

# Static Program Analysis

## Lecture 7: Dataflow Analysis VI (MOP vs. Fixpoint Solution)

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Summer Semester 2011

- 1 Repetition: MOP Solution and Constant Propagation
- 2 MOP vs. Fixpoint Solution
- 3 Dataflow Analysis with Non-ACC Domains
- 4 Example: Interval Analysis

## Definition (MOP solution)

Let  $S = (L, E, F, (D, \sqsubseteq), \iota, \varphi)$  be a dataflow system where  $L = \{I_1, \dots, I_n\}$ . The **MOP solution** for  $S$  is determined by

$$\text{mop}(S) := (\text{mop}(I_1), \dots, \text{mop}(I_n)) \in D^n$$

where, for every  $I \in L$ ,

$$\text{mop}(I) := \bigsqcup \{\varphi_p(\iota) \mid p \in \text{Path}(I)\}.$$

### Remark:

- $\text{Path}(I)$  is generally infinite

⇒ not clear how to compute  $\text{mop}(I)$

- In fact: MOP solution generally undecidable (later)

# Formalizing Constant Propagation Analysis I

The **dataflow system**  $S = (L, E, F, (D, \sqsubseteq), \iota, \varphi)$  is given by

- set of labels  $L := L_c$ ,
- extremal labels  $E := \{\text{init}(c)\}$  (forward problem),
- flow relation  $F := \text{flow}(c)$  (forward problem),
- complete lattice  $(D, \sqsubseteq)$  where
  - $D := \{\delta \mid \delta : \text{Var}_c \rightarrow \mathbb{Z} \cup \{\perp, \top\}\}$ 
    - $\delta(x) = z \in \mathbb{Z}$ :  $x$  has **constant value**  $z$
    - $\delta(x) = \perp$ :  $x$  **undefined**
    - $\delta(x) = \top$ :  $x$  **overdefined** (i.e., different possible values)
  - $\sqsubseteq \subseteq D \times D$  defined by pointwise extension of  $\perp \sqsubseteq z \sqsubseteq \top$   
(for every  $z \in \mathbb{Z}$ )

## Example

$$\begin{aligned}\text{Var}_c &= \{\mathbf{w}, \mathbf{x}, \mathbf{y}, \mathbf{z}\}, \\ \delta_1 &= (\underbrace{\perp}_{\mathbf{w}}, \underbrace{1}_{\mathbf{x}}, \underbrace{2}_{\mathbf{y}}, \underbrace{\top}_{\mathbf{z}}), \quad \delta_2 = (\underbrace{3}_{\mathbf{w}}, \underbrace{1}_{\mathbf{x}}, \underbrace{4}_{\mathbf{y}}, \underbrace{\top}_{\mathbf{z}}) \\ \implies \delta_1 \sqcup \delta_2 &= (\underbrace{3}_{\mathbf{w}}, \underbrace{1}_{\mathbf{x}}, \underbrace{\top}_{\mathbf{y}}, \underbrace{\top}_{\mathbf{z}})\end{aligned}$$

Dataflow system  $S = (L, E, F, (D, \sqsubseteq), \iota, \varphi)$  (continued):

- extremal value  $\iota := \delta_{\top} \in D$  where  $\delta_{\top}(x) := \top$  for every  $x \in \text{Var}_c$   
(i.e., every  $x$  has (unknown) default value)
- transfer functions  $\{\varphi_I \mid I \in L\}$  defined by

$$\varphi_I(\delta) := \begin{cases} \delta & \text{if } B^I = \text{skip} \text{ or } B^I \in BExp \\ \delta[x \mapsto \text{val}_{\delta}(a)] & \text{if } B^I = (x := a) \end{cases}$$

where

$$\begin{aligned} \text{val}_{\delta}(x) &:= \delta(x) & \text{val}_{\delta}(a_1 \text{ op } a_2) &:= \begin{cases} z_1 \text{ op } z_2 & \text{if } z_1, z_2 \in \mathbb{Z} \\ \perp & \text{if } z_1 = \perp \text{ or } z_2 = \perp \\ \top & \text{otherwise} \end{cases} \\ \text{val}_{\delta}(z) &:= z \end{aligned}$$

for  $z_1 := \text{val}_{\delta}(a_1)$  and  $z_2 := \text{val}_{\delta}(a_2)$

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## Example 7.1 (Constant Propagation)

```
c := if [z > 0]1 then
    [x := 2;]2
    [y := 3;]3
  else
    [x := 3;]4
    [y := 2;]5
  [z := x+y;]6
  [...]7
```

