

# Semantics and Verification of Software

## Lecture 15: Dataflow Analysis

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- 1 Repetition: Dataflow Analysis
- 2 Available Expressions Analysis (continued)
- 3 Live Variables Analysis
- 4 A Dataflow Analysis Framework

# Labelled Programs

- Goal: **localization** of analysis information
- Dataflow information will be associated with
  - assignments
  - tests in conditionals (**if**) and loops (**while**)
  - **skip** statements

These constructs will be called **blocks**.

- Assume set of **labels**  $Lab$  with meta variable  $l \in Lab$   
(usually  $Lab = \mathbb{N}$ )

## Definition (Labelled WHILE programs)

The **syntax of labelled WHILE programs** is defined by the following context-free grammar:

$$\begin{aligned} a &::= z \mid x \mid a_1 + a_2 \mid a_1 - a_2 \mid a_1 * a_2 \in AExp \\ b &::= t \mid a_1 = a_2 \mid a_1 > a_2 \mid \neg b \mid b_1 \wedge b_2 \mid b_1 \vee b_2 \in BExp \\ c &::= [\text{skip}]^l \mid [x := a]^l \mid c_1 ; c_2 \mid \\ &\quad \text{if } [b]^l \text{ then } c_1 \text{ else } c_2 \mid \text{while } [b]^l \text{ do } c \in Cmd \end{aligned}$$

Here all labels in a statement  $c \in Cmd$  are assumed to be distinct.

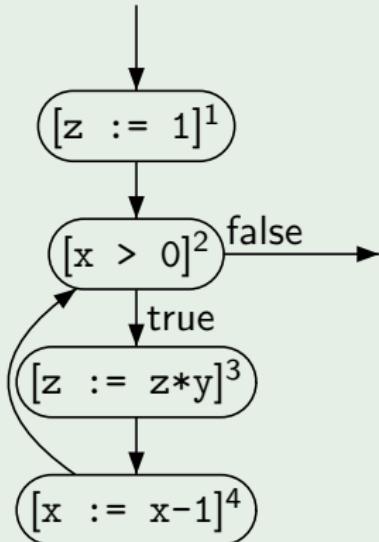
# Representing Control Flow

## Example

Visualization by **flow graph**:

```
c = [z := 1]1;  
  while [x > 0]2 do  
    [z := z*y]3;  
    [x := x-1]4
```

$\text{init}(c) = 1$   
 $\text{final}(c) = \{2\}$   
 $\text{flow}(c) = \{(1, 2), (2, 3), (3, 4), (4, 2)\}$



# Goal of Available Expressions Analysis

## Available Expressions Analysis

The goal of **Available Expressions Analysis** is to determine, for each program point, which (complex) expressions *must* have been computed, and not later modified, on all paths to the program point.

- can be used to avoid recomputations of expressions
- for simplicity: only non-trivial arithmetic expressions

## Example (Available Expressions analysis)

```
[x := a+b]1;  
[y := a*b]2;  
while [y > a+b]3 do  
  [a := a+1]4;  
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- a+b available at label 3
- a+b not available at label 5
- possible optimization:  
 while [y > x]<sup>3</sup> do

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 $while [y > x]<sup>3</sup> do$

- Given  $c \in Cmd$ ,  $Lab_c/Block_c/AExp_c$  denote the sets of all labels/blocks/complex arithmetic expressions occurring in  $c$ , respectively
- An expression  $a$  is **killed** in a block  $B$  if any of the variables in  $a$  is modified in  $B$
- Formally:  $\text{kill}_{AE} : Block_c \rightarrow 2^{AExp_c}$  is defined by
  - $\text{kill}_{AE}([\text{skip}]^l) := \emptyset$
  - $\text{kill}_{AE}([x := a]^l) := \{a' \in AExp_c \mid x \in FV(a')\}$
  - $\text{kill}_{AE}([b]^l) := \emptyset$
- An expression  $a$  is **generated** in a block  $B$  if it is evaluated in and none of its variables are modified by  $B$
- Formally:  $\text{gen}_{AE} : Block_c \rightarrow 2^{AExp_c}$  is defined by
  - $\text{gen}_{AE}([\text{skip}]^l) := \emptyset$
  - $\text{gen}_{AE}([x := a]^l) := \{a \mid x \notin FV(a)\}$
  - $\text{gen}_{AE}([b]^l) := AExp_b$

Example ( $\text{kill}_{\text{AE}}/\text{gen}_{\text{AE}}$  functions)

