

# Semantics and Verification of Software

## Lecture 16: Provably Correct Implementation I (Abstract Machine & Compiler)

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Lehrstuhl für Informatik 2  
(Software Modeling and Verification)



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## EINLADUNG

Zeit: Mittwoch, 25. Januar 2012, 15:00 Uhr  
Ort: Hörsaal AH 3, Ahornstr. 55  
Referent: Dr. Thomas Noll  
RWTH Aachen  
Thema: Correctness, Safety and Fault Tolerance in  
Aerospace Systems: The ESA COMPASS  
Project

Building modern aerospace systems is highly demanding. They should be extremely dependable, offering service without failures for a very long time – typically years or decades. The need for an integrated system-software co-engineering framework to support the design of such systems is therefore pressing. However, current tools and formalisms tend to be tailored to specific analysis techniques and do not sufficiently cover the full spectrum of required system aspects such as safety, dependability and performance. Additionally, they cannot properly handle the intertwining of hardware and software operation. As such, current engineering practice lacks integration and coherence.

This talk gives an overview of the COMPASS project that was initiated by the European Space Agency to overcome this problem. It supports system-software co-engineering of real-time embedded systems by following a coherent and multidisciplinary approach. We show how such systems and their possible failures can be modeled in the Architecture and Analysis Design Language, how their behavior can be formalized, and how to analyze them by means of model checking and related techniques.

Es laden ein: Die Dozenten der Informatik

- To support **Compiler Construction** in Summer Semester
- Tasks:
  - evaluation of **exercises**
  - organizational **support**
- **12 hrs/week** contract
- Previous CC lecture **not** a prerequisite (but of course helpful)

- 1 Repetition: Semantics of Blocks and Procedures
- 2 Introduction
- 3 The Abstract Machine
- 4 Properties of AM

# Procedure Environments

- **Procedure environments** now store **semantic** information:
  - So far:  $PEnv := \{\pi \mid \pi : PVar \dashrightarrow Cmd \times VEnv \times PEnv\}$
  - Now:  $PEnv := \{\pi \mid \pi : PVar \dashrightarrow (Sto \dashrightarrow Sto)\}$
- **Procedure declarations** (“`proc  $P$  is  $c$` ”) update procedure environment:

$$upd_p[\![\cdot]\!] : PDec \times VEnv \times PEnv \rightarrow PEnv$$

- **non-recursive** case:  $P$  not (indirectly) called within  $c$   
 $\Rightarrow \pi(P)$  immediately given by  $\mathfrak{C}''[c]\rho \pi$

$$upd_p[\![proc\ P\ is\ c;\ p]\!](\rho, \pi) := upd_p[\![p]\!](\rho, \pi[P \mapsto \mathfrak{C}''[c]\rho \pi])$$

- **recursive** case:  $\pi(P)$  must be a solution of equation  $P = \mathfrak{C}''[c]\rho \pi$   
(cf. fixpoint semantics of **while** loop – Slide 5.15)

$$upd_p[\![proc\ P\ is\ c;\ p]\!](\rho, \pi) := upd_p[\![p]\!](\rho, \pi[P \mapsto \text{fix}(\Phi)])$$

where  $\Phi : (Sto \dashrightarrow Sto) \rightarrow (Sto \dashrightarrow Sto) : f \mapsto \mathfrak{C}''[c]\rho \pi[P \mapsto f]$

- $upd_p[\![\varepsilon]\!](\rho, \pi) := \pi$

# Statement Semantics Including Procedures

So far:  $\mathcal{E}'[\cdot] : \text{Cmd} \rightarrow \text{VEnv} \rightarrow (\text{Sto} \dashrightarrow \text{Sto})$

Definition (Denotational semantics with procedures)

$$\mathcal{E}''[\cdot] : \text{Cmd} \rightarrow \text{VEnv} \rightarrow \text{PEnv} \rightarrow (\text{Sto} \dashrightarrow \text{Sto})$$

is given by:

$$\begin{aligned}\mathcal{E}''[\text{skip}]\rho\pi &:= \text{id}_{\text{Sto}} \\ \mathcal{E}''[x := a]\rho\pi\sigma &:= \sigma[\rho(x) \mapsto \mathcal{A}[a](\text{lookup } \rho\sigma)] \\ \mathcal{E}''[c_1; c_2]\rho\pi &:= (\mathcal{E}''[c_2]\rho\pi) \circ (\mathcal{E}''[c_1]\rho\pi) \\ \mathcal{E}''[\text{if } b \text{ then } c_1 \text{ else } c_2]\rho\pi &:= \text{cond}(\mathcal{B}[b] \circ (\text{lookup } \rho), \\ &\quad \mathcal{E}''[c_1]\rho\pi, \mathcal{E}''[c_2]\rho\pi) \\ \mathcal{E}''[\text{while } b \text{ do } c]\rho\pi &:= \text{fix}(\Phi) \\ \mathcal{E}''[\text{call } P]\rho\pi &:= \pi(P) \\ \mathcal{E}''[\text{begin } v \text{ } p \text{ } c \text{ end}]\rho\pi\sigma &:= \mathcal{E}''[c]\rho'\pi'\sigma'\end{aligned}$$

