

Büchi Automata (2)

Lecture #10 of Model Checking

Joost-Pieter Katoen

Lehrstuhl 2: Software Modeling & Verification

E-mail: katoen@cs.rwth-aachen.de

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Overview Lecture #10

⇒ Checking Non-Emptiness

- Deterministic Büchi Automata (DBA)
- Generalized Nondeterministic Büchi Automata (GNBA)

Büchi automata

A *nondeterministic Büchi automaton* (NBA) \mathcal{A} is a tuple $(Q, \Sigma, \delta, Q_0, F)$ where:

- Q is a finite set of states with $Q_0 \subseteq Q$ a set of initial states
- Σ is an **alphabet**
- $\delta : Q \times \Sigma \rightarrow 2^Q$ is a **transition function**
- $F \subseteq Q$ is a set of **accept** (or: final) states

The **size** of \mathcal{A} , denoted $|\mathcal{A}|$, is the number of states and transitions in \mathcal{A} :

$$|\mathcal{A}| = |Q| + \sum_{q \in Q} \sum_{A \in \Sigma} |\delta(q, A)|$$

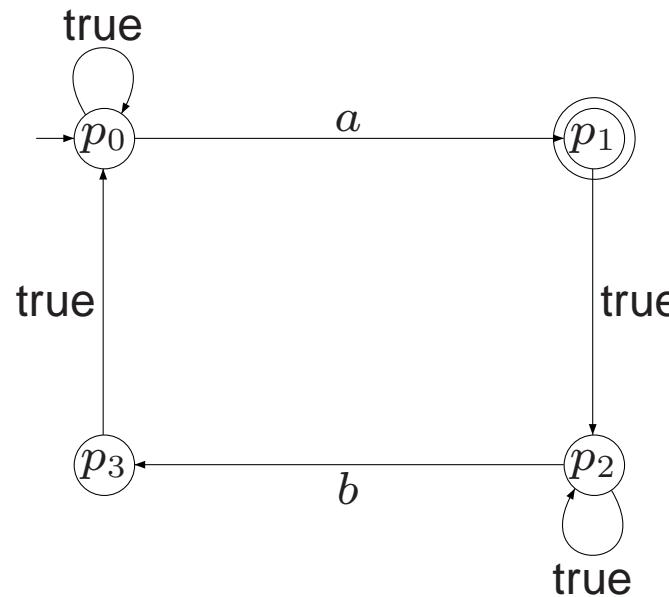
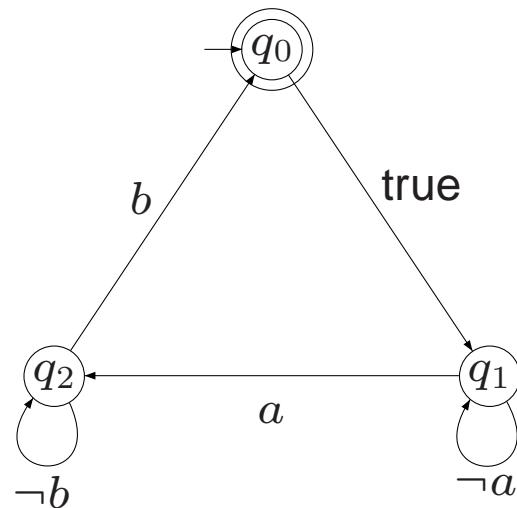
Language of an NBA

- NBA $\mathcal{A} = (Q, \Sigma, \delta, Q_0, F)$ and word $\sigma = A_0 A_1 A_2 \dots \in \Sigma^\omega$
- A *run* for σ in \mathcal{A} is an **infinite sequence** $q_0 q_1 q_2 \dots$ such that:
 - $q_0 \in Q_0$ and $q_i \xrightarrow{A_i} q_{i+1}$ for all $0 \leq i$
- Run $q_0 q_1 q_2 \dots$ is **accepting** if $q_i \in F$ for infinitely many i
- $\sigma \in \Sigma^\omega$ is **accepted** by \mathcal{A} if there exists an accepting run for σ
- The **accepted language** of \mathcal{A} :

$$\mathcal{L}_\omega(\mathcal{A}) = \{ \sigma \in \Sigma^\omega \mid \text{there exists an accepting run for } \sigma \text{ in } \mathcal{A} \}$$

- NBA \mathcal{A} and \mathcal{A}' are **equivalent** if $\mathcal{L}_\omega(\mathcal{A}) = \mathcal{L}_\omega(\mathcal{A}')$

