

Modeling Concurrent and Probabilistic Systems

Lecture 9: Decidability of Observation Congruence

Joost-Pieter Katoen Thomas Noll

Software Modeling and Verification Group

RWTH Aachen University

`noll@cs.rwth-aachen.de`

`http://www-i2.informatik.rwth-aachen.de/i2/mcps07/`

Winter Semester 2007/08

- 1 Repetition: Observation Congruence
- 2 Decidability of Observation Congruence
- 3 The Alternating Bit Protocol

Repetition: Definition of Obs. Congruence

Goal: introduce an equivalence which has most of the desirable properties of $\approx$ and which is preserved under all CCS operators

Definition

$P, Q \in Prc$ are called **observationally congruent** (notation: $P \simeq Q$) if, for every $\alpha \in Act$,

- ① $P \xrightarrow{\alpha} P' \implies \text{ex. } Q' \in Prc \text{ such that } Q \xRightarrow{\alpha} Q' \text{ and } P' \approx Q'$
- ② $Q \xrightarrow{\alpha} Q' \implies \text{ex. } P' \in Prc \text{ such that } P \xRightarrow{\alpha} P' \text{ and } P' \approx Q'$

Remark: $\simeq$ differs from $\approx$ only in the use of $\xRightarrow{\alpha}$ rather than $\xrightarrow{\hat{\alpha}}$, i.e., it requires τ -actions from P or Q to be simulated by at least one τ -step in the other process. This only applies to the first step; the successors just have to satisfy $P' \approx Q'$ (and not $P' \simeq Q'$).

Properties

- ① $LTS(P) = LTS(Q)$
 $\implies P \sim Q$
 $\implies P \simeq Q$
 $\implies P \approx Q$
 $\implies \hat{Tr}(P) = \hat{Tr}(Q)$
- ② $\simeq$ is an equivalence relation
- ③ $\simeq$ is (non- τ) deadlock sensitive
- ④ $\simeq$ is a CCS congruence
- ⑤ For every $P, Q \in Proc$,
$$P \simeq Q \iff P + R \approx Q + R \text{ for every } R \in Proc$$
- ⑥ For every $P, Q \in Proc$,
$$P \approx Q \iff P \simeq Q \text{ or } P \simeq \tau.Q \text{ or } \tau.P \simeq Q$$

- 1 Repetition: Observation Congruence
- 2 Decidability of Observation Congruence
- 3 The Alternating Bit Protocol

The Problem

We now show that the **word problem for observation equivalence**

Problem

Given: $P, Q \in \text{Proc}$

Question: $P \simeq Q$?

is decidable for finite-state processes (i.e., for those with $|S(P)|, |S(Q)| < \infty$ where $S(P) := \{P' \in \text{Proc} \mid P \longrightarrow^* P'\}$) (in general it is undecidable).

Since the definition of $\simeq$ directly relies on $\approx$ (cf. Definitions 7.6 and 8.2), we first extend the partitioning algorithm from $\sim$ (Theorem 6.2) to $\approx$.

The Problem

We now show that the **word problem for observation equivalence**

Problem

Given: $P, Q \in \text{Proc}$

Question: $P \simeq Q$?

is decidable for finite-state processes (i.e., for those with $|S(P)|, |S(Q)| < \infty$ where $S(P) := \{P' \in \text{Proc} \mid P \longrightarrow^* P'\}$) (in general it is undecidable).

Since the definition of $\simeq$ directly relies on $\approx$ (cf. Definitions 7.6 and 8.2), we first extend the partitioning algorithm from $\sim$ (Theorem 6.2) to $\approx$.

The Partitioning Algorithm I

Theorem 9.1 (Partitioning algorithm for $\sim$)

Input: $LTS (S, Act, \longrightarrow)$ (S finite)

Procedure:

- ① Start with initial partition $\Pi := \{S\}$
- ② Let $B \in \Pi$ be a block and $\alpha \in Act$ an action
- ③ For every $P \in B$, let
$$\alpha(P) := \{C \in \Pi \mid \text{ex. } P' \in C \text{ with } P \xrightarrow{\alpha} P'\}$$
be the set of P 's α -successor blocks
- ④ Partition $B = \sum_{i=1}^k B_i$ such that
$$P, Q \in B_i \iff \alpha(P) = \alpha(Q) \text{ for every } \alpha \in Act$$
- ⑤ Let $\Pi := (\Pi \setminus \{B\}) \cup \{B_1, \dots, B_k\}$
- ⑥ Continue with (2) until Π is stable

