

Verification of Pointer Programs

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Pointers

Pointers are indispensable and omnipresent

- **object-oriented** programming
- **dynamic memory** management and data structures
- data bases and index structures
- ...

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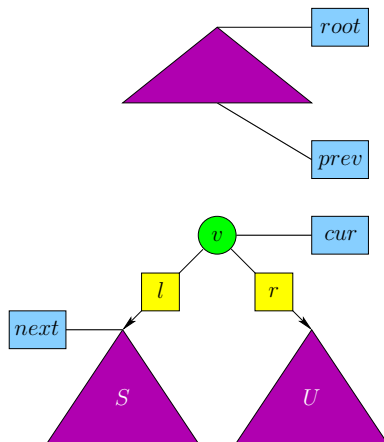
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Difficulties

- **aliasing** creates dependencies
 - destructive updates
 - **dereferencing** invalid/**null pointers**
- ⇒ **automatic verification** desirable

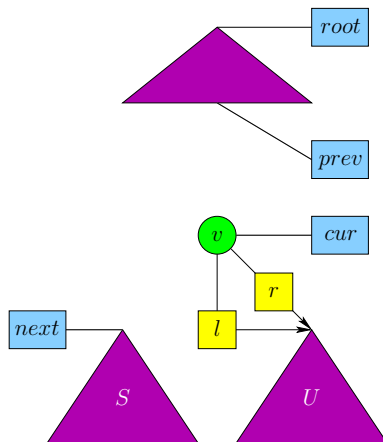
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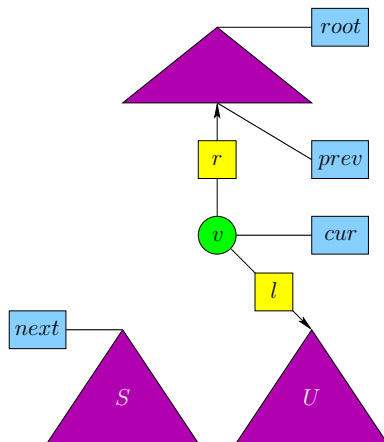
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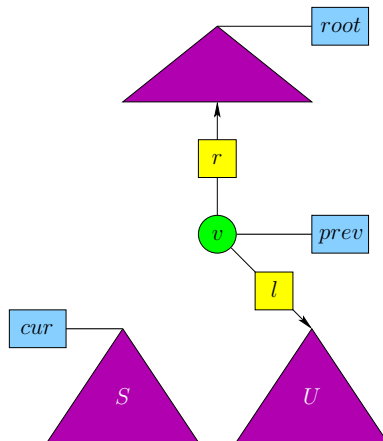
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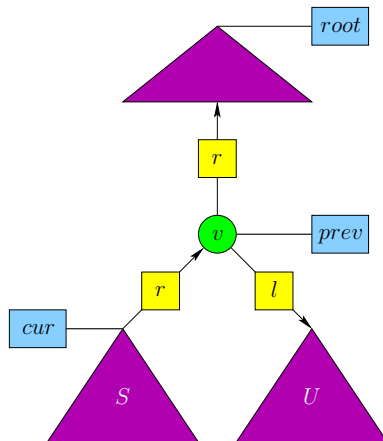
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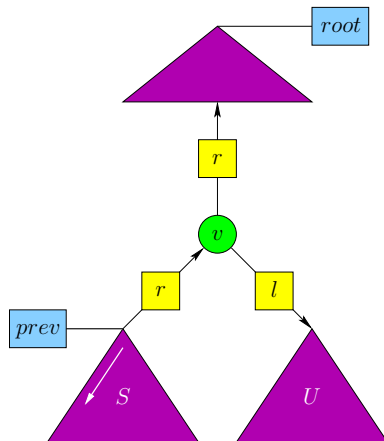
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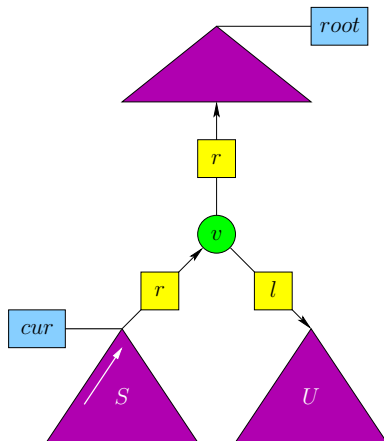
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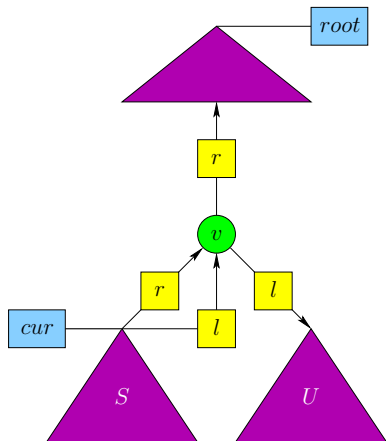
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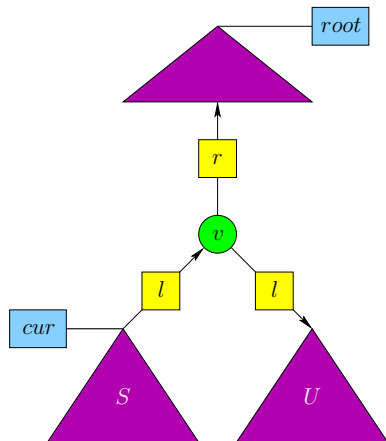
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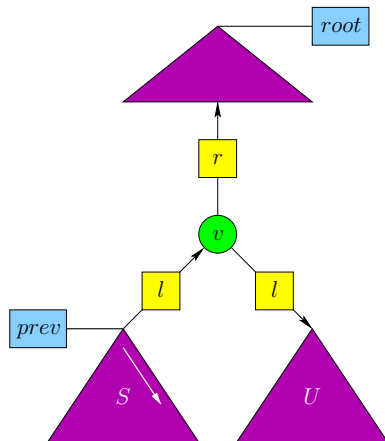
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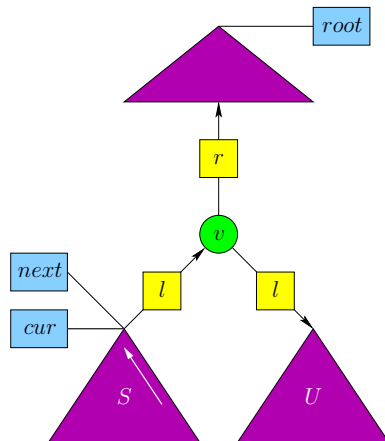
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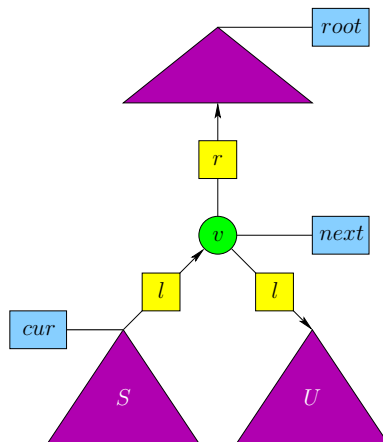
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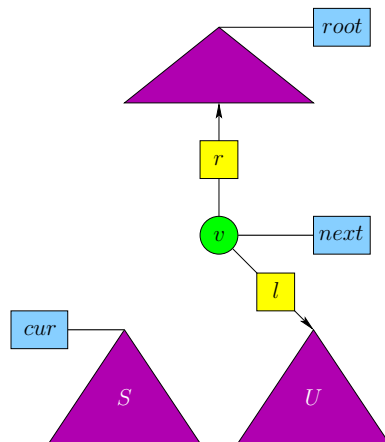