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  [...]7
```

### Transfer functions

(for  $\delta = (\delta(x), \delta(y), \delta(z)) \in D$ ):

$$\varphi_1((a, b, c)) = (a, b, c)$$

$$\varphi_2((a, b, c)) = (2, b, c)$$

$$\varphi_3((a, b, c)) = (a, 3, c)$$

$$\varphi_4((a, b, c)) = (3, b, c)$$

$$\varphi_5((a, b, c)) = (a, 2, c)$$

$$\varphi_6((a, b, c)) = (a, b, a + b)$$

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① Fixpoint solution:

$$CP_1 = \iota \quad = (\top, \top, \top)$$

$$CP_2 = \varphi_1(CP_1) \quad = (\top, \top, \top)$$

$$CP_3 = \varphi_2(CP_2) \quad = (2, \top, \top)$$

$$CP_4 = \varphi_1(CP_1) \quad = (\top, \top, \top)$$

$$CP_5 = \varphi_4(CP_4) \quad = (3, \top, \top)$$

$$CP_6 = \varphi_3(CP_3) \sqcup \varphi_5(CP_5) \\ = (2, 3, \top) \sqcup (3, 2, \top) = (\top, \top, \top)$$

$$CP_7 = \varphi_6(CP_6) \quad = (\top, \top, \top)$$

## Example 7.1 (Constant Propagation)

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Transfer functions

(for  $\delta = (\delta(x), \delta(y), \delta(z)) \in D$ ):

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 \end{aligned}$$

① Fixpoint solution:

$$\begin{aligned}
 CP_1 &= \iota &= (\top, \top, \top) \\
 CP_2 &= \varphi_1(CP_1) &= (\top, \top, \top) \\
 CP_3 &= \varphi_2(CP_2) &= (2, \top, \top) \\
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 CP_6 &= \varphi_3(CP_3) \sqcup \varphi_5(CP_5) &= (2, 3, \top) \sqcup (3, 2, \top) = (\top, \top, \top) \\
 CP_7 &= \varphi_6(CP_6) &= (\top, \top, \top)
 \end{aligned}$$

② MOP solution:

$$\begin{aligned}
 \text{mop}(7) &= \varphi_{[1,2,3,6]}(\top, \top, \top) \sqcup \\
 &\quad \varphi_{[1,4,5,6]}(\top, \top, \top) \\
 &= (2, 3, 5) \sqcup (3, 2, 5) \\
 &= (\top, \top, 5)
 \end{aligned}$$

## Theorem 7.2 (MOP vs. Fixpoint Solution)

Let  $S = (L, E, F, (D, \sqsubseteq), \iota, \varphi)$  be a dataflow system. Then

$$\text{mop}(S) \sqsubseteq \text{fix}(\Phi_S)$$

**Reminder:** by Definition 4.9,

$$\Phi_S : D^n \rightarrow D^n : (d_1, \dots, d_n) \mapsto (d'_1, \dots, d'_n)$$

where  $L = \{1, \dots, n\}$  and, for each  $1 \leq l \leq n$ ,

$$d'_l := \begin{cases} \iota & \text{if } l \in E \\ \bigsqcup \{\varphi_{l'}(d_{l'}) \mid (l', l) \in F\} & \text{otherwise} \end{cases}$$

## Theorem 7.2 (MOP vs. Fixpoint Solution)

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Proof.

on the board



**Remark:** as Example 7.1 shows,  $\text{mop}(S) \neq \text{fix}(\Phi_S)$  is possible

A sufficient criterion for the coincidence of MOP and Fixpoint Solution is the distributivity of the transfer functions.

## Definition 7.3 (Distributivity)

- Let  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$  be complete lattices, and let  $F : D \rightarrow D'$ .  $F$  is called **distributive** (w.r.t.  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$ ) if, for every  $d_1, d_2 \in D$ ,

$$F(d_1 \sqcup_D d_2) = F(d_1) \sqcup_{D'} F(d_2).$$

A sufficient criterion for the coincidence of MOP and Fixpoint Solution is the distributivity of the transfer functions.

## Definition 7.3 (Distributivity)

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$$F(d_1 \sqcup_D d_2) = F(d_1) \sqcup_{D'} F(d_2).$$

- A dataflow system  $S = (L, E, F, (D, \sqsubseteq), \iota, \varphi)$  is called **distributive** if every  $\varphi_I : D \rightarrow D$  ( $I \in L$ ) is so.

## Example 7.4

- ① The Available Expressions dataflow system is distributive: see Exercise 2.3
- ② The Live Variables dataflow system is distributive: see Exercise 2.3
- ③ The Constant Propagation dataflow system is not distributive:

