that all states are fully expanded.

## Problem 4

Consider the transition system  $TS_{Pet}$  below, which is for the Peterson mutual exclusion algorithm.



### Questions:

- Which actions are independent?
- Apply the partial order reduction approach to get a reduced system equivalent to  $TS_{Pet}$ .

### Solution:

- In state  $s_1$  and  $s_2$  it can be seen that  $\alpha_1$  and  $\alpha_2$  are dependent. It is easy to verify that in no other state any dependent actions occur, so except for  $(\alpha_1, \alpha_2)$  all action pairs are independent.
- In  $s_1$  and  $s_2$  no reduction is possible, since  $\alpha_1$  and  $\alpha_2$  are dependent. In  $s_3$  it is not possible to take  $ample(s_3) = \{\beta_1\}$  since  $\beta_1$  is not a stutter action, and it is also not possible to take  $ample(s_3) = \{\alpha_2\}$  since in that case there would be a path  $s_3 \xrightarrow{\beta_1} s_9 \xrightarrow{\gamma_1} s_1 \xrightarrow{\alpha_1} s_3$  violating (A2), since  $\alpha_1$  depends on  $\alpha_2$ . Hence, also  $s_3$  should be fully expanded. For  $s_4, s_9$  and  $s_{10}$  similar arguments can be made. In  $s_5, s_6, s_7$  and  $s_8$  there is only one transition, so also there no reduction is possible.

Hence,  $ample(s_i) = Act(s_i)$  for every state  $s_i$ , and the reduced system equals the original system.