```

 $c = [x := a+b]^1;$ 
 $[y := a*b]^2;$ 
 $\text{while } [y > a+b]^3 \text{ do}$ 
 $[a := a+1]^4;$ 
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```

- $AExp_c = \{a+b, a*b, a+1\}$
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# The Equation System I

- Analysis itself defined by setting up an **equation system**
- For each  $l \in Lab_c$ ,  $\text{AE}_l \subseteq AExp_c$  represents the **set of available expressions** at the entry of block  $B^l$
- Formally, for  $c \in Cmd$  with isolated entry:

$$\text{AE}_l = \begin{cases} \emptyset & \text{if } l = \text{init}(c) \\ \bigcap \{\varphi_{l'}(\text{AE}_{l'}) \mid (l', l) \in \text{flow}(c)\} & \text{otherwise} \end{cases}$$

where  $\varphi_{l'} : 2^{AExp_c} \rightarrow 2^{AExp_c}$  denotes the **transfer function** of block  $B^{l'}$ , given by

$$\varphi_{l'}(A) := (A \setminus \text{kill}_{\text{AE}}(B^{l'})) \cup \text{gen}_{\text{AE}}(B^{l'})$$

- Characterization of analysis:
  - forward: starts in  $\text{init}(c)$  and proceeds downwards
  - must:  $\bigcap$  in equation for  $\text{AE}_l$
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- Later: solution **not necessarily unique**
  - ⇒ choose greatest one

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**Reminder:**  $\text{AE}_l = \begin{cases} \emptyset & \text{if } l = \text{init}(c) \\ \bigcap \{\varphi_{l'}(\text{AE}_{l'}) \mid (l', l) \in \text{flow}(c)\} & \text{otherwise} \end{cases}$

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## Example 15.1 (AE equation system)

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c = [x := a+b]1;  
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 $= (\text{AE}_2 \cup \{a*b\}) \cap (\text{AE}_5 \cup \{a+b\})$   
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Solution:  $\text{AE}_1 = \emptyset$   
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The goal of **Live Variables Analysis** is to determine, for each program point, which variables *may* be live at the exit from the point.

- A variable is called **live** at the exit from a block if there exists a path from the block to a use of the variable that does not re-define the variable
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[y := 4]2;  
[x := 1]3;  
if [y > 0]4 then  
  [z := x]5  
else  
  [z := y*y]6;  
[x := z]7
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- x not live at exit from label 1
- y live at exit from 2
- x live at exit from 3
- z live at exits from 5 and 6
- possible optimization: remove [x := 2]<sup>1</sup>

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  [z := y*y]6;  
[x := z]7
```

- x not live at exit from label 1
- y live at exit from 2
- x live at exit from 3
- **z live at exits from 5 and 6**
- possible optimization: remove [x := 2]<sup>1</sup>

## Example 15.2 (Live Variables Analysis)

```
[x := 2]1;  
[y := 4]2;  
[x := 1]3;  
if [y > 0]4 then  
  [z := x]5  
else  
  [z := y*y]6;  
[x := z]7
```

- x not live at exit from label 1
- y live at exit from 2
- x live at exit from 3
- z live at exits from 5 and 6
- possible optimization: remove [x := 2]<sup>1</sup>

- A variable on the left-hand side of an assignment is **killed** by the assignment; tests and **skip** do not kill
- Formally:  $\text{kill}_{\text{LV}} : \text{Block}_c \rightarrow 2^{\text{Var}_c}$  is defined by
  - $\text{kill}_{\text{LV}}([\text{skip}]^l) := \emptyset$
  - $\text{kill}_{\text{LV}}([x := a]^l) := \{x\}$
  - $\text{kill}_{\text{LV}}([b]^l) := \emptyset$
- Every reading access generates a live variable
- Formally:  $\text{gen}_{\text{AE}} : \text{Block}_c \rightarrow 2^{\text{Var}_c}$  is defined by
  - $\text{gen}_{\text{AE}}([\text{skip}]^l) := \emptyset$
  - $\text{gen}_{\text{AE}}([x := a]^l) := \text{FV}(a)$
  - $\text{gen}_{\text{AE}}([b]^l) := \text{FV}(b)$

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## Example 15.3 ( $\text{kill}_{\text{LV}}/\text{gen}_{\text{LV}}$ functions)

