

# Semantics and Verification of Software

## Lecture 12: Axiomatic Semantics of WHILE III (Correctness of Hoare Logic)

Thomas Noll

Lehrstuhl für Informatik 2  
(Software Modeling and Verification)

RWTH Aachen University

[noll@cs.rwth-aachen.de](mailto:noll@cs.rwth-aachen.de)

<http://www-i2.informatik.rwth-aachen.de/i2/svsw10/>

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- 1 Repetition: Hoare Logic
- 2 Soundness of Hoare Logic
- 3 (In-)Completeness of Hoare Logic

## Definition (Partial correctness properties)

Let  $A, B \in \text{Assn}$  and  $c \in \text{Cmd}$ .

- An expression of the form  $\{A\} c \{B\}$  is called a **partial correctness property** with **precondition**  $A$  and **postcondition**  $B$ .
- Given  $\sigma \in \Sigma_{\perp}$  and  $I \in \text{Int}$ , we let

$$\sigma \models^I \{A\} c \{B\}$$

if  $\sigma \models^I A$  implies  $\mathfrak{C}[c]\sigma \models^I B$   
(or equivalently:  $\sigma \in A^I \implies \mathfrak{C}[c]\sigma \in B^I$ ).

- $\{A\} c \{B\}$  is called **valid in  $I$**  (notation:  $\models^I \{A\} c \{B\}$ ) if  $\sigma \models^I \{A\} c \{B\}$  for every  $\sigma \in \Sigma_{\perp}$  (or equivalently:  $\mathfrak{C}[c]A^I \subseteq B^I$ ).
- $\{A\} c \{B\}$  is called **valid** (notation:  $\models \{A\} c \{B\}$ ) if  $\models^I \{A\} c \{B\}$  for every  $I \in \text{Int}$ .

**Goal:** syntactic derivation of valid partial correctness properties

## Definition (Hoare Logic)

The **Hoare rules** are given by

$$\begin{array}{c} (\text{skip}) \frac{}{\{A\} \text{ skip } \{A\}} \qquad \qquad (\text{asgn}) \frac{}{\{A[x \mapsto a]\} x := a \{A\}} \\ (\text{seq}) \frac{\{A\} c_1 \{C\} \quad \{C\} c_2 \{B\}}{\{A\} c_1; c_2 \{B\}} \quad (\text{if}) \frac{\{A \wedge b\} c_1 \{B\} \quad \{A \wedge \neg b\} c_2 \{B\}}{\{A\} \text{ if } b \text{ then } c_1 \text{ else } c_2 \{B\}} \\ (\text{while}) \frac{\{A \wedge b\} c \{A\}}{\{A\} \text{ while } b \text{ do } c \{A \wedge \neg b\}} \\ (\text{cons}) \frac{\models (A \implies A') \quad \{A'\} c \{B'\} \quad \models (B' \implies B)}{\{A\} c \{B\}} \end{array}$$

A partial correctness property is **provable** (notation:  $\vdash \{A\} c \{B\}$ ) if it is derivable by the Hoare rules. In case of (while),  $A$  is called a **(loop) invariant**.

Here  $A[x \mapsto a]$  denotes the syntactic replacement of every occurrence of  $x$  by  $a$  in  $A$ .

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**Soundness:** no wrong propositions can be derived, i.e., every (syntactically) provable partial correctness property is also (semantically) valid

For the corresponding proof we use:

Lemma 12.1 (Substitution lemma)

For every  $A \in Assn$ ,  $x \in Var$ ,  $a \in AExp$ ,  $\sigma \in \Sigma$ , and  $I \in Int$ :

$$\sigma \models^I A[x \mapsto a] \iff \sigma[x \mapsto \mathfrak{A}[a]\sigma] \models^I A.$$

Proof.

by induction over  $A \in Assn$  (omitted)



## Theorem 12.2 (Soundness of Hoare Logic)

For every partial correctness property  $\{A\} c \{B\}$ ,

$$\vdash \{A\} c \{B\} \implies \models \{A\} c \{B\}.$$

### Proof.

Let  $\vdash \{A\} c \{B\}$ . By induction over the structure of the corresponding proof tree we show that, for every  $\sigma \in \Sigma$  and  $I \in \text{Int}$  such that  $\sigma \models^I A$ ,  $\mathfrak{C}[c]\sigma \models^I B$  (on the board).

(If  $\sigma = \perp$ , then  $\mathfrak{C}[c]\sigma = \perp \models^I B$  holds trivially.)

□

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# Incompleteness of Hoare Logic I

Soundness: only valid partial correctness properties are provable ✓

Completeness: all valid partial correctness properties are systematically derivable ↗

Theorem 12.3 (Gödel's Incompleteness Theorem)

*The set of all valid assertions*

$$\{A \in \text{Assn} \mid \models A\}$$

*is not recursively enumerable, i.e., there exists no proof system for Assn in which all valid assertions are systematically derivable.*

Proof.

see [Winskel 1996, p. 110 ff]



## Corollary 12.4

*There is no proof system in which all valid partial correctness properties can be enumerated.*

### Proof.

Given  $A \in Assn$ ,  $\models A$  is obviously equivalent to  $\{\text{true}\} \text{skip} \{A\}$ . Thus the enumerability of all valid partial correctness properties would imply the enumerability of all valid assertions. □

**Remark:** alternative proof (using computability theory):  
 $\{\text{true}\} c \{\text{false}\}$  is valid iff  $c$  does not terminate on any input state. But the set of all non-terminating WHILE statements is not enumerable.