$$\begin{aligned}(\top, \top, \top) &= \varphi_{z:=x+y}((2, 3, \top) \sqcup (3, 2, \top)) \\&\neq \varphi_{z:=x+y}((2, 3, \top)) \sqcup \varphi_{z:=x+y}((3, 2, \top)) \\&= (\top, \top, 5)\end{aligned}$$

## Theorem 7.5 (MOP vs. Fixpoint Solution)

Let  $S = (L, E, F, (D, \sqsubseteq), \iota, \varphi)$  be a distributive dataflow system. Then

$$\text{mop}(S) = \text{fix}(\Phi_S)$$

## Theorem 7.5 (MOP vs. Fixpoint Solution)

Let  $S = (L, E, F, (D, \sqsubseteq), \iota, \varphi)$  be a distributive dataflow system. Then

$$\text{mop}(S) = \text{fix}(\Phi_S)$$

## Proof.

- $\Phi_S(\text{mop}(S)) = \text{mop}(S)$ : on the board
- $\text{mop}(S) \sqsubseteq \text{fix}(\Phi_S)$ : Theorem 7.2

⇒ claim



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- **Reminder:**  $(D, \sqsubseteq)$  satisfies **ACC** if each ascending chain  $d_1 \sqsubseteq d_2 \sqsubseteq \dots$  eventually stabilizes, i.e., there exists  $n \in \mathbb{N}$  such that  $d_n = d_{n+1} = \dots$
- If **height** (= maximal chain length) of  $(D, \sqsubseteq)$  is  $m$ , then fixpoint computation terminates after  $\leq |L| \cdot m$  iterations

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- If **height** (= maximal chain length) of  $(D, \sqsubseteq)$  is  $m$ , then fixpoint computation terminates after  $\leq |L| \cdot m$  iterations
- **But:** if  $(D, \sqsubseteq)$  has **infinite ascending chains**  
 $\implies$  algorithm may not terminate

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- If **height** (= maximal chain length) of  $(D, \sqsubseteq)$  is  $m$ , then fixpoint computation terminates after  $\leq |L| \cdot m$  iterations
- **But:** if  $(D, \sqsubseteq)$  has **infinite ascending chains**  
     $\Rightarrow$  algorithm may not terminate
- **Solution:** use **widening operators** to enforce termination

## Definition 7.6 (Widening operator)

Let  $(D, \sqsubseteq)$  be a complete lattice. A mapping  $\nabla : D \times D \rightarrow D$  is called **widening operator** if

- for every  $d_1, d_2 \in D$ ,

$$d_1 \sqcup d_2 \sqsubseteq d_1 \nabla d_2$$

and

- for all ascending chains  $d_0 \sqsubseteq d_1 \sqsubseteq \dots$ , the ascending chain  $d_0^\nabla \sqsubseteq d_1^\nabla \sqsubseteq \dots$  eventually stabilizes where

$$d_0^\nabla := d_0 \text{ and } d_{i+1}^\nabla := d_i^\nabla \nabla d_{i+1} \text{ for } i \in \mathbb{N}$$

## Remarks:

- $(d_i^\nabla)_{i \in \mathbb{N}}$  is clearly an ascending chain as
$$d_{i+1}^\nabla = d_i^\nabla \nabla d_{i+1} \sqsupseteq d_i^\nabla \sqcup d_{i+1} \sqsupseteq d_i^\nabla$$
- In contrast to  $\sqcup$ ,  $\nabla$  does not have to be commutative, associative, monotonic, nor absorptive ( $d \nabla d = d$ )
- The requirement  $d_1 \sqcup d_2 \sqsubseteq d_1 \nabla d_2$  guarantees **soundness** of widening

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## Interval Analysis

The goal of **Interval Analysis** is to determine, for each (interesting) program point, a safe interval for the values of the (interesting) program variables.

Interval analysis is actually a generalization of constant propagation  
( $\approx$  interval analysis with 1-element intervals)

# Example: Interval Analysis

## Interval Analysis

The goal of **Interval Analysis** is to determine, for each (interesting) program point, a safe interval for the values of the (interesting) program variables.

Interval analysis is actually a generalization of constant propagation  
(≈ interval analysis with 1-element intervals)

## Example 7.7 (Interval Analysis)