```
c = [x := 2]1;  
     [y := 4]2;  
     [x := 1]3;  
     if [y > 0]4 then  
         [z := x]5  
     else  
         [z := y*y]6;  
     [x := z]7
```

	$\bullet$	$\text{Var}_c = \{x, y, z\}$	
	$\bullet$	$\frac{l \in \text{Lab}_c \text{ kill}_{\text{LV}}(B^l) \text{ gen}_{\text{LV}}(B^l)}{1 \quad \{x\} \quad \emptyset}$	
		2	$\{y\}$
		3	$\{x\}$
		4	$\emptyset$
		5	$\{z\}$
		6	$\{z\}$
		7	$\{x\}$
			$\{y\}$
			$\{x\}$
			$\{y\}$
			$\{z\}$

# Formalizing Live Variables Analysis II

## Example 15.3 ( $\text{kill}_{\text{LV}}/\text{gen}_{\text{LV}}$ functions)

```
c = [x := 2]1;  
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```

•	$Var_c = \{x, y, z\}$	
•	$l \in Lab_c$	$\text{kill}_{\text{LV}}(B^l)$ $\text{gen}_{\text{LV}}(B^l)$
1	{x}	$\emptyset$
2	{y}	$\emptyset$
3	{x}	$\emptyset$
4	$\emptyset$	{y}
5	{z}	{x}
6	{z}	{y}
7	{x}	{z}

## Example 15.3 ( $\text{kill}_{\text{LV}}/\text{gen}_{\text{LV}}$ functions)

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```

•	$Var_c = \{x, y, z\}$	
•	$l \in \text{Lab}_c \quad \frac{\text{kill}_{\text{LV}}(B^l) \text{ gen}_{\text{LV}}(B^l)}{1 \quad \{x\} \quad \emptyset}$	
	2	$\{y\}$
	3	$\{x\}$
	4	$\emptyset$
	5	$\{z\}$
	6	$\{z\}$
	7	$\{x\}$
		$\{y\}$
		$\{x\}$
		$\{y\}$
		$\{z\}$

# The Equation System I

- For each  $l \in Lab_c$ ,  $\text{LV}_l \subseteq Var_c$  represents the set of **live variables at the exit of block  $B^l$**
- Formally, for a program  $c \in Cmd$  with isolated exits:

$$\text{LV}_l = \begin{cases} \emptyset & \text{if } l \in \text{final}(c) \\ \bigcup \{\varphi_{l'}(\text{LV}_{l'}) \mid (l, l') \in \text{flow}(c)\} & \text{otherwise} \end{cases}$$

where  $\varphi_{l'} : 2^{Var_c} \rightarrow 2^{Var_c}$  denotes the **transfer function** of block  $B^{l'}$ , given by

$$\varphi_{l'}(V) := (V \setminus \text{kill}_{\text{LV}}(B^{l'})) \cup \text{gen}_{\text{LV}}(B^{l'})$$

- Characterization of analysis:
  - backward: starts in  $\text{final}(c)$  and proceeds upwards
  - may:  $\bigcup$  in equation for  $\text{LV}_l$
- flow-sensitive: results depending on order of assignments
- Later: solution **not necessarily unique**
  - $\implies$  choose **least one**

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# The Equation System II

**Reminder:**  $\text{LV}_l = \begin{cases} \emptyset & \text{if } l \in \text{final}(c) \\ \bigcup \{\varphi_{l'}(\text{LV}_{l'}) \mid (l, l') \in \text{flow}(c)\} & \text{otherwise} \end{cases}$   
 $\varphi_{l'}(V) = (V \setminus \text{kill}_{\text{AE}}(B^{l'})) \cup \text{gen}_{\text{AE}}(B^{l'})$