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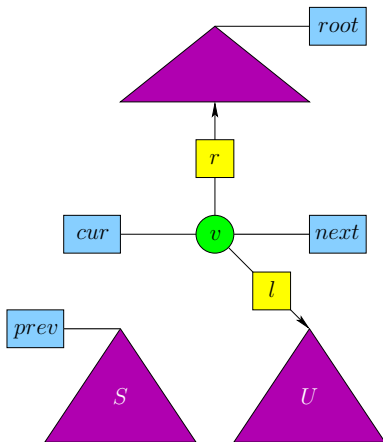
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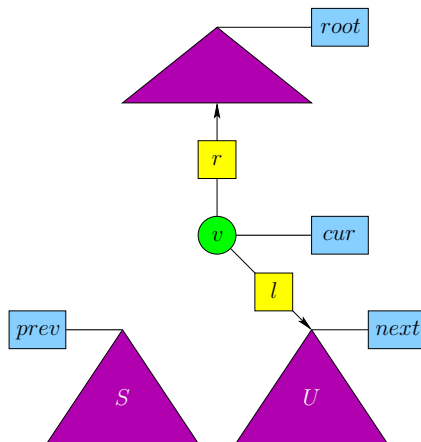
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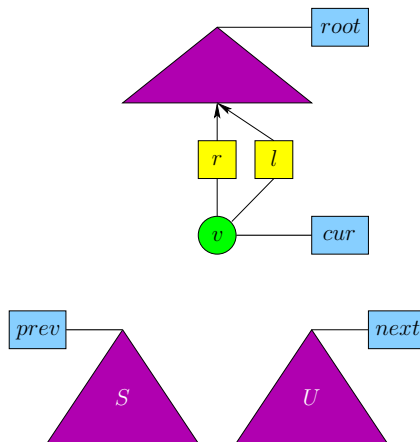
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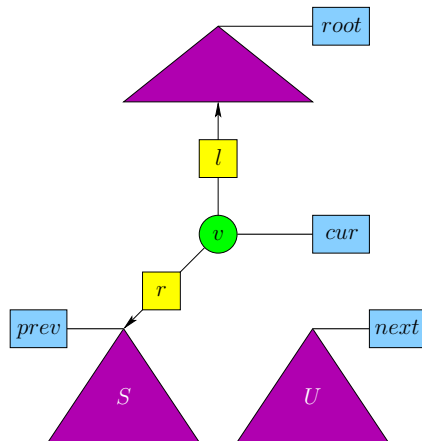
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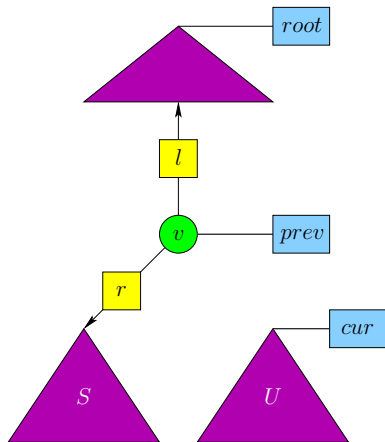
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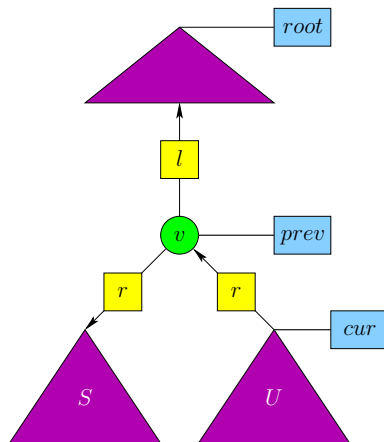
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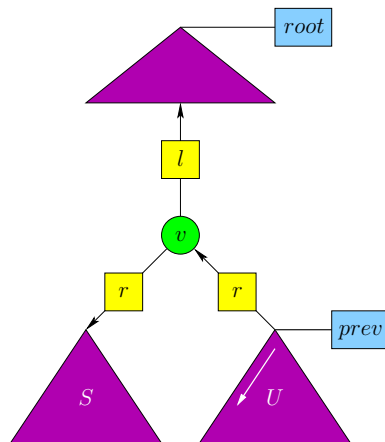
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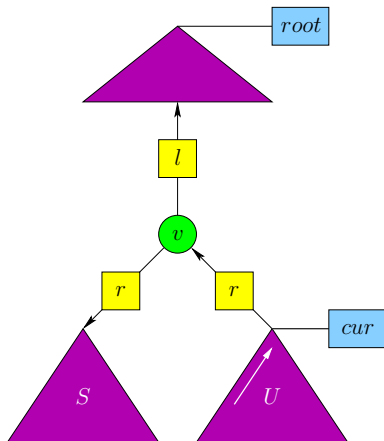
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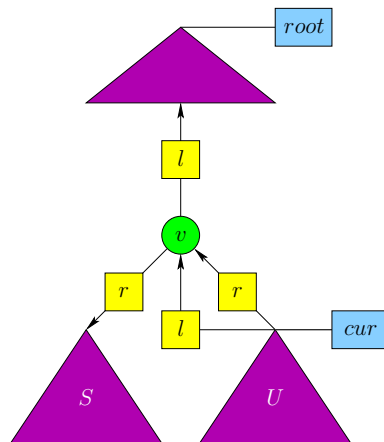
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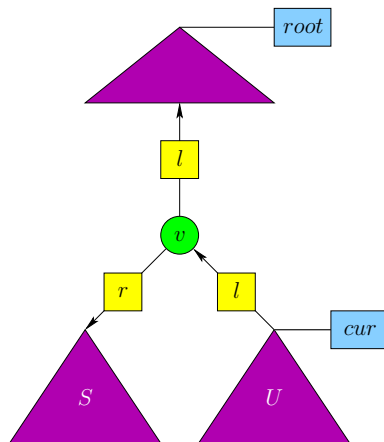
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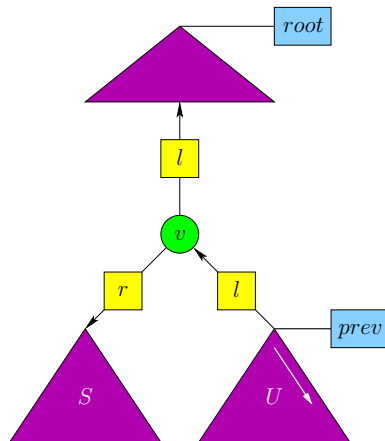
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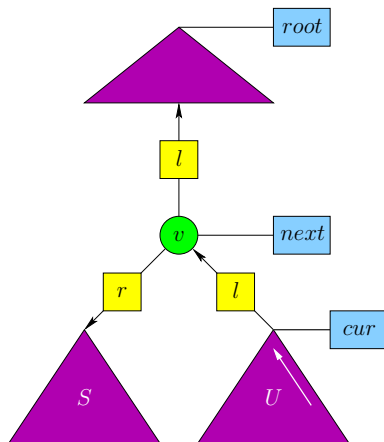
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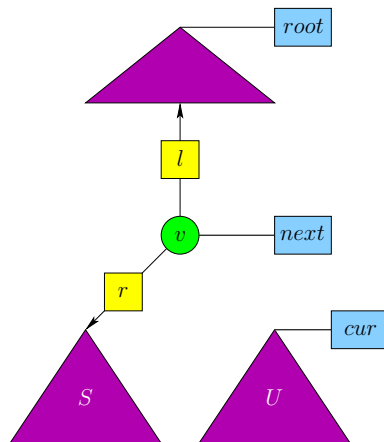
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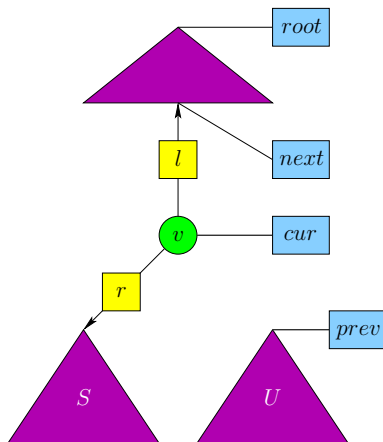
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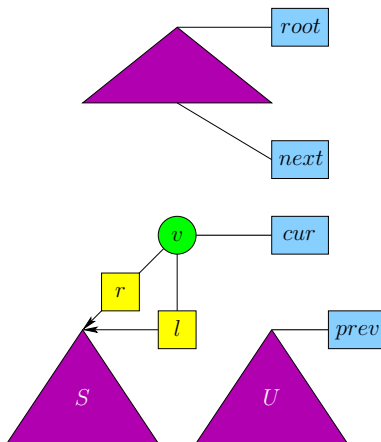
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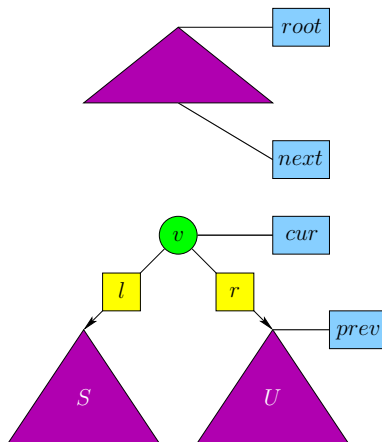
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 - dynamic memory allocation at runtime
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Approach: Over-Approximation by Abstraction