```
var a[100]: int;  
...  
i := 0;  
while i <= 42 do  
    if i >= 0 ∧ i < 100 then  
        a[i] := i;  
    i := i + 1;
```

# Example: Interval Analysis

## Interval Analysis

The goal of **Interval Analysis** is to determine, for each (interesting) program point, a safe interval for the values of the (interesting) program variables.

Interval analysis is actually a generalization of constant propagation  
( $\approx$  interval analysis with 1-element intervals)

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```
var a[100]: int;  
...  
i := 0;  
while i <= 42 do  
    if i >= 0  $\wedge$  i < 100 then     $\Leftarrow$   
        a[i] := i;  
        i := i + 1;
```

Here, redundant `array bounds check` can be removed

# The Domain of Interval Analysis

- The domain  $(Int, \subseteq)$  of intervals over  $\mathbb{Z}$  is defined by

$$Int := \{[z_1, z_2] \mid z_1 \in \mathbb{Z} \cup \{-\infty\}, z_2 \in \mathbb{Z} \cup \{+\infty\}, z_1 \leq z_2\} \cup \{\emptyset\}$$

where

- $-\infty \leq z, z \leq +\infty$ , and  $-\infty \leq +\infty$  (for all  $z \in \mathbb{Z}$ )
- $\emptyset \subseteq I$  (for all  $I \in Int$ )
- $[y_1, y_2] \subseteq [z_1, z_2]$  iff  $z_1 \leq y_1$  and  $y_2 \leq z_2$

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- $(Int, \subseteq)$  is a **complete lattice** with (for every  $\mathcal{I} \subseteq Int$ )

$$\bigsqcup \mathcal{I} = \begin{cases} \emptyset & \text{if } \mathcal{I} = \emptyset \text{ or } \mathcal{I} = \{\emptyset\} \\ [Z_1, Z_2] & \text{otherwise} \end{cases}$$

where

$$\begin{aligned} Z_1 &:= \bigcap_{\mathbb{Z} \cup \{-\infty\}} \{z_1 \mid [z_1, z_2] \in \mathcal{I}\} \\ Z_2 &:= \bigcup_{\mathbb{Z} \cup \{+\infty\}} \{z_2 \mid [z_1, z_2] \in \mathcal{I}\} \end{aligned}$$

(and thus  $\perp = \emptyset, \top = [-\infty, +\infty]$ )

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(and thus  $\perp = \emptyset, \top = [-\infty, +\infty]$ )

- Clearly  $(Int, \subseteq)$  has **infinite ascending chains**, such as

$$\emptyset \subseteq [1, 1] \subseteq [1, 2] \subseteq [1, 3] \subseteq \dots$$

# The Complete Lattice of Interval Analysis

$[-\infty, +\infty]$