Example 15.4 (LV equation system)

```
c = [x := 2]1; [y := 4]2;  
      [x := 1]3;  
      if [y > 0]4 then  
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```

$l \in \text{Lab}_c \text{ kill}_{\text{LV}}(B^l) \text{ gen}_{\text{LV}}(B^l)$

1	{x}	$\emptyset$
2	{y}	$\emptyset$
3	{x}	$\emptyset$
4	$\emptyset$	{y}
5	{z}	{x}
6	{z}	{y}
7	{x}	{z}

$$\begin{aligned}\text{LV}_1 &= \varphi_2(\text{LV}_2) = \text{LV}_2 \setminus \{y\} \\ \text{LV}_2 &= \varphi_3(\text{LV}_3) = \text{LV}_3 \setminus \{x\} \\ \text{LV}_3 &= \varphi_4(\text{LV}_4) = \text{LV}_4 \cup \{y\} \\ \text{LV}_4 &= \varphi_5(\text{LV}_5) \cup \varphi_6(\text{LV}_6) \\ &= ((\text{LV}_5 \setminus \{z\}) \cup \{x\}) \cup ((\text{LV}_6 \setminus \{z\}) \cup \{y\}) \\ \text{LV}_5 &= \varphi_7(\text{LV}_7) = (\text{LV}_7 \setminus \{x\}) \cup \{z\} \\ \text{LV}_6 &= \varphi_7(\text{LV}_7) = (\text{LV}_7 \setminus \{x\}) \cup \{z\} \\ \text{LV}_7 &= \emptyset\end{aligned}$$

Solution:  $\text{LV}_1 = \emptyset$

$\text{LV}_2 = \{y\}$

$\text{LV}_3 = \{x, y\}$

$\text{LV}_4 = \{x, y\}$

$\text{LV}_5 = \{z\}$

$\text{LV}_6 = \{z\}$

$\text{LV}_7 = \emptyset$

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Solution:  $\text{LV}_1 = \emptyset$   
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$l \in \text{Lab}_c \text{ kill}_{\text{LV}}(B^l) \text{ gen}_{\text{LV}}(B^l)$

1	$\{x\}$	$\emptyset$
2	$\{y\}$	$\emptyset$
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- 1 Repetition: Dataflow Analysis
- 2 Available Expressions Analysis (continued)
- 3 Live Variables Analysis
- 4 A Dataflow Analysis Framework

# Similarities between Analysis Problems

- **Observation:** the analyses presented so far have some **similarities**

⇒ Look for underlying framework

- **Advantage:** possibility for designing (efficient) generic algorithms for solving dataflow equations
- **Overall pattern:** for  $c \in Cmd$  and  $l \in Lab_c$ , the **analysis information (AI)** is described by **equations** of the form

$$AI_l = \begin{cases} \iota & \text{if } l \in E \\ \bigsqcup \{\varphi_{l'}(AI_{l'}) \mid (l', l) \in F\} & \text{otherwise} \end{cases}$$

where

- $\iota$  specifies the initial analysis information
- $E$  is  $\{init(c)\}$  or  $final(c)$
- $\bigsqcup$  is  $\cap$  or  $\cup$
- $\varphi_{l'}$  denotes the transfer function of block  $B^{l'}$
- $F$  is  $flow(c)$  or  $flow^R(c)$  ( $:= \{(l', l) \mid (l, l') \in flow(c)\}$ )

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# Characterization of Analyses

- Direction of information flow:

- forward:

- $F = \text{flow}(c)$
    - $\text{Al}_l$  concerns entry of  $B^l$
    - $c$  has isolated entry

- backward:

- $F = \text{flow}^R(c)$
    - $\text{Al}_l$  concerns exit of  $B^l$
    - $c$  has isolated exits

- Quantification over paths:

- may:

- $\sqcup = \bigcup$
    - property satisfied by some path
    - interested in least solution (later)

- must:

- $\sqcup = \bigcap$
    - property satisfied by all paths
    - interested in greatest solution (later)

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