- use **HRGs** to model data structures
 - **abstraction** and **concretization** based on HRG rules
- ⇒ **finite state spaces** for e.g. model checking

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Simple Pointer Programming Language (only pointers as data)

- pointer assignment ($x.a := y.b$)
- creation of objects (**new**(x))
- **limited dereferencing depth** (no real restriction)

Related Work

Shape Analysis represents unbounded heap graphs by three-valued logical structures [Sagiv et al., 2002, Beyer et al., 2006]

Separation Logic is an extension of Hoare logic [Reynolds, 2002, O'Hearn et al., 2004]

Graph Transformation is used in different approaches:

- abstraction and verification of graph transformation systems [Baldan and König, 2002, Baldan et al., 2004, Kastenbergh and Rensink, 2006]
- model pointer assignments directly by graph transformations [Rensink, 2004, Rensink and Distefano, 2006]
- graph reduction grammars [Bakewell et al., 2004a, Bakewell et al., 2004b, Dodds and Plump, 2006]

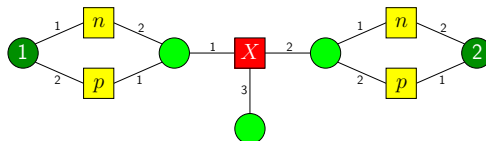
Alphabets and Hypergraphs

Ranked Alphabet Σ

- ranking function $rk : \Sigma \rightarrow \mathbb{N}$
- Σ consists of **terminals** and **nonterminals**: $\Sigma = T_\Sigma \uplus N_\Sigma$

Hypergraphs

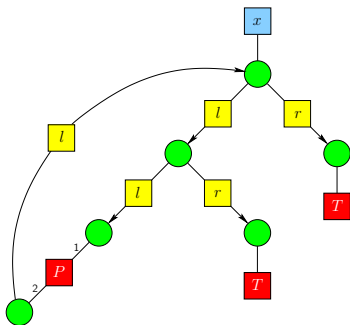
- **hyperedges** connect an arbitrary number of vertices
- hyperedges are **labeled** with symbols from Σ
- **rank** of label **determines** the **arity** of the edge
- **external vertices** are used for **hyperedge replacements**





Representing Heap States


Heapgraph \rightarrow Hypergraph

	Rank of Edges	Type of Label
pointers	2	terminal
program variables	1	variable (terminal)
abstract subgraphs	arbitrary	nonterminal



 s → pointer with selector s

 x → program variable

 X nonterminal edge

omit tentacle numbers when order clear

Concrete and Abstract Heaps

Abstract Heap

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Concrete and Abstract Heaps

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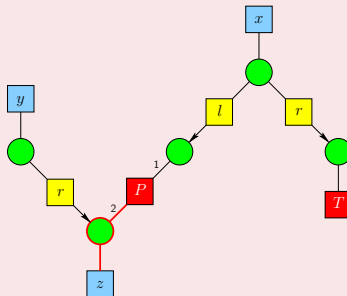
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Admissibility

A heap configuration is **admissible** if nodes referred by variables are not adjacent to nonterminal edges.

Useful for abstract semantics
("concrete assignment").

Inadmissible



Overview

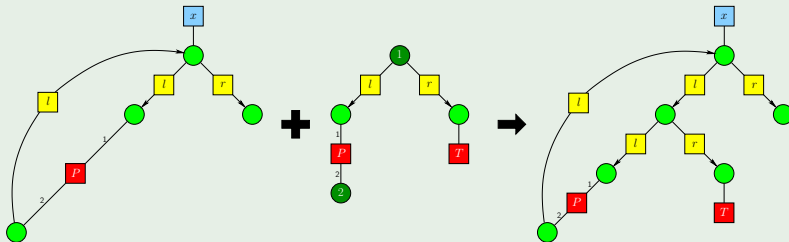
- ① Hyperedge Replacement
- ② Abstraction and Concretization
- ③ Pointer Logic
- ④ Verification and Model Checking

Hyperedge Replacement

Executing a hyperedge replacement

- 1 Hypergraph H with hyperedge $e \in E_H$ s.t. $\ell(e) \in N_\Sigma$
- 2 Hypergraph R with $|ext_R| = rk(e)$

Example

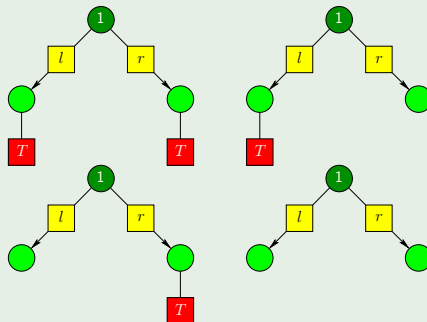


Hyperedge Replacement Grammars

Definition

A HRG G is a set of productions of the form $X \rightarrow R$ with $X \in N_\Sigma$ and hypergraph R where $|ext_R| = rk(X)$.

Example: HRG for (fully branched) Binary Trees



Properties

Context-freeness

HRGs are **context-free** and **confluent**.

Applicability

A rule is **applicable** to a hypergraph if it contains a nonterminal that matches the rule's LHS.

Derivation

A **derivation** is a sequence $H_0 \xRightarrow{G} H_1 \xRightarrow{G} H_2 \xRightarrow{G} \dots$ where each $H_i \xRightarrow{G} H_{i+1}$ is an application of a rule from G .