where  $\text{upd}_v[v](\rho, \sigma) = (\rho', \sigma')$

$\text{upd}_p[p](\rho', \pi) = \pi'$

$\text{lookup } \rho\sigma := \sigma \circ \rho$

$\Phi(f) := \text{cond}(\mathcal{B}[b] \circ (\text{lookup } \rho), f \circ \mathcal{E}''[c]\rho\pi, \text{id}_{\text{Sto}})$

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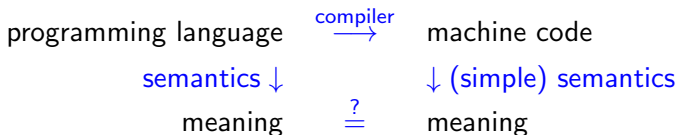
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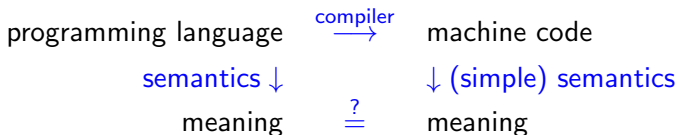
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- Meaning of **procedure call**:
  - operationally: **execution** of procedure body
  - ⇒ procedure environment records statement (“symbol table”)
  - denotationally: **application** of procedure meaning
  - ⇒ procedure environment records (partial) store transformation
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  - Recursive behavior again handled by **fixpoint approach**
- Further extensions:
  - **axiomatic semantics** (for `proc P is c`)
    - non-recursive: 
$$(\text{call}) \frac{\{A\} c \{B\}}{\{A\} \text{call } P \{B\}}$$
    - recursive: 
$$(\text{call}) \frac{\{A\} \text{call } P \{B\} \vdash \{A\} c \{B\}}{\{A\} \text{call } P \{B\}}$$
  - **procedure parameters**
  - **higher-order procedures**

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## To do:

- 1 Definition of **abstract machine**
- 2 Definition (operational) **semantics of machine instructions**
- 3 Definition of **translation** WHILE  $\rightarrow$  machine code (“compiler”)
- 4 **Proof:** semantics of generated machine code = semantics of original source code

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## Definition 16.1 (Abstract machine)

The **abstract machine (AM)** is given by

- **configurations** of the form  $\langle d, e, \sigma \rangle \in Cnf$  where
  - $d \in Code$  is the **sequence** of instructions (code) to be executed
  - $e \in Stk := (\mathbb{Z} \cup \mathbb{B})^*$  is the **evaluation stack** (top left)
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- **initial configurations** of the form  $\langle d, \varepsilon, \sigma \rangle$
- **final configurations** of the form  $\langle \varepsilon, e, \sigma \rangle$
- **code sequences**  $d$  and **instructions**  $i$ :  
$$d ::= \varepsilon \mid i : d$$
$$i ::= \text{PUSH}(z) \mid \text{ADD} \mid \text{MULT} \mid \text{SUB} \mid$$
$$\text{TRUE} \mid \text{FALSE} \mid \text{EQ} \mid \text{GT} \mid \text{AND} \mid \text{OR} \mid \text{NEG} \mid$$
$$\text{LOAD}(x) \mid \text{STORE}(x) \mid \text{NOOP} \mid \text{BRANCH}(d, d) \mid \text{LOOP}(d, d)$$

(where  $z \in \mathbb{Z}$  and  $x \in Var$ )

## Definition 16.2 (Transition relation of AM)

The **transition relation**  $\triangleright \subseteq \text{Cnf} \times \text{Cnf}$  is given by

