

Semantics and Verification of Software

Lecture 14: Dataflow Analysis I (Introduction)

Thomas Noll

Lehrstuhl für Informatik 2
(Software Modeling and Verification)

RWTH Aachen University

`noll@cs.rwth-aachen.de`

`http://www-i2.informatik.rwth-aachen.de/i2/svsw08/`

Winter semester 2008/09

- 1 Repetition: Operational Semantics of Blocks and Procedures
- 2 Denotational Semantics of Blocks and Procedures
- 3 Preliminaries on Dataflow Analysis
- 4 An Example: Available Expressions Analysis

Syntactic categories:

Category	Domain	Meta variable
Procedure identifiers	$PVar = \{P, Q, \dots\}$	P
Procedure declarations	$PDec$	p
Variable declarations	$VDec$	v
Commands (statements)	Cmd	c

Context-free grammar:

$p ::= \text{proc } P \text{ is } c; p \mid \varepsilon \in PDec$

$v ::= \text{var } x; v \mid \varepsilon \in VDec$

$c ::= \text{skip} \mid x := a \mid c_1; c_2 \mid \text{if } b \text{ then } c_1 \text{ else } c_2 \mid \text{while } b \text{ do } c \mid$
 $\text{call } P \mid \text{begin } v \text{ } p \text{ } c \text{ end} \in Cmd$

Definition (Execution relation)

For $c \in \text{Cmd}$, $\sigma, \sigma' \in \text{Sto}$, $\rho \in \text{VEnv}$, and $\pi \in \text{PEnv}$, the **execution relation** $(\rho, \pi) \vdash \langle c, \sigma \rangle \rightarrow \sigma'$ is defined by the following rules:

$$\text{(skip)} \frac{}{(\rho, \pi) \vdash \langle \text{skip}, \sigma \rangle \rightarrow \sigma}$$

$$\text{(asgn)} \frac{\langle a, \sigma \circ \rho \rangle \rightarrow z}{(\rho, \pi) \vdash \langle x := a, \sigma \rangle \rightarrow \sigma[\rho(x) \mapsto z]}$$

$$\text{(seq)} \frac{(\rho, \pi) \vdash \langle c_1, \sigma \rangle \rightarrow \sigma' \quad (\rho, \pi) \vdash \langle c_2, \sigma' \rangle \rightarrow \sigma''}{(\rho, \pi) \vdash \langle c_1; c_2, \sigma \rangle \rightarrow \sigma''}$$

$$\text{(if-t)} \frac{\langle b, \sigma \circ \rho \rangle \rightarrow \text{true} \quad (\rho, \pi) \vdash \langle c_1, \sigma \rangle \rightarrow \sigma'}{(\rho, \pi) \vdash \langle \text{if } b \text{ then } c_1 \text{ else } c_2, \sigma \rangle \rightarrow \sigma'}$$

$$\text{(if-f)} \frac{\langle b, \sigma \circ \rho \rangle \rightarrow \text{false} \quad (\rho, \pi) \vdash \langle c_2, \sigma \rangle \rightarrow \sigma'}{(\rho, \pi) \vdash \langle \text{if } b \text{ then } c_1 \text{ else } c_2, \sigma \rangle \rightarrow \sigma'}$$

Definition (Execution relation; continued)

$$(\text{wh-f}) \frac{\langle b, \sigma \circ \rho \rangle \rightarrow \text{false}}{(\rho, \pi) \vdash \langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma}$$

$$(\text{wh-t}) \frac{\langle b, \sigma \circ \rho \rangle \rightarrow \text{true} \quad (\rho, \pi) \vdash \langle c, \sigma \rangle \rightarrow \sigma' \quad (\rho, \pi) \vdash \langle \text{while } b \text{ do } c, \sigma' \rangle \rightarrow \sigma''}{(\rho, \pi) \vdash \langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma''}$$

$$(\text{call}) \frac{(\rho', \pi'[P \mapsto (c, \rho', \pi')]) \vdash \langle c, \sigma \rangle \rightarrow \sigma'}{(\rho, \pi) \vdash \langle \text{call } P, \sigma \rangle \rightarrow \sigma'} \quad \text{if } \pi(P) = (c, \rho', \pi')$$

$$(\text{block}) \frac{\text{upd}_v \llbracket v \rrbracket (\rho, \sigma) = (\rho', \sigma') \quad (\rho', \text{upd}_p \llbracket p \rrbracket (\rho', \pi)) \vdash \langle c, \sigma' \rangle \rightarrow \sigma''}{(\rho, \pi) \vdash \langle \text{begin } v \text{ } p \text{ } c \text{ end}, \sigma \rangle \rightarrow \sigma''}$$