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Graph Language of HRG G

$\mathcal{L}(G, H) = \{K \in \text{HGraph}_{T_\Sigma} \mid H \xRightarrow{G}^* K\}$
(= all **terminal** graphs which are derivable from H)

Overview

- ① Hyperedge Replacement
- ② Abstraction and Concretization
- ③ Pointer Logic
- ④ Verification and Model Checking

Abstracting the Heap

Abstraction

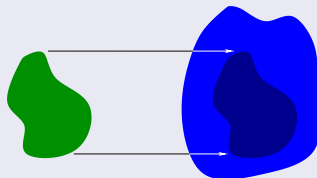
For HRG G and hypergraph H the set of **abstractions** of H is

$$\text{Abstractions}(H) = \{K \in \text{HGraph}_\Sigma \mid K \xRightarrow{G}^+ H\}$$

If $\text{LHS} < \text{RHS}$ for all rules in G , $\text{Abstractions}(H)$ is **finite**.

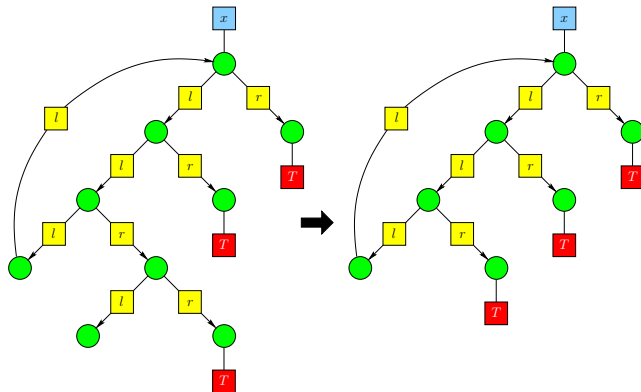
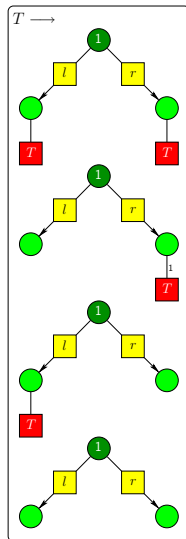
Idea

- Compute abstractions by **reverse application** of HRG rules
- Reverse application requires finding a **subgraph isomorphism**

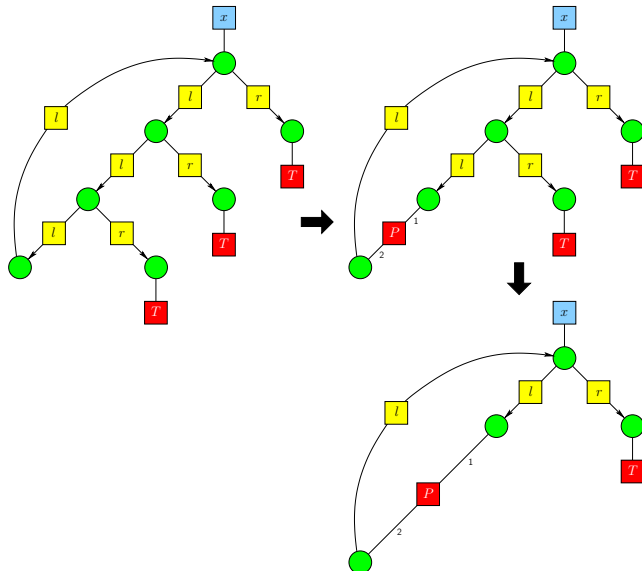
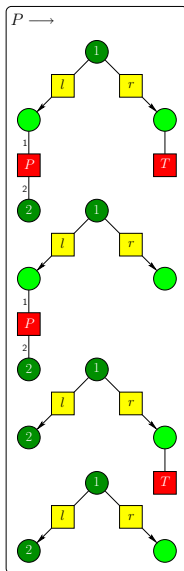


- Reverse rule application is **not confluent**

Abstraction Example - Binary Trees



Path Abstraction



Abstracting the Heap II

Correctness (but Over-Approximation)

By definition every concrete heap configuration can be regenerated from its abstractions.

$$\text{Abstractions}(H) = \{K \in \text{HGraph}_{\Sigma} \mid K \xRightarrow{G}^+ H\}$$

Abstracting the Heap II

Correctness (but Over-Approximation)

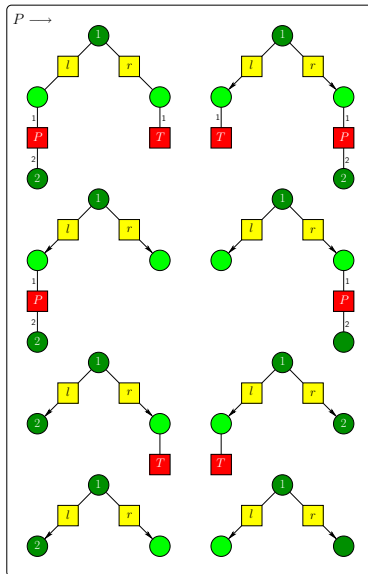
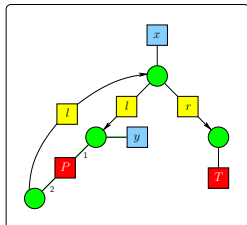
By definition every concrete heap configuration can be regenerated from its abstractions.

$$\text{Abstractions}(H) = \{K \in \text{HGraph}_\Sigma \mid K \xRightarrow{G}^+ H\}$$

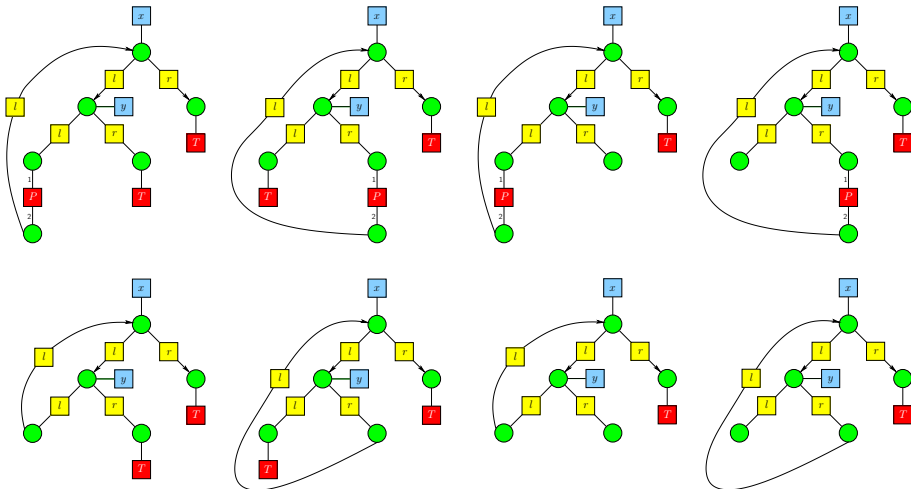
Abstraction alone insufficient

- Assignment easy since admissibility guarantees concrete edges near variables.
- **But:** assignments may yield inadmissible configurations
- **Idea:** materialize concrete objects from nonterminals (partial concretization)

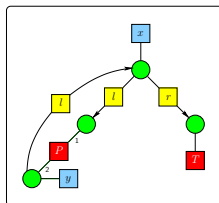
Partial Concretization by Forward Rule Application