$$\begin{aligned} \langle \text{PUSH}(z) : d, e, \sigma \rangle &\triangleright \langle d, z : e, \sigma \rangle \\ \langle \text{ADD} : d, z_1 : z_2 : e, \sigma \rangle &\triangleright \langle d, (z_1 + z_2) : e, \sigma \rangle \\ \langle \text{MULT} : d, z_1 : z_2 : e, \sigma \rangle &\triangleright \langle d, (z_1 * z_2) : e, \sigma \rangle \\ \langle \text{SUB} : d, z_1 : z_2 : e, \sigma \rangle &\triangleright \langle d, (z_1 - z_2) : e, \sigma \rangle \\ \langle \text{TRUE} : d, e, \sigma \rangle &\triangleright \langle d, \text{true} : e, \sigma \rangle \\ \langle \text{FALSE} : d, e, \sigma \rangle &\triangleright \langle d, \text{false} : e, \sigma \rangle \\ \langle \text{EQ} : d, z_1 : z_2 : e, \sigma \rangle &\triangleright \langle d, (z_1 = z_2) : e, \sigma \rangle \\ \langle \text{GT} : d, z_1 : z_2 : e, \sigma \rangle &\triangleright \langle d, (z_1 > z_2) : e, \sigma \rangle \\ \langle \text{AND} : d, t_1 : t_2 : e, \sigma \rangle &\triangleright \langle d, (t_1 \wedge t_2) : e, \sigma \rangle \\ \langle \text{OR} : d, t_1 : t_2 : e, \sigma \rangle &\triangleright \langle d, (t_1 \vee t_2) : e, \sigma \rangle \\ \langle \text{NEG} : d, t : e, \sigma \rangle &\triangleright \langle d, \neg t : e, \sigma \rangle \\ \langle \text{LOAD}(x) : d, e, \sigma \rangle &\triangleright \langle d, \sigma(x) : e, \sigma \rangle \\ \langle \text{STORE}(x) : d, z : e, \sigma \rangle &\triangleright \langle d, e, \sigma[x \mapsto z] \rangle \\ \langle \text{NOOP} : d, e, \sigma \rangle &\triangleright \langle d, e, \sigma \rangle \\ \langle \text{BRANCH}(d_{\text{true}}, d_{\text{false}}) : d, t : e, \sigma \rangle &\triangleright \langle d_t : d, e, \sigma \rangle \\ \langle \text{LOOP}(d_1, d_2) : d, e, \sigma \rangle &\triangleright \langle d_1 : \text{BRANCH}(d_2 : \text{LOOP}(d_1, d_2), \text{NOOP}) : d, e, \sigma \rangle \end{aligned}$$

**Remark:** more traditional machine architectures

- Variables referenced by address (and not by name)
  - configurations  $\langle d, e, m \rangle$  with memory  $m \in \mathbb{Z}^*$
  - $\text{LOAD}(x)/\text{STORE}(x)$  replaced by  $\text{GET}(n)/\text{PUT}(n)$  (where  $n \in \mathbb{N}$ )

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- **Registers** for storing intermediate values  
(in place of evaluation stack  $e$ )



## Definition 16.3 (AM computations)

- A **finite computation** is a finite configuration sequence of the form  $\gamma_0, \gamma_1, \dots, \gamma_k$  where  $k \in \mathbb{N}$  and  $\gamma_{i-1} \triangleright \gamma_i$  for each  $i \in \{1, \dots, k\}$

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**Note:** a terminating computation may end in a **final configuration** ( $\langle \varepsilon, e, \sigma \rangle$ ) or in a **stuck configuration** (e.g.,  $\langle \text{ADD}, 1, \sigma \rangle$ )

## Example 16.4

For  $d := \text{PUSH}(1) : \text{LOAD}(x) : \text{ADD} : \text{STORE}(x)$  and  $\sigma(x) = 3$ , we obtain the following terminating computation:

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**Remark:** implements statement  $x := x + 1$

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**Remark:** implements statement `while true do skip`

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# A New Inductive Principle

## Application: Finite computations (Def. 16.3)

**Definition:** a finite computation  $\gamma_0, \gamma_1, \dots, \gamma_k$  has **length**  $k$

**Induction base:** property holds for all computations of length 0

**Induction hypothesis:** property holds for all computations of length  $\leq k$

**Induction step:** property holds for all computations of length  $k + 1$

## Lemma 16.6

If  $\langle d_1, e_1, \sigma \rangle \triangleright^* \langle d', e', \sigma' \rangle$ , then

$$\langle d_1 : d_2, e_1 : e_2, \sigma \rangle \triangleright^* \langle d' : d_2, e' : e_2, \sigma' \rangle$$

for every  $d_2 \in \text{Code}$  and  $e_2 \in \text{Stk}$ .

**Interpretation:** both the code and the stack component can be extended without changing the behavior of the machine

## Lemma 16.6

If  $\langle d_1, e_1, \sigma \rangle \triangleright^* \langle d', e', \sigma' \rangle$ , then

$$\langle d_1 : d_2, e_1 : e_2, \sigma \rangle \triangleright^* \langle d' : d_2, e' : e_2, \sigma' \rangle$$

for every  $d_2 \in \text{Code}$  and  $e_2 \in \text{Stk}$ .

**Interpretation:** both the code and the stack component can be extended without changing the behavior of the machine

## Proof.

by induction on the length of the computation  
(on the board)