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- Recursive procedure declarations **involve fixpoints**:

$$\mathfrak{D}_p[\![\cdot]\!] : PDec \times VEnv \times PEnv \rightarrow PEnv$$

$$\mathfrak{D}_p[\![\text{proc } P \text{ is } c]\!](\rho, \pi) := (\rho, \pi[P \mapsto \text{fix}(\Phi)])$$

where

$$\Phi : (Sto \dashrightarrow Sto) \rightarrow (Sto \dashrightarrow Sto)$$

$$\Phi(f) := \mathfrak{C}[\![c]\!](\rho, \pi[P \mapsto f])$$

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- Traditional form of **program analysis**
- Idea: describe how analysis information **flows** through program
- Distinctions:
 - direction of flow: **forward** vs. **backward** analyses
 - procedures: **interprocedural** vs. **intraprocedural** analyses
 - quantification over paths: **may** (**union**) vs. **must** (**intersection**) analyses
 - dependence on statement order: **flow-sensitive** vs. **flow-insensitive** analyses
 - distinction of procedure calls: **context-sensitive** vs. **context-insensitive** analyses

Labelled Programs

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- Dataflow information will be associated with
 - assignments
 - tests in conditionals (**if**) and loops (**while**)
 - **skip** statements

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(usually $L = \mathbb{N}$)

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(usually $L = \mathbb{N}$)

Definition 14.1 (Labelled WHILE programs)

The **syntax of labelled WHILE programs** is defined by the following context-free grammar:

$$\begin{aligned} a &::= z \mid x \mid a_1 + a_2 \mid a_1 - a_2 \mid a_1 * a_2 \in AExp \\ b &::= t \mid a_1 = a_2 \mid a_1 > a_2 \mid \neg b \mid b_1 \wedge b_2 \mid b_1 \vee b_2 \in BExp \\ c &::= [\text{skip}]^l \mid [x := a]^l \mid c_1 ; c_2 \mid \\ &\quad \text{if } [b]^l \text{ then } c_1 \text{ else } c_2 \mid \text{while } [b]^l \text{ do } c \in Cmd \end{aligned}$$

Here all labels in a statement $c \in Cmd$ are assumed to be distinct.

Example 14.2

```
x := 6;  
y := 7;  
z := 0;  
while x > 0 do  
  x := x - 1;  
  v := y;  
  while v > 0 do  
    v := v - 1;  
    z := z + 1;
```

Example 14.2

```
[x := 6]1;  
[y := 7]2;  
[z := 0]3;  
while [x > 0]4 do  
  [x := x - 1]5;  
  [v := y]6;  
  while [v > 0]7 do  
    [v := v - 1]8;  
    [z := z + 1]9
```

Representing Control Flow I

Every (labelled) statement has a single entry (given by the initial label) and generally multiple exits (given by the final labels):

Definition 14.3 (Initial and final labels)

The mapping $\text{init} : \text{Cmd} \rightarrow L$ returns the **initial label** of a statement:

$$\begin{aligned}\text{init}([\text{skip}]^l) &:= l \\ \text{init}([x := a]^l) &:= l \\ \text{init}(c_1; c_2) &:= \text{init}(c_1) \\ \text{init}(\text{if } [b]^l \text{ then } c_1 \text{ else } c_2) &:= l \\ \text{init}(\text{while } [b]^l \text{ do } c) &:= l\end{aligned}$$

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The mapping $\text{final} : Cmd \rightarrow 2^L$ returns the set of **final labels** of a statement:

$$\begin{aligned}\text{final}([\text{skip}]^l) &:= \{l\} \\ \text{final}([x := a]^l) &:= \{l\} \\ \text{final}(c_1; c_2) &:= \text{final}(c_2) \\ \text{final}(\text{if } [b]^l \text{ then } c_1 \text{ else } c_2) &:= \text{final}(c_1) \cup \text{final}(c_2) \\ \text{final}(\text{while } [b]^l \text{ do } c) &:= \{l\}\end{aligned}$$

Definition 14.4 (Flow relation)

Given a statement $c \in Cmd$, the **(control) flow relation** $\text{flow}(c) \subseteq L \times L$ is defined by

$$\begin{aligned}\text{flow}([\text{skip}]^l) &:= \emptyset \\ \text{flow}([x := a]^l) &:= \emptyset \\ \text{flow}(c_1; c_2) &:= \text{flow}(c_1) \cup \text{flow}(c_2) \cup \\ &\quad \{(l, \text{init}(c_2)) \mid l \in \text{final}(c_1)\} \\ \text{flow}(\text{if } [b]^l \text{ then } c_1 \text{ else } c_2) &:= \text{flow}(c_1) \cup \text{flow}(c_2) \cup \\ &\quad \{(l, \text{init}(c_1)), (l, \text{init}(c_2))\} \\ \text{flow}(\text{while } [b]^l \text{ do } c) &:= \text{flow}(c) \cup \{(l, \text{init}(c))\} \cup \\ &\quad \{(l', l) \mid l' \in \text{final}(c)\}\end{aligned}$$

Example 14.5

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  while [x > 0]2 do  
    [z := z*y]3;  
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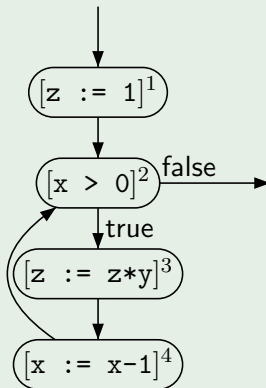
```
init( $c$ ) = 1  
final( $c$ ) = {2}  
flow( $c$ ) = {(1, 2), (2, 3), (3, 4), (4, 2)}
```