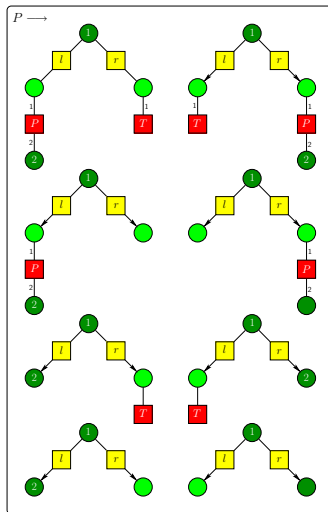
Resulting Hypergraphs



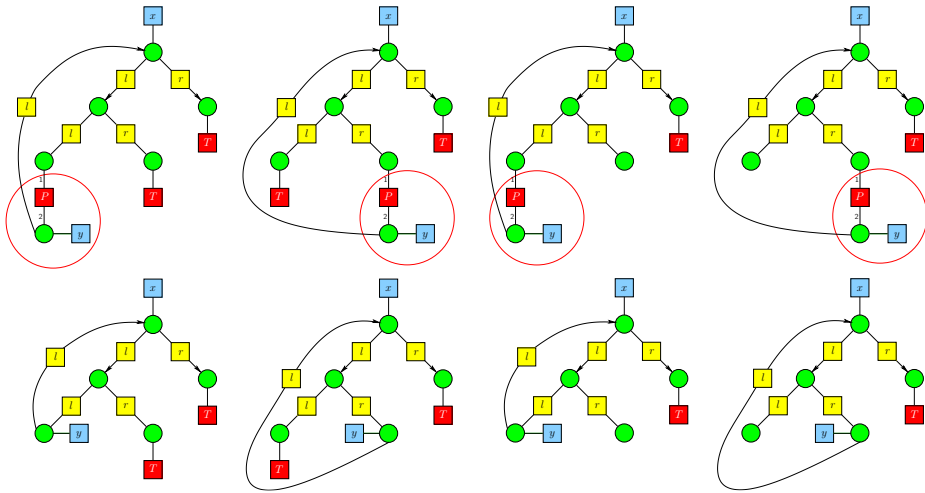
Different Situation



+



Inadmissible Results

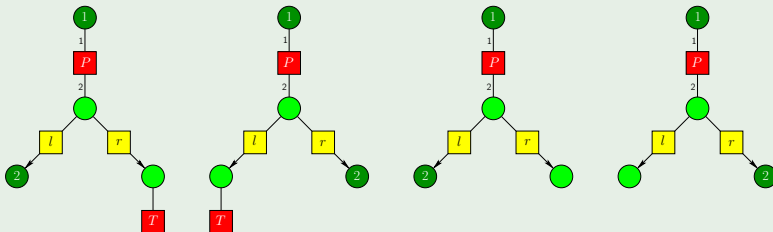


The Solution

Heap Abstraction Grammars

- introduce **redundant** rules allowing concretization “from below”
- additional rules must guarantee **completeness**

Additional Rules



Overview

- ① Hyperedge Replacement
- ② Abstraction and Concretization
- ③ Pointer Logic
- ④ Verification and Model Checking

Temporal Pointer Logic

- Combination of **LT**L operators and **pointer comparisons**
- **Arbitrarily deep** dereferencing

Formal Definition

Let \mathfrak{F} be a set of flags (**err**, **term**, ...).

$$\begin{aligned} \text{TPL}(\Sigma, \mathfrak{F}) ::= & \text{TRUE} \mid \mathfrak{F} \mid \text{DEREF}_{\Sigma} = \text{DEREF}_{\Sigma} \\ & \mid \neg \text{TPL}(\Sigma, \mathfrak{F}) \mid \text{TPL}(\Sigma, \mathfrak{F}) \wedge \text{TPL}(\Sigma, \mathfrak{F}) \\ & \mid \mathbf{X} \text{TPL}(\Sigma, \mathfrak{F}) \mid \text{TPL}(\Sigma, \mathfrak{F}) \mathbf{U} \text{TPL}(\Sigma, \mathfrak{F}) \end{aligned}$$

$$\text{DEREF}_{\Sigma} ::= \text{null} \mid \text{Var}_{\Sigma} \mid \text{DEREF}_{\Sigma} . \text{Sel}_{\Sigma}$$

$$\mathbf{F} \varphi = \text{TRUE} \mathbf{U} \varphi$$

$$\mathbf{G} \varphi = \neg \mathbf{F} \neg \varphi$$

Semantics of TPL

Interpretation

- Interpret TPL formulae on infinite **and finite** sequences of **heap configurations**.
- Every trace of heap configurations has an associated trace of (sets of) **flags** of equal length.

Finite Traces

Let $t \in \text{aHHC}_\Sigma^*$ and $u \in \mathfrak{F}^*$ be a finite traces of length n . Implicit extension as follows:

$t(1)$	$t(2)$	\dots	$t(n)$	$t(n)$	$t(n)$	\dots
$u(1)$	$u(2)$	\dots	$u(n)$	$u(n) \cup \{\text{term}\}$	$u(n) \cup \{\text{term}\}$	\dots

Formal Semantics of Pointer Comparisons

Concrete Semantics

$$\text{CSAT}[\xi = \zeta, H] = \begin{cases} 1 & \mathcal{D}[\xi, H] = \mathcal{D}[\zeta, H] \neq \perp \\ 0 & \text{otherwise} \end{cases}$$

$\mathcal{D}[\zeta, H]$: “intuitive” concrete expression semantics

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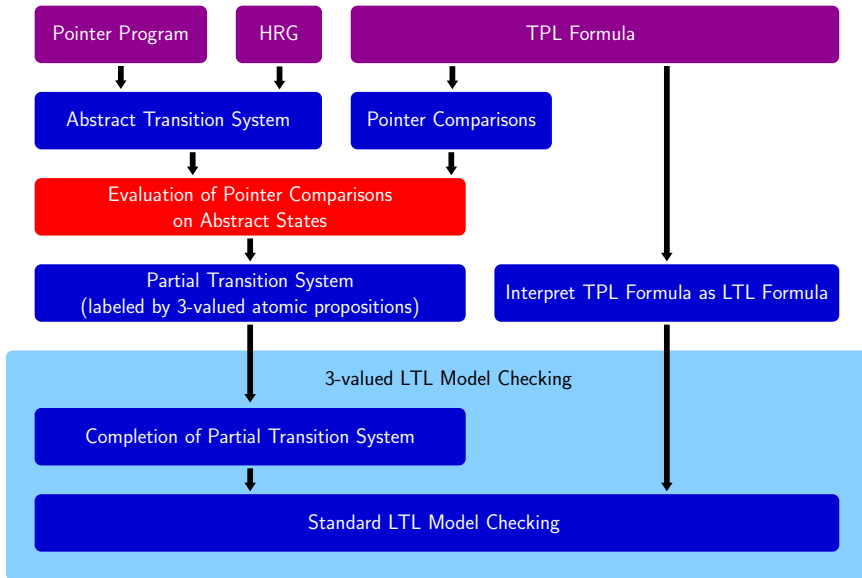
$\mathcal{D}[\zeta, H]$: “intuitive” concrete expression semantics

Abstract Semantics – 3 cases

$$\text{ASAT}[\gamma, H] = \begin{cases} 1 & \text{if } \forall H' \in \mathcal{L}(G, H) : \text{CSAT}[\gamma, H'] = 1 \\ 0 & \text{if } \forall H' \in \mathcal{L}(G, H) : \text{CSAT}[\gamma, H'] = 0 \\ \frac{1}{2} & \text{otherwise} \end{cases}$$

Overview

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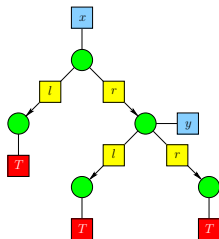


Evaluating Pointer Comparisons

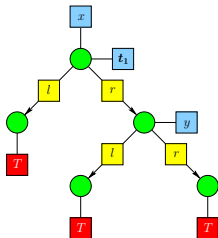
$$x.a_1.a_2...a_m = y.b_1.b_2...b_n$$

- Use two auxiliary variables t_1 and t_2 to walk along “paths”
- Assignments followed (not preceded) by concretization steps
- Check if in all concretizations $t_1 = t_2$

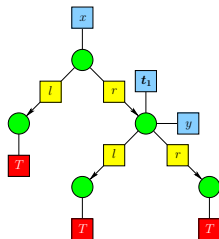
Does $\text{ASAT}[\![x.r.l.r = y.l.r, H]\!] = 1$ hold?



