

# Semantics and Verification of Software

## Lecture 9: Axiomatic Semantics of WHILE

Thomas Noll

Lehrstuhl für Informatik 2  
RWTH Aachen University  
noll@cs.rwth-aachen.de

<http://www-i2.informatik.rwth-aachen.de/i2/svsw/>

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- 1 Repetition: Assertions and Partial Correctness Properties
- 2 A Valid Partial Correctness Property
- 3 Proof Rules for Partial Correctness
- 4 Soundness of Hoare Logic

## Definition (Syntax of assertions)

The **syntax of *Assn*** is defined by the following context-free grammar:

$$\begin{aligned} a &::= z \mid x \mid i \mid a_1 + a_2 \mid a_1 - a_2 \mid a_1 * a_2 \in LExp \\ A &::= t \mid a_1 = a_2 \mid a_1 > a_2 \mid \neg A \mid A_1 \wedge A_2 \mid A_1 \vee A_2 \mid \forall i. A \in Assn \end{aligned}$$

## Definition (Semantics of *LExp*)

An **interpretation** is an element of the set

$$Int := \{I \mid I : LVar \rightarrow \mathbb{Z}\}.$$

The **value of an arithmetic expressions with logical variables** is given by the functional

$$\mathcal{L}[\![\cdot]\!] : LExp \rightarrow (Int \rightarrow (\Sigma \rightarrow \mathbb{Z}))$$

where

$$\begin{aligned} \mathcal{L}[\![z]\!]I\sigma &:= z & \mathcal{L}[\![a_1 + a_2]\!]I\sigma &:= \mathcal{L}[\![a_1]\!]I\sigma + \mathcal{L}[\![a_2]\!]I\sigma \\ \mathcal{L}[\![x]\!]I\sigma &:= \sigma(x) & \mathcal{L}[\![a_1 - a_2]\!]I\sigma &:= \mathcal{L}[\![a_1]\!]I\sigma - \mathcal{L}[\![a_2]\!]I\sigma \\ \mathcal{L}[\![i]\!]I\sigma &:= I(i) & \mathcal{L}[\![a_1 * a_2]\!]I\sigma &:= \mathcal{L}[\![a_1]\!]I\sigma * \mathcal{L}[\![a_2]\!]I\sigma \end{aligned}$$

## Definition (Semantics of assertions)

Let  $A \in \text{Assn}$ ,  $\sigma \in \Sigma_{\perp}$ , and  $I \in \text{Int}$ . The relation “ $\sigma$  satisfies  $A$  in  $I$ ” (notation:  $\sigma \models^I A$ ) is inductively defined by:

$$\begin{aligned}\sigma &\models^I \text{true} \\ \sigma &\models^I a_1 = a_2 && \text{if } \mathcal{L}[[a_1]]I\sigma = \mathcal{L}[[a_2]]I\sigma \\ \sigma &\models^I a_1 > a_2 && \text{if } \mathcal{L}[[a_1]]I\sigma > \mathcal{L}[[a_2]]I\sigma \\ \sigma &\models^I \neg A && \text{if not } \sigma \models^I A \\ \sigma &\models^I A_1 \wedge A_2 && \text{if } \sigma \models^I A_1 \text{ and } \sigma \models^I A_2 \\ \sigma &\models^I A_1 \vee A_2 && \text{if } \sigma \models^I A_1 \text{ or } \sigma \models^I A_2 \\ \sigma &\models^I \forall i. A && \text{if } \sigma \models^{I[i \mapsto z]} A \text{ for every } z \in \mathbb{Z} \\ \perp &\models^I A\end{aligned}$$

Furthermore  $\sigma$  satisfies  $A$  ( $\sigma \models A$ ) if  $\sigma \models^I A$  for every interpretation  $I \in \text{Int}$ , and  $A$  is called **valid** ( $\models A$ ) if  $\sigma \models A$  for every state  $\sigma \in \Sigma$ .

## Definition (Extension)

Let  $A \in \text{Assn}$  and  $I \in \text{Int}$ . The **extension** of  $A$  with respect to  $I$  is given by

$$A^I := \{\sigma \in \Sigma_{\perp} \mid \sigma \models^I A\}.$$

## Definition (Partial correctness properties)

Let  $A, B \in \text{Assn}$  and  $c \in \text{Cmd}$ .

- An expression of the form  $\{A\} c \{B\}$  is called a **partial correctness property** with **precondition**  $A$  and **postcondition**  $B$ .
- Given  $\sigma \in \Sigma_{\perp}$  and  $I \in \text{Int}$ , we let

$$\sigma \models^I \{A\} c \{B\}$$

if  $\sigma \models^I A$  implies  $\mathfrak{C}[\![c]\!]\sigma \models^I B$   
(or equivalently:  $\sigma \in A^I \implies \mathfrak{C}[\![c]\!]\sigma \in B^I$ ).

- $\{A\} c \{B\}$  is called **valid in  $I$**  (notation:  $\models^I \{A\} c \{B\}$ ) if  $\sigma \models^I \{A\} c \{B\}$  for every  $\sigma \in \Sigma_{\perp}$  (or equivalently:  $\mathfrak{C}[\![c]\!]A^I \subseteq B^I$ ).
- $\{A\} c \{B\}$  is called **valid** (notation:  $\models \{A\} c \{B\}$ ) if  $\models^I \{A\} c \{B\}$  for every  $I \in \text{Int}$ .