Example 14.5

Visualization by **flow graph**:

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Representing Control Flow IV

- To simplify the presentation we will often assume that the program $c \in Cmd$ under consideration has an **isolated entry**, meaning that

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(which is the case when c does not start with a **while** loop)

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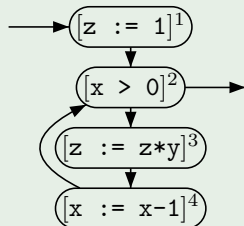
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Example 14.6



has an isolated entry but not isolated exits

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Available Expressions Analysis

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Example 14.7 (Available Expressions Analysis)

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[x := a+b]1;  
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- a+b available at label 3
- a+b not available at label 5
- possible optimization:
 while [y > **x**]³ do

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$$\begin{aligned}\text{kill}_{\text{AE}}([\text{skip}]^l) &:= \emptyset \\ \text{kill}_{\text{AE}}([x := a]^l) &:= \{a' \in \text{AExp}_c \mid x \in FV(a')\} \\ \text{kill}_{\text{AE}}([b]^l) &:= \emptyset\end{aligned}$$

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Example 14.8 (kill_{AE} / gen_{AE} functions)

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- | L_c | $\text{kill}_{\text{AE}}(B^l)$ | $\text{gen}_{\text{AE}}(B^l)$ |
|-------|--------------------------------|-------------------------------|
| 1 | $\emptyset$ | $\{a+b\}$ |
| 2 | $\emptyset$ | $\{a*b\}$ |
| 3 | $\emptyset$ | $\{a+b\}$ |
| 4 | $\{a+b, a*b, a+1\}$ | $\emptyset$ |
| 5 | $\emptyset$ | $\{a+b\}$ |

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where $\varphi_{l'} : 2^{AExp_c} \rightarrow 2^{AExp_c}$ denotes the **transfer function** of block $B^{l'}$, given by

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- Characterization of analysis:
 - forward**: starts in $\text{init}(c)$ and proceeds downwards
 - must**: $\bigcap$ in equation for AE_l
 - flow-sensitive**: results depending on order of assignments
- Later: solution **not necessarily unique**
 $\implies$ choose **greatest one**

The Equation System II

Reminder:

$$\begin{aligned} \text{AE}_l &= \begin{cases} \emptyset & \text{if } l = \text{init}(c) \\ \bigcap \{ \varphi_{l'}(\text{AE}_{l'}) \mid (l', l) \in \text{flow}(c) \} & \text{otherwise} \end{cases} \\ \varphi_{l'}(E) &= (E \setminus \text{kill}_{\text{AE}}(B^{l'})) \cup \text{gen}_{\text{AE}}(B^{l'}) \end{aligned}$$

The Equation System II

Reminder: $AE_l = \begin{cases} \emptyset & \text{if } l = \text{init}(c) \\ \bigcap \{ \varphi_{l'}(AE_{l'}) \mid (l', l) \in \text{flow}(c) \} & \text{otherwise} \end{cases}$
 $\varphi_{l'}(E) = (E \setminus \text{kill}_{AE}(B^{l'})) \cup \text{gen}_{AE}(B^{l'})$

Example 14.9 (AE equation system)

```
c = [x := a+b]1;  
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```

The Equation System II

Reminder: $AE_l = \begin{cases} \emptyset & \text{if } l = \text{init}(c) \\ \bigcap \{ \varphi_{l'}(AE_{l'}) \mid (l', l) \in \text{flow}(c) \} & \text{otherwise} \end{cases}$

$\varphi_{l'}(E) = (E \setminus \text{kill}_{AE}(B^{l'})) \cup \text{gen}_{AE}(B^{l'})$

Example 14.9 (AE equation system)

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$l \in L_c$	$\text{kill}_{AE}(B^l)$	$\text{gen}_{AE}(B^l)$
1	$\emptyset$	$\{a+b\}$
2	$\emptyset$	$\{a*b\}$
3	$\emptyset$	$\{a+b\}$
4	$\{a+b, a*b, a+1\}$	$\emptyset$
5	$\emptyset$	$\{a+b\}$

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Equations:

$$AE_1 = \emptyset$$

$$AE_2 = \varphi_1(AE_1) = AE_1 \cup \{a+b\}$$

$$AE_3 = \varphi_2(AE_2) \cap \varphi_5(AE_5) \\ = (AE_2 \cup \{a*b\}) \cap (AE_5 \cup \{a+b\})$$

$$AE_4 = \varphi_3(AE_3) = AE_3 \cup \{a+b\}$$

$$AE_5 = \varphi_4(AE_4) = AE_4 \setminus \{a+b, a*b, a+1\}$$

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Solution: $AE_1 = \emptyset$
 $AE_2 = \{a+b\}$
 $AE_3 = \{a+b\}$
 $AE_4 = \{a+b\}$
 $AE_5 = \emptyset$