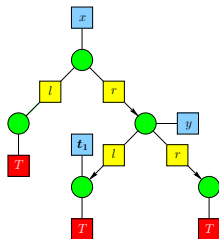
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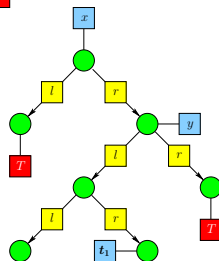
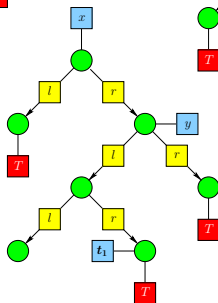
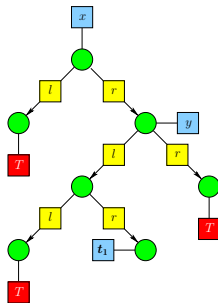
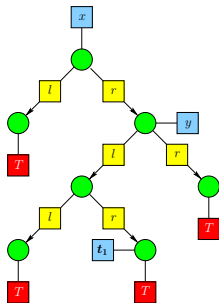
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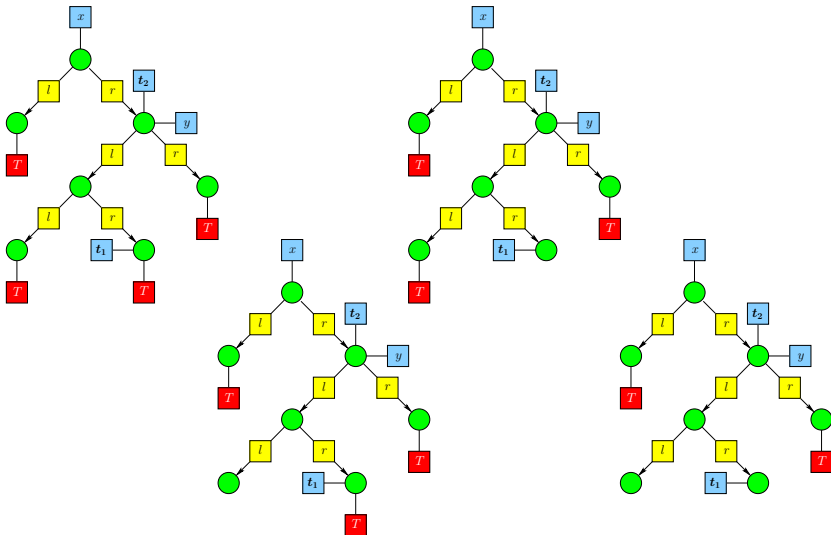
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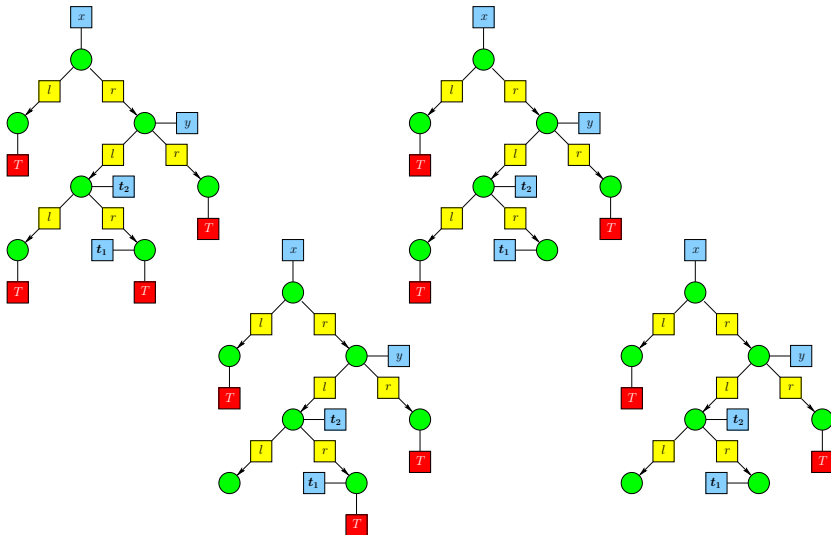
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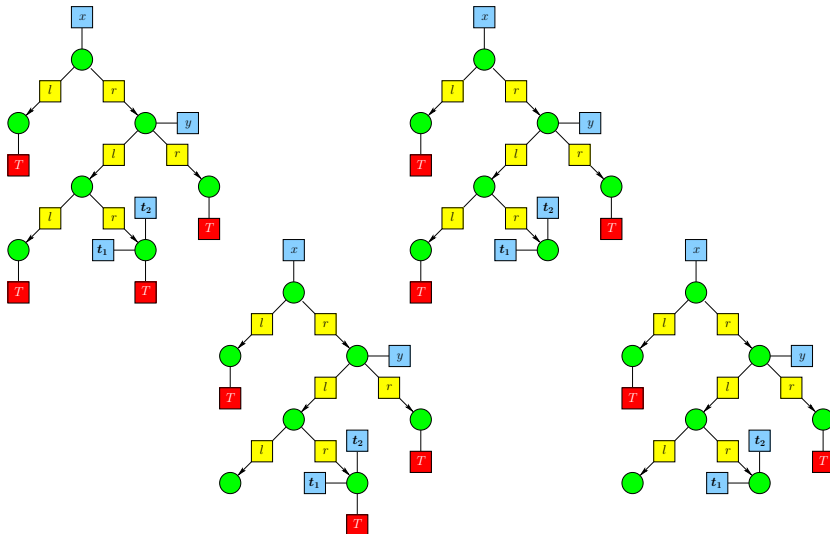
Does $\text{ASAT}[x.r.l.r = y.l.r, H] = 1$ hold?



Does $\text{ASAT}[x.r.l.r = y.l.r, H] = 1$ hold?



$$\forall K : \text{CSAT}[[t_1 = t_2, H]] = 1 \Rightarrow \text{ASAT}[[\dots]] = 1$$

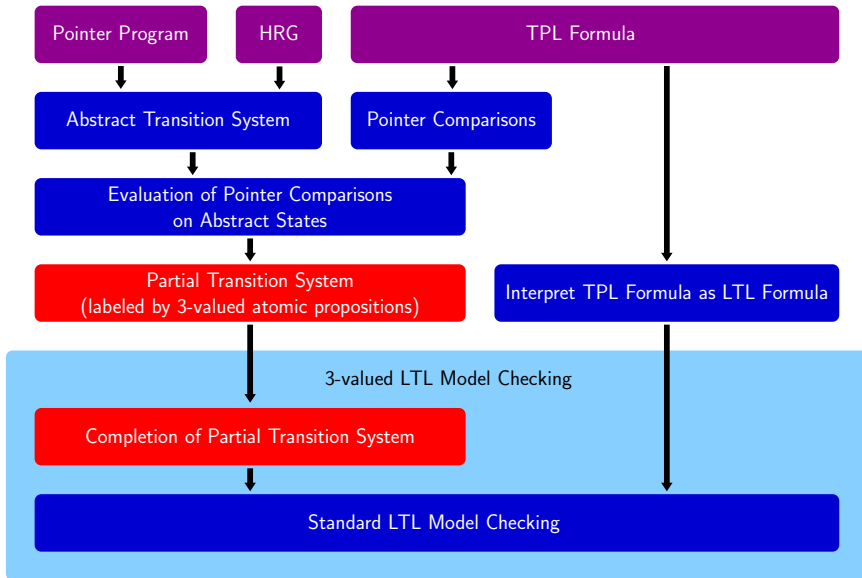


A Special Case

Limiting Dereferencing Depth

When dereferencing depth in pointer comparisons is limited to one, we **always** get clearly determined results (0 or 1).