Equivalent NBA



infinitely often a and infinitely often b

NBA and ω -regular languages

The class of languages accepted by NBA
agrees with the class of ω -regular languages

- (1) any ω -regular language is recognized by an NBA
- (2) for any NBA \mathcal{A} , the language $\mathcal{L}_\omega(\mathcal{A})$ is ω -regular

Extended transition function

Extend the transition function δ to $\delta^* : Q \times \Sigma^* \rightarrow 2^Q$ by:

$$\delta^*(q, \varepsilon) = \{ q \} \quad \text{and} \quad \delta^*(q, A) = \delta(q, A)$$

$$\delta^*(q, A_1 A_2 \dots A_n) = \bigcup_{p \in \delta(q, A_1)} \delta^*(p, A_2 \dots A_n)$$

$\delta^*(q, w)$ = set of states reachable from q for the word w

Checking non-emptiness

$\mathcal{L}_\omega(\mathcal{A}) \neq \emptyset$ if and only if

$\exists q_0 \in Q_0. \exists q \in F. \exists w \in \Sigma^*. \exists v \in \Sigma^+. \underbrace{q \in \delta^*(q_0, w) \wedge q \in \delta^*(q, v)}$
there is a reachable accept state on a cycle

The emptiness problem for NBA \mathcal{A} can be solved in time $\mathcal{O}(|\mathcal{A}|)$

Non-blocking NBA

- NBA \mathcal{A} is *non-blocking* if $\delta(q, A) \neq \emptyset$ for all q and $A \in \Sigma$
 - for each input word there exists an infinite run
- For each NBA \mathcal{A} there exists a non-blocking NBA $\text{trap}(\mathcal{A})$ with:
 - $|\text{trap}(\mathcal{A})| = \mathcal{O}(|\mathcal{A}|)$ and $\mathcal{A} \equiv \text{trap}(\mathcal{A})$
- For $\mathcal{A} = (Q, \Sigma, \delta, Q_0, F)$ let $\text{trap}(\mathcal{A}) = (Q', \Sigma, \delta', Q_0, F)$ with:
 - $Q' = Q \cup \{ q_{\text{trap}} \}$ where $\{ q_{\text{trap}} \} \notin Q$
 - $$\delta'(q, A) = \begin{cases} \delta(q, A) & : \text{if } q \in Q \text{ and } \delta(q, A) \neq \emptyset \\ \{q_{\text{trap}}\} & : \text{otherwise} \end{cases}$$

Overview Lecture #10

- Checking Non-Emptiness

⇒ Deterministic Büchi Automata (DBA)

- Generalized Nondeterministic Büchi Automata (GNBA)

Deterministic BA

Büchi automaton \mathcal{A} is called *deterministic* if

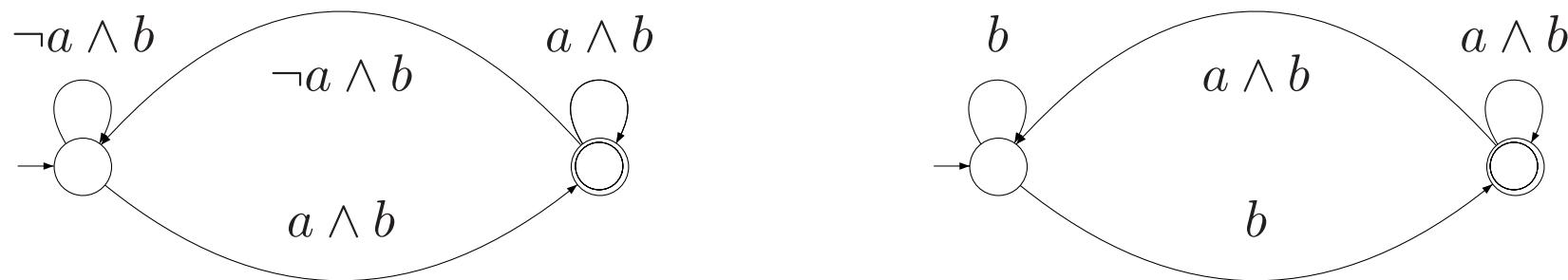
$$|Q_0| \leq 1 \quad \text{and} \quad |\delta(q, A)| \leq 1 \quad \text{for all } q \in Q \text{ and } A \in \Sigma$$