Output: Partition $\hat{\Pi}$ of S

Then, for every $P, Q \in S$,

$$P \sim Q \iff \text{ex. } B \in \hat{\Pi} \text{ with } P, Q \in B$$

The Partitioning Algorithm I

Theorem 9.1 (Partitioning algorithm for $\approx$)

Input: $LTS (S, Act, \longrightarrow)$ (S finite)

Procedure: ❶ Start with initial partition $\Pi := \{S\}$

❷ Let $B \in \Pi$ be a block and $\alpha \in Act$ an action

❸ For every $P \in B$, let

$$\alpha^*(P) := \{C \in \Pi \mid \text{ex. } P' \in C \text{ with } P \xrightarrow{\alpha} P'\}$$

be the set of P 's α -successor blocks

❹ Partition $B = \sum_{i=1}^k B_i$ such that

$$P, Q \in B_i \iff \alpha^*(P) = \alpha^*(Q) \text{ for every } \alpha \in Act$$

❺ Let $\Pi := (\Pi \setminus \{B\}) \cup \{B_1, \dots, B_k\}$

❻ Continue with (2) until Π is stable

Output: Partition $\hat{\Pi}$ of S

Then, for every $P, Q \in S$,

$$P \approx Q \iff \text{ex. } B \in \hat{\Pi} \text{ with } P, Q \in B$$

Remarks:

- ① Since S is finite, $\alpha^*(P)$ is effectively computable in step (3) of the algorithm.
- ② The $\approx$ -partitioning algorithm can be interpreted as the application of the $\sim$ -partitioning algorithm to an appropriately modified LTS:

$$\begin{aligned} & \text{Theorem 9.1 for } (S, Act, \longrightarrow) \\ \hat{=} & \text{Theorem 6.2 for } (S, Act, \longrightarrow') \\ & \text{where } \longrightarrow' := \bigcup_{\alpha \in Act} \xrightarrow{\alpha}' \text{ with } \xrightarrow{\alpha}' := \xRightarrow{\hat{\alpha}} \end{aligned}$$

Proof.

similar to Theorem 6.2 ($\sim$ -partitioning algorithm) □

The Partitioning Algorithm II

Remarks:

- ① Since S is finite, $\alpha^*(P)$ is effectively computable in step (3) of the algorithm.
- ② The $\approx$ -partitioning algorithm can be interpreted as the application of the $\sim$ -partitioning algorithm to an appropriately modified LTS:

$$\begin{aligned} & \text{Theorem 9.1 for } (S, Act, \longrightarrow) \\ \hat{=} & \text{Theorem 6.2 for } (S, Act, \longrightarrow') \\ & \text{where } \longrightarrow' := \bigcup_{\alpha \in Act} \xrightarrow{\alpha}' \text{ with } \xrightarrow{\alpha}' := \xRightarrow{\hat{\alpha}} \end{aligned}$$

Proof.

similar to Theorem 6.2 ($\sim$ -partitioning algorithm) □

Decidability of Observation Congruence

Since the definition of $\simeq$ requires the weak bisimilarity of the intermediate states after the first step, Theorem 9.1 yields the decidability of $\simeq$:

Theorem 9.2 (Decidability of $\simeq$)

*Let $(S, Act, \longrightarrow)$ and $\hat{\Pi}$ as in Theorem 9.1. Then, for every $P, Q \in S$,
$$P \simeq Q \iff \alpha^+(P) = \alpha^+(Q) \text{ for every } \alpha \in Act$$

where $\alpha^+(P) := \{C \in \hat{\Pi} \mid \text{ex. } P' \in C \text{ with } P \xrightarrow{\alpha} P'\}$.*

Proof.

omitted □

Example 9.3

on the board

Decidability of Observation Congruence

Since the definition of $\simeq$ requires the weak bisimilarity of the intermediate states after the first step, Theorem 9.1 yields the decidability of $\simeq$:

Theorem 9.2 (Decidability of $\simeq$)

Let $(S, Act, \longrightarrow)$ and $\hat{\Pi}$ as in Theorem 9.1. Then, for every $P, Q \in S$,
$$P \simeq Q \iff \alpha^+(P) = \alpha^+(Q) \text{ for every } \alpha \in Act$$

where $\alpha^+(P) := \{C \in \hat{\Pi} \mid \text{ex. } P' \in C \text{ with } P \xrightarrow{\alpha} P'\}$.

Proof.

omitted □

Example 9.3

on the board

Decidability of Observation Congruence

Since the definition of $\simeq$ requires the weak bisimilarity of the intermediate states after the first step, Theorem 9.1 yields the decidability of $\simeq$:

Theorem 9.2 (Decidability of $\simeq$)

Let $(S, Act, \longrightarrow)$ and $\hat{\Pi}$ as in Theorem 9.1. Then, for every $P, Q \in S$,
$$P \simeq Q \iff \alpha^+(P) = \alpha^+(Q) \text{ for every } \alpha \in Act$$
where $\alpha^+(P) := \{C \in \hat{\Pi} \mid \text{ex. } P' \in C \text{ with } P \xrightarrow{\alpha} P'\}$.