Reason: admissibility of heap configurations



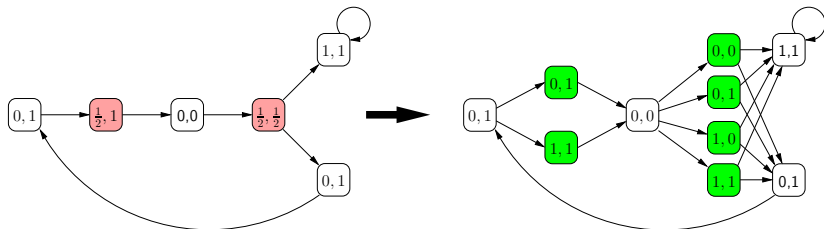
Three-valued LTL Model Checking

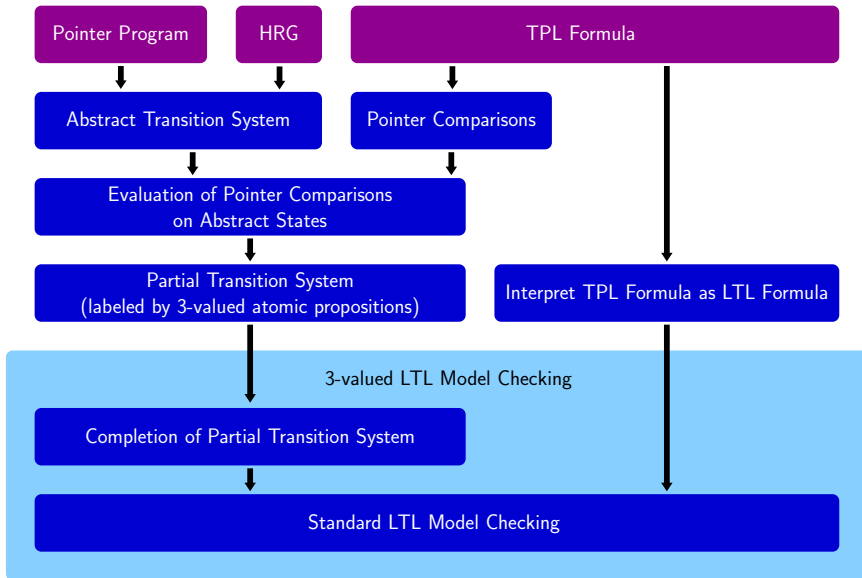
Setting

- Evaluation of pointer comparisons can result in either 0, 1 or $\frac{1}{2}$
- Transition system has 3-valued labeling

Transformation

Transform transition system to represent all possibilities for $\frac{1}{2}$ -valued predicates.





Quantifiers

Quantified TPL

$$Q_1 X_1 Q_2 X_2 \dots Q_k X_k : \varphi(X_1, X_2, \dots, X_k)$$

- Quantification over heap objects present in the initial states
- Preservation of object identities between states by **nondeterministic marking** with variables
- For every quantor an additional marking is necessary (exponential blow-up of state space)

Example: The Deutsch-Schorr-Waite Algorithm

Pointer Safety: No pointer errors / null dereferences

Shape Safety: Input structure is retained

Completeness: all vertices are visited at least once

$$\forall X : \neg(\text{cur} \neq X \text{ U term})$$

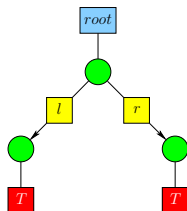
Termination: finally X never points to cur anymore

$$\forall X : \mathbf{FG}(\text{cur} \neq X)$$

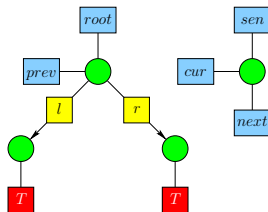
Correctness: for all vertices the left- and right successors are the same after program termination

$$\begin{aligned} \forall X \forall X_l \forall X_r : & X.l = X_l \wedge X.r = X_r \rightarrow \\ & ((X = \text{root} \rightarrow \mathbf{G}(X = \text{root})) \\ & \wedge \mathbf{G}(\text{term} \rightarrow (X.l = X_l \wedge X.r = X_r))) \end{aligned}$$

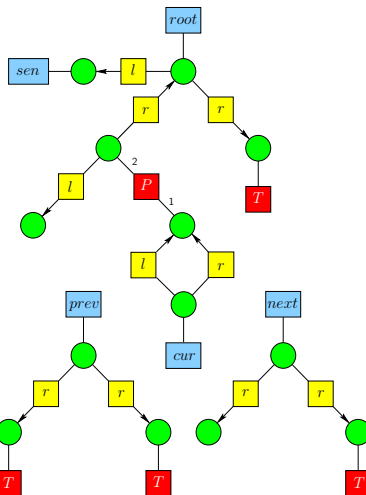
Experimental Results: Verifying the DSW Algorithm



an initial heap



a final heap



an intermediate heap state

Experimental Results: Verifying the DSW Algorithm

	no marking	1 marking	3 markings	TVLA
Initial States	5	185	962	> 80,000
Number of States	20,678	6,220,798	35,983,627	
Number of Transitions	23,359	7,078,257	40,909,648	
State Space Gen. (h:min:sec)	<0:01	10:14	1:18:03	
Memory Consumption	41 MB	788 MB	3,900 MB	
Pointer Safety	on-the-fly	-	-	
Shape Safety	on-the-fly	-	-	
Completeness (min:sec)	-	0:16	-	
Termination (min:sec)	-	0:39	-	
Correctness (min:sec)	-	-	4:05	
Total Time (State Space Gen. + all Properties)			1:28:35	<9:00:00

Conclusion

- analysis and verification of **complex** data structures
- **highly parametrized** framework
- **handling of inconsistencies** wrt. the data structure
- more **intuitive** than other approaches
- promising experimental results

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- unbounded thread creation [Noll and Rieger, 2008]

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Outlook

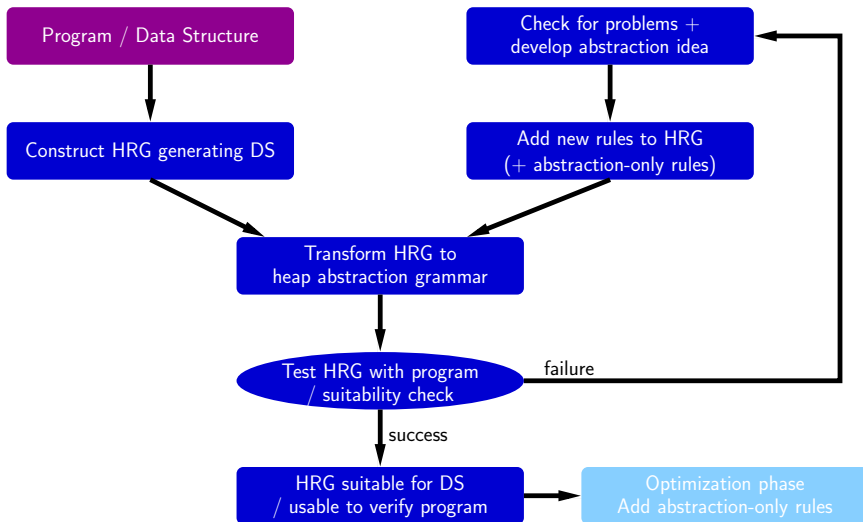
- **learning** of HRGs
- **typed/attributed** HRGs

- (FM 2008) Thomas Noll and Stefan Rieger. [Verifying Dynamic Pointer-Manipulating Threads](#)
- (ICGT 2008) Stefan Rieger and Thomas Noll. [Abstracting Complex Data Structures by Hyperedge Replacement](#)
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Thank you for your attention!