DBA \mathcal{A} is called *total* if

$$|Q_0| = 1 \quad \text{and} \quad |\delta(q, A)| = 1 \quad \text{for all } q \in Q \text{ and } A \in \Sigma$$

total DBA provide unique runs for each input word

Example DBA for LT property



These NBA both represent the LT property "always b and infinitely often a "

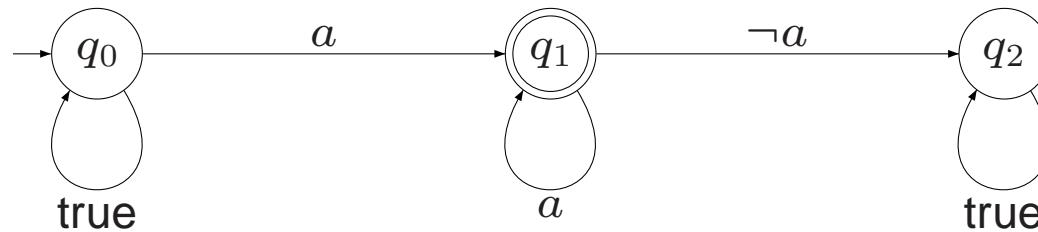
NBA are more expressive than DBA

NFA and DFA are equally expressive but NBA and DBA are **not!**

There is no DBA that accepts $\mathcal{L}_\omega((A + B)^* B^\omega)$

Proof

The need for nondeterminism



let $\{ a \} = AP$, i.e., $2^{AP} = \{A, B\}$ where $A = \{ \}$ and $B = \{a\}$

"eventually for ever a " equals $(A + B)^* B^\omega = (\{ \} + \{a\})^* \{a\}^\omega$

Overview Lecture #10

- Checking Non-Emptiness
- Deterministic Büchi Automata (DBA)

⇒ **Generalized Nondeterministic Büchi Automata (GNBA)**

Generalized Büchi automata

- NBA are as expressive as ω -regular languages
- Variants of NBA exist that are equally expressive
 - Muller, Rabin, and Streett automata
 - *generalized Büchi automata* (GNBA)
- GNBA are like NBA, but have a distinct *acceptance criterion*
 - a GNBA requires to visit several sets F_1, \dots, F_k ($k \geq 0$) infinitely often
 - for $k=0$, all runs are accepting
 - for $k=1$ this boils down to an NBA
- GNBA are useful to relate temporal logic and automata
 - but they are equally expressive as NBA

Generalized Büchi automata

A *generalized NBA* (GNBA) \mathcal{G} is a tuple $(Q, \Sigma, \delta, Q_0, \mathcal{F})$ where:

- Q is a finite set of states with $Q_0 \subseteq Q$ a set of initial states
- Σ is an *alphabet*
- $\delta : Q \times \Sigma \rightarrow 2^Q$ is a *transition function*
- $\mathcal{F} = \{ F_1, \dots, F_k \}$ is a (possibly empty) subset of 2^Q

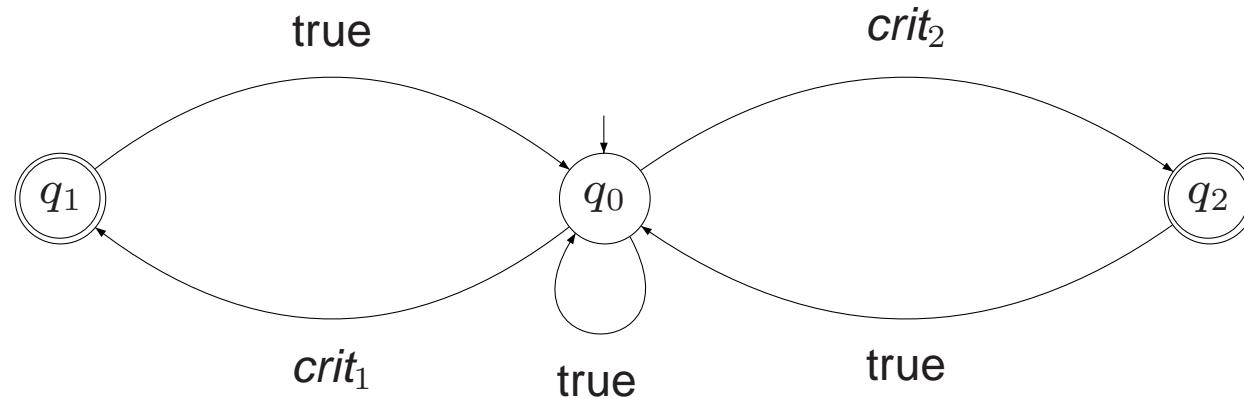
The *size* of \mathcal{G} , denoted $|\mathcal{G}|$, is the number of states and transitions in \mathcal{G} :