Proof.

omitted □

Example 9.3

on the board

Decidability of Observation Congruence

Since the definition of $\simeq$ requires the weak bisimilarity of the intermediate states after the first step, Theorem 9.1 yields the decidability of $\simeq$:

Theorem 9.2 (Decidability of $\simeq$)

Let $(S, Act, \longrightarrow)$ and $\hat{\Pi}$ as in Theorem 9.1. Then, for every $P, Q \in S$,
$$P \simeq Q \iff \alpha^+(P) = \alpha^+(Q) \text{ for every } \alpha \in Act$$
where $\alpha^+(P) := \{C \in \hat{\Pi} \mid \text{ex. } P' \in C \text{ with } P \xrightarrow{\alpha} P'\}$.

Proof.

omitted □

Example 9.3

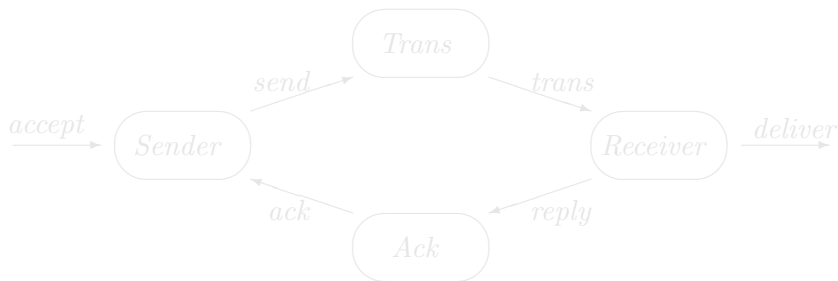
on the board

- 1 Repetition: Observation Congruence
- 2 Decidability of Observation Congruence
- 3 The Alternating Bit Protocol

The Setting

Goal: design of a communication protocol which guarantees **reliable data transfer** over **unreliable channels**

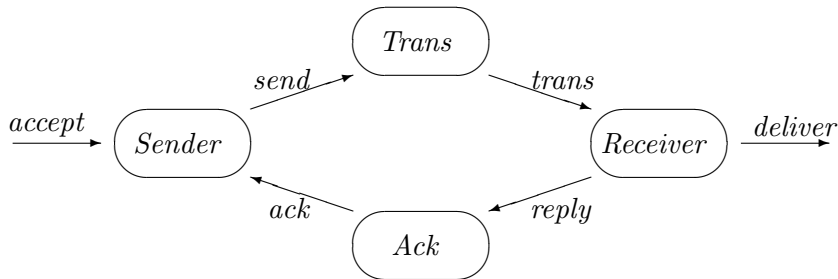
Overview:



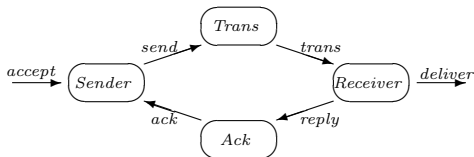
The Setting

Goal: design of a communication protocol which guarantees **reliable data transfer** over **unreliable channels**

Overview:



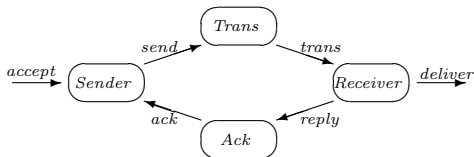
Working Principle



- *Sender* **transfers data** (from a given finite set D) to *Receiver* using channel *Trans*
- *Receiver* **confirms reception** via *Ack*
- Properties of channels:
 - **unidirectional** data transfer
 - capacity: **one message**
($\implies$ sequential, i.e., respects order of messages)
 - detection of **transmission errors**
(loss/duplication/corruption of messages)
 - errors **reported** to target process

Idea: use **redundancy** (additional control bit) to ensure safeness of data transfer

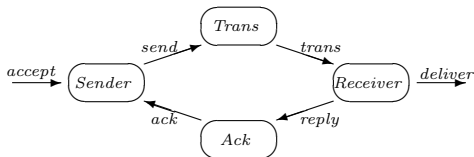
Working Principle



- *Sender* **transfers data** (from a given finite set D) to *Receiver* using channel *Trans*
- *Receiver* **confirms reception** via *Ack*
- Properties of channels:
 - **unidirectional** data transfer
 - capacity: **one message**
($\implies$ sequential, i.e., respects order of messages)
 - detection of **transmission errors**
(loss/duplication/corruption of messages)
 - errors **reported** to target process