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Development of HRGs



Partial Concretization II

Solving the Problem

- Enforcing HRGs to be in **apex form** (for all $X \rightarrow H$, the nodes ext_H are only adjacent to terminals)

Partial Concretization II

Solving the Problem

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Partial Concretization II

Solving the Problem

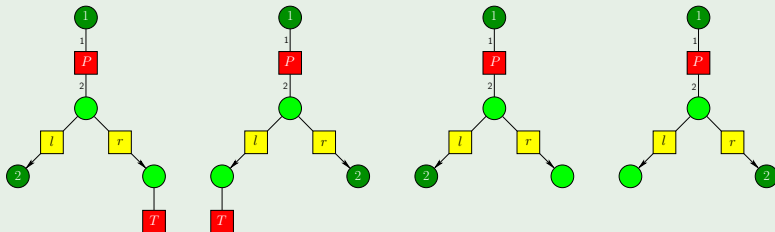
- Enforcing HRGs to be in **apex form** (for all $X \rightarrow H$, the nodes ext_H are only adjacent to terminals)
- ⇒ **impractical** [Engelfriet, 1992]
- ⇒ Introducing **additional redundant** grammar-rules that do not modify the language

Partial Concretization II

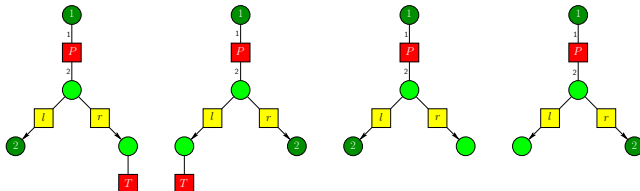
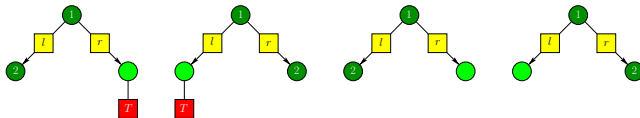
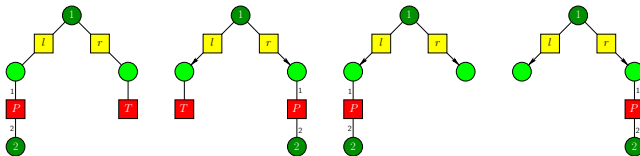
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Additional Rules



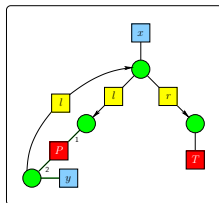
All P -Rules



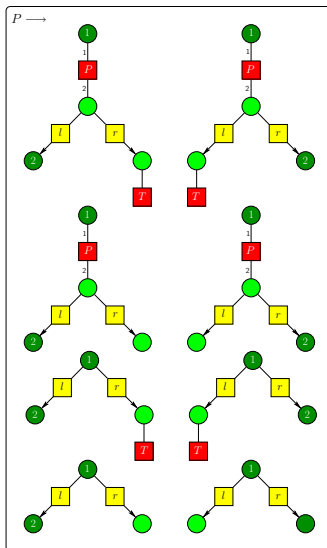
Concretization from **first** external vertex

Concretization from second external vertex

Again the Example

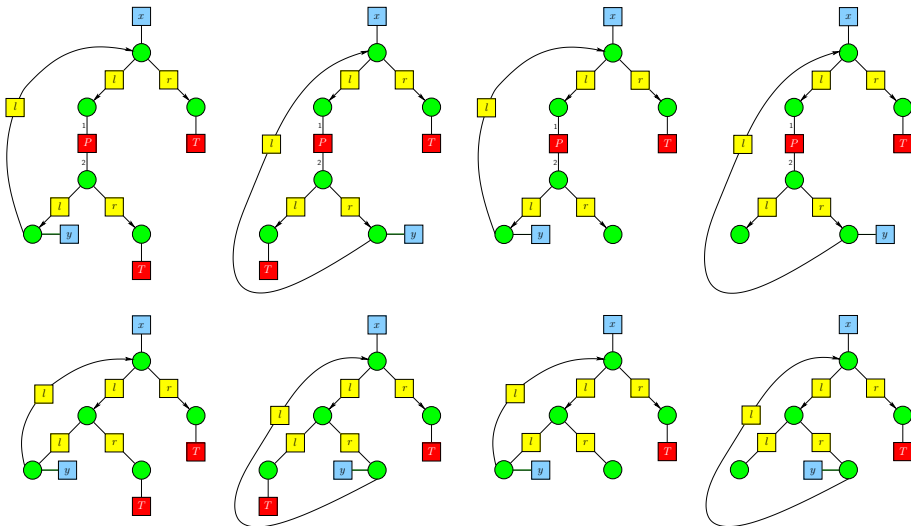


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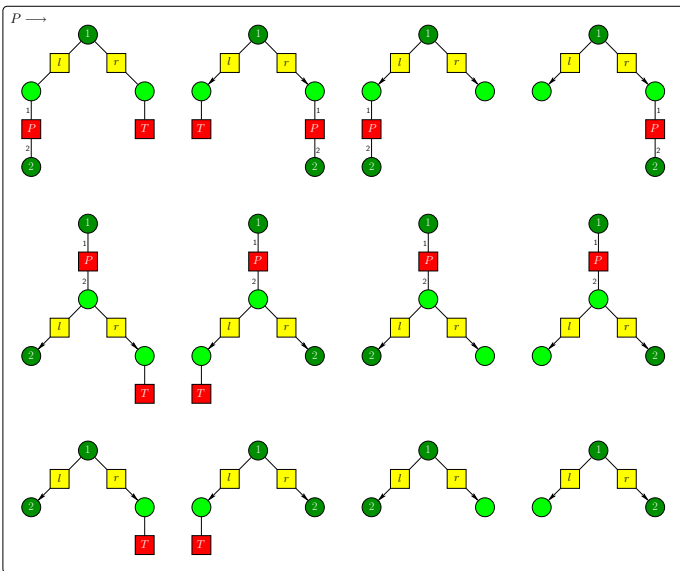
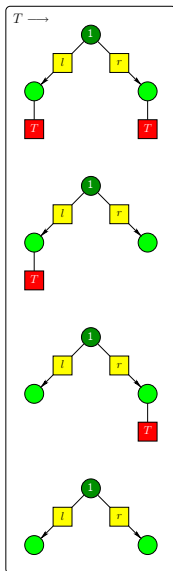


→

Admissible Results



Entire Grammar





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