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## Example 9.1

- Let  $x \in Var$  and  $i \in LVar$ . We have to show:

$$\models \{i \leq x\} x := x+1 \{i < x\}$$

- According to Def. 8.8, this is equivalent to

$$\sigma \models^I \{i \leq x\} x := x+1 \{i < x\}$$

for every  $\sigma \in \Sigma_{\perp}$  and  $I \in Int$

- For  $\sigma = \perp$  this is trivial. So let  $\sigma \in \Sigma$ :

$$\begin{aligned} & \sigma \models^I (i \leq x) \\ \implies & \mathcal{L}[\![i]\!]I\sigma \leq \mathcal{L}[\![x]\!]I\sigma \quad (\text{Def. 8.5}) \\ \implies & I(i) \leq \sigma(x) \quad (\text{Def. 8.3}) \\ \implies & I(i) < \sigma(x) + 1 \\ & = (\mathcal{C}[\![x := x+1]\!]\sigma)(x) \\ \implies & \mathcal{C}[\![x := x+1]\!]\sigma \models^I (i < x) \\ \implies & \text{claim} \end{aligned}$$

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# Hoare Logic I

**Goal:** syntactic derivation of valid partial correctness properties

## Definition 9.2 (Hoare Logic)

The **Hoare rules** are given by

$$\begin{array}{c} \frac{}{\{A\} \text{skip} \{A\}} \text{ (skip)} \qquad \frac{}{\{A[x \mapsto a]\} x := a \{A\}} \text{ (asgn)} \\[10pt] \frac{\{A\} c_1 \{C\} \quad \{C\} c_2 \{B\}}{\{A\} c_1; c_2 \{B\}} \text{ (seq)} \qquad \frac{\{A \wedge b\} c_1 \{B\} \quad \{A \wedge \neg b\} c_2 \{B\}}{\{A\} \text{if } b \text{ then } c_1 \text{ else } c_2 \{B\}} \text{ (if)} \\[10pt] \frac{\{A \wedge b\} c \{A\}}{\{A\} \text{while } b \text{ do } c \{A \wedge \neg b\}} \text{ (while)} \\[10pt] \frac{\models (A \implies A') \quad \{A'\} c \{B'\} \models (B' \implies B)}{\{A\} c \{B\}} \text{ (cons)} \end{array}$$

A partial correctness property is **provable** (notation:  $\vdash \{A\} c \{B\}$ ) if it is derivable by the Hoare rules. In case of (while),  $A$  is called a **(loop) invariant**.

Here  $A[x \mapsto a]$  denotes the syntactic replacement of every occurrence of  $x$  by  $a$  in  $A$ .

### Example 9.3

Proof of  $\{A\} y:=1; c \{B\}$  where

$$c := (\text{while } \neg(x=1) \text{ do } (y:=y*x; x:=x-1))$$
$$A := (\mathbf{x} = i)$$
$$B := (\mathbf{y} = i!)$$

(on the board)

### Structure of the proof:

[illegible]

## Example 9.3 (continued)

Here the single propositions are given by:

- ①  $\{A\} y := 1; c \{B\}$
- ②  $\{A\} y := 1 \{C\}$
- ③  $\{C\} c \{B\}$
- ④  $\models (A \implies C[y \mapsto 1])$
- ⑤  $\{C[y \mapsto 1]\} y := 1 \{C\}$
- ⑥  $\models (C \implies C)$
- ⑦  $\models (C \implies C)$
- ⑧  $\{C\} c \{\neg(\neg(x = 1)) \wedge C\}$
- ⑨  $\models (\neg(\neg(x = 1)) \wedge C \implies B)$
- ⑩  $\{\neg(x = 1) \wedge C\} y := y * x; x := x - 1 \{C\}$
- ⑪  $\models (\neg(x = 1) \wedge C \implies C[x \mapsto x - 1, y \mapsto y * x])$
- ⑫  $\{C[x \mapsto x - 1, y \mapsto y * x]\} y := y * x; x := x - 1 \{C\}$
- ⑬  $\models (C \implies C)$
- ⑭  $\{C[x \mapsto x - 1, y \mapsto y * x]\} y := y * x \{C[x \mapsto x - 1]\}$
- ⑮  $\{C[x \mapsto x - 1]\} x := x - 1 \{C\}$

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**Soundness:** no wrong propositions can be derived, i.e., every (syntactically) provable partial correctness property is also (semantically) valid

For the corresponding proof we use:

## Lemma 9.4 (Substitution lemma)

*For every  $A \in Assn$ ,  $x \in Var$ ,  $a \in AExp$ ,  $\sigma \in \Sigma$ , and  $I \in Int$ :*

$$\sigma \models^I A[x \mapsto a] \iff \sigma[x \mapsto \mathcal{A}[[a]]\sigma] \models^I A.$$

**Proof.**

by induction over  $A \in Assn$  (omitted) □

## Theorem 9.5 (Soundness of Hoare Logic)

*For every partial correctness property  $\{A\} c \{B\}$ ,*  
$$\vdash \{A\} c \{B\} \implies \models \{A\} c \{B\}.$$

## Proof.

Let  $\vdash \{A\} c \{B\}$ . By induction over the structure of the corresponding proof tree we show that, for every  $\sigma \in \Sigma$  and  $I \in Int$  such that  $\sigma \models^I A$ ,  $\mathfrak{C}[[c]]\sigma \models^I B$  (on the board).  
(If  $\sigma = \perp$ , then  $\mathfrak{C}[[c]]\sigma = \perp \models^I B$  holds trivially.) □