Idea: use **redundancy** (additional control bit) to ensure safeness of data transfer

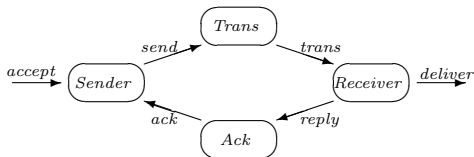
Working Principle



- *Sender* **transfers data** (from a given finite set D) to *Receiver* using channel *Trans*
- *Receiver* **confirms reception** via *Ack*
- Properties of channels:
 - **unidirectional** data transfer
 - capacity: **one message**
($\implies$ sequential, i.e., respects order of messages)
 - detection of **transmission errors**
(loss/duplication/corruption of messages)
 - errors **reported** to target process

Idea: use **redundancy** (additional control bit) to ensure safeness of data transfer

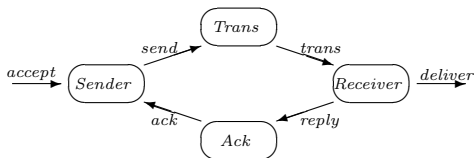
Working Principle



- *Sender* **transfers data** (from a given finite set D) to *Receiver* using channel *Trans*
- *Receiver* **confirms reception** via *Ack*
- Properties of channels:
 - **unidirectional** data transfer
 - capacity: **one message**
($\implies$ sequential, i.e., respects order of messages)
 - detection of **transmission errors**
(loss/duplication/corruption of messages)
 - errors **reported** to target process

Idea: use **redundancy** (additional control bit) to ensure safeness of data transfer

Modelling of Channels



- *Trans* transmits **frames** of the following form:

$$F := \{db \mid d \in D, b \in \{0, 1\}\} \quad (\text{finite})$$

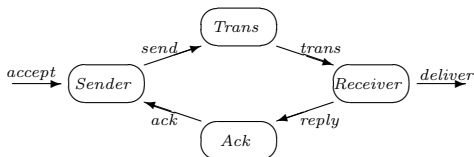
It detects **transmission errors** and reports it to *Receiver*:

$$Trans = \sum_{f \in F} send_f. \underbrace{(\overline{trans_f}.Trans)}_{\text{successful}} + \underbrace{(\overline{trans_{\perp}}.Trans)}_{\text{error}}$$

- *Ack* behaves like *Trans* but transmits only **control bits**:

$$Ack = \sum_{b \in \{0,1\}} reply_b. \underbrace{(\overline{ack_b}.Ack)}_{\text{successful}} + \underbrace{(\overline{ack_{\perp}}.Ack)}_{\text{error}}$$

Modelling of Channels



- *Trans* transmits **frames** of the following form:

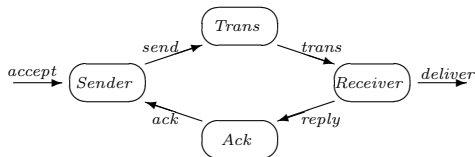
$$F := \{db \mid d \in D, b \in \{0, 1\}\} \quad (\text{finite})$$

It detects **transmission errors** and reports it to *Receiver*:

$$Trans = \sum_{f \in F} send_f. \underbrace{(\overline{trans_f}.Trans)}_{\text{successful}} + \underbrace{(\overline{trans_{\perp}}.Trans)}_{\text{error}}$$

- *Ack* behaves like *Trans* but transmits only **control bits**:

$$Ack = \sum_{b \in \{0,1\}} reply_b. \underbrace{(\overline{ack_b}.Ack)}_{\text{successful}} + \underbrace{(\overline{ack_{\perp}}.Ack)}_{\text{error}}$$



Under the above side conditions, give CCS implementations of *Sender* and *Receiver* such that the overall system works correctly, i.e., behaves like a **one-element buffer**:

$$Buffer(\overrightarrow{accept}, \overrightarrow{deliver}) = \sum_{d \in D} accept_d. Buffer_d(\overrightarrow{accept}, \overrightarrow{deliver})$$

$$Buffer_d(\overrightarrow{accept}, \overrightarrow{deliver}) = \overrightarrow{deliver}_d. Buffer(\overrightarrow{accept}, \overrightarrow{deliver})$$

where

$$\begin{aligned} \overrightarrow{accept} &:= (accept_{d_1}, \dots, accept_{d_n}) \\ \text{and } \overrightarrow{deliver} &:= (deliver_{d_1}, \dots, deliver_{d_n}) \\ \text{for } D &= \{d_1, \dots, d_n\} \end{aligned}$$