$$|\mathcal{G}| = |Q| + \sum_{q \in Q} \sum_{A \in \Sigma} |\delta(q, A)|$$

Language of a GNBA

- GNBA $\mathcal{G} = (Q, \Sigma, \delta, Q_0, \mathcal{F})$ and word $\sigma = A_0 A_1 A_2 \dots \in \Sigma^\omega$
- A *run* for σ in \mathcal{G} is an **infinite sequence** $q_0 q_1 q_2 \dots$ such that:
 - $q_0 \in Q_0$ and $q_i \xrightarrow{A_{i+1}} q_{i+1}$ for all $0 \leq i$
- Run $q_0 q_1 \dots$ is *accepting* if **for all** $F \in \mathcal{F}$: $q_i \in F$ for infinitely many i
- $\sigma \in \Sigma^\omega$ is *accepted* by \mathcal{G} if there exists an accepting run for σ
- The *accepted language* of \mathcal{G} :
 - $\mathcal{L}_\omega(\mathcal{G}) = \left\{ \sigma \in \Sigma^\omega \mid \text{there exists an accepting run for } \sigma \text{ in } \mathcal{G} \right\}$
- GNBA \mathcal{G} and \mathcal{G}' are *equivalent* if $\mathcal{L}_\omega(\mathcal{G}) = \mathcal{L}_\omega(\mathcal{G}')$

Example



A GNBA for the property "both processes are infinitely often in their critical section"

From GNBA to NBA

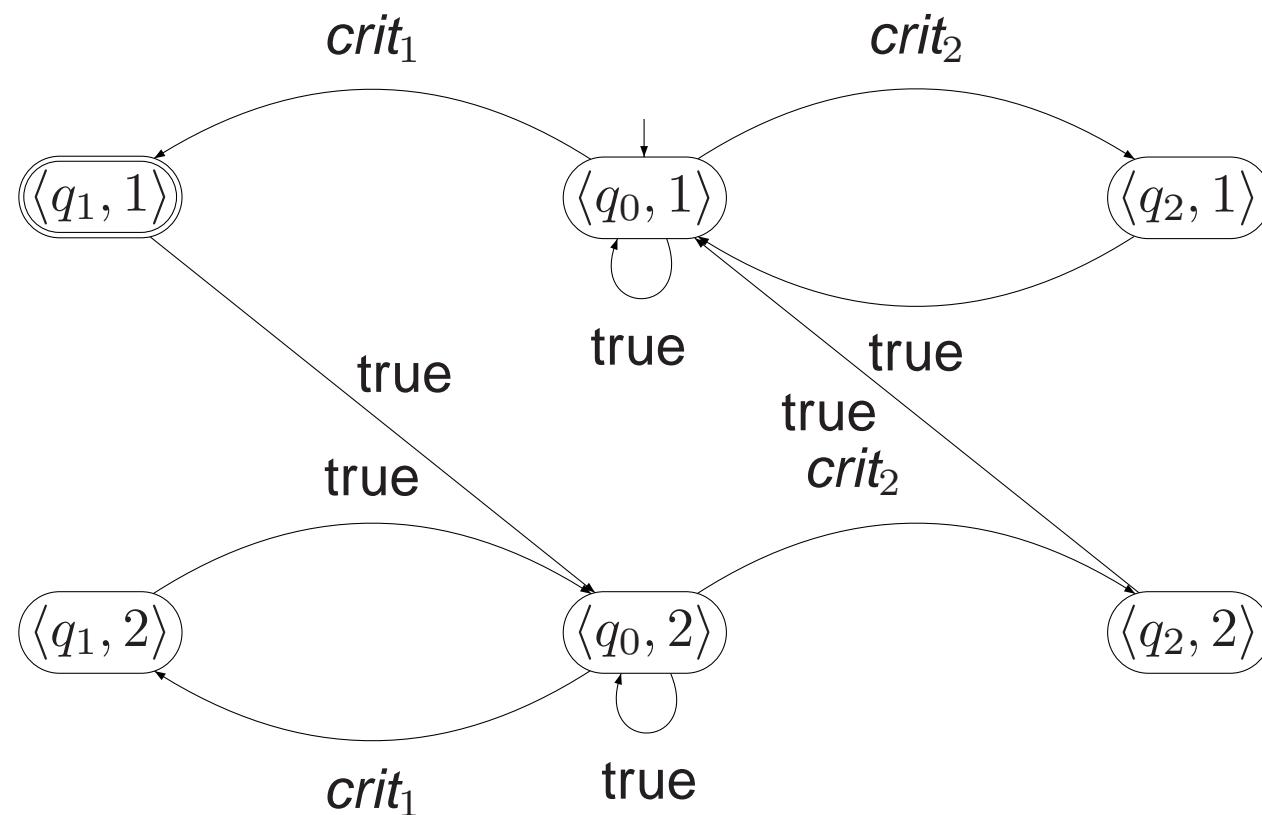
For any GNBA \mathcal{G} there exists an NBA \mathcal{A} with:

$$\mathcal{L}_\omega(\mathcal{G}) = \mathcal{L}_\omega(\mathcal{A}) \text{ and } |\mathcal{A}| = \mathcal{O}(|\mathcal{G}| \cdot |\mathcal{F}|)$$

where \mathcal{F} denotes the set of acceptance sets in \mathcal{G}

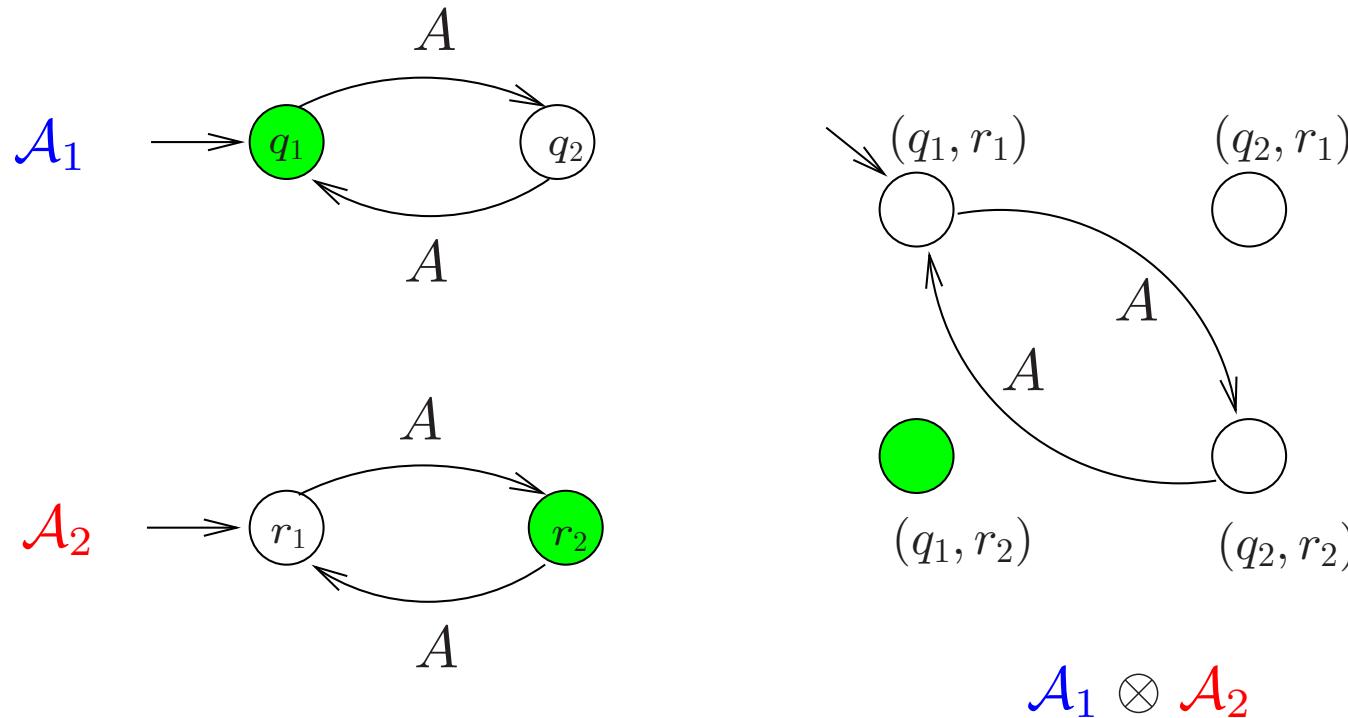
Proof

Example



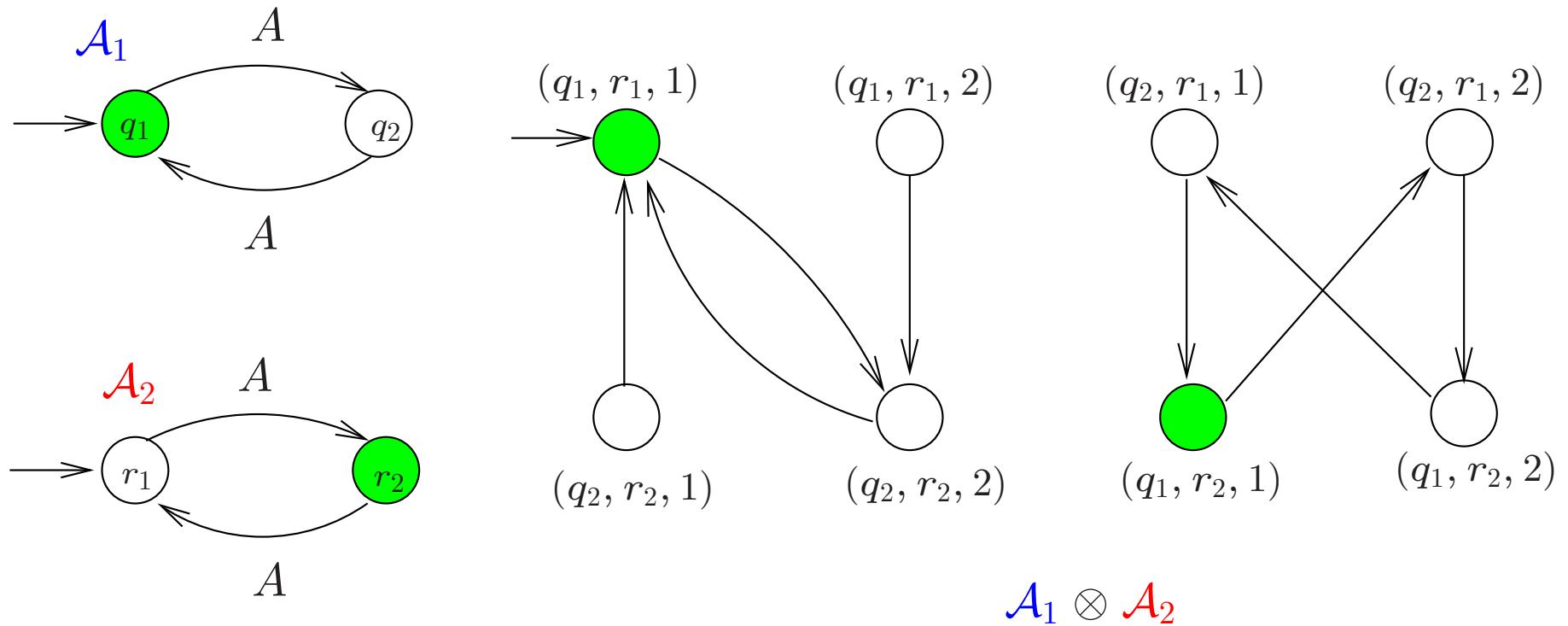
Product of Büchi automata

The product construction for finite automata does *not* work:



$$\mathcal{L}_\omega(\mathcal{A}_1) = \mathcal{L}_\omega(\mathcal{A}_2) = \{ A^\omega \}, \text{ but } \mathcal{L}_\omega(\mathcal{A}_1 \otimes \mathcal{A}_2) = \emptyset$$

Product of Büchi automata



Intersection

For GNBA \mathcal{G}_1 and \mathcal{G}_2 there exists a GNBA \mathcal{G} with

$$\mathcal{L}_\omega(\mathcal{G}) = \mathcal{L}_\omega(\mathcal{G}_1) \cap \mathcal{L}_\omega(\mathcal{G}_2) \quad \text{and} \quad |\mathcal{G}| = \mathcal{O}(|\mathcal{G}_1| + |\mathcal{G}_2|)$$

Proof

Facts about Büchi automata

- They are as expressive as ω -regular languages
- They are closed under various operations and also under \cap
 - deterministic automaton – \mathcal{A} accepts $-\mathcal{L}_\omega(\mathcal{A})$
- Nondeterministic BA are more expressive than deterministic BA
- Emptiness check = check for reachable recurrent accept state
 - this can be done in $\mathcal{O}(|\mathcal{A}|)$