

# Testing of Reactive Systems

## Lecture 5: Some more Implementation Relations

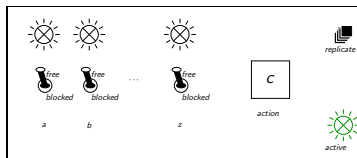
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Lehrstuhl Informatik 2 (MOVES)  
RWTH Aachen

Summer Semester 2009

# What happened so far?

- Bisimulation
- Comparison of preorders/equivalences (finer/coarser)
- Linear-Time/Branching-Time spectrum
- Effect of nondeterminism on the LTBT spectrum
- Observing and Manipulating Processes: **The Generative Machine**



# What is coming?

## We will

- formalise notion of (primitive) observation
- introduce so-called **observers**
- observers will be **special processes**: test expressions
- observers will **manipulate** and **observe**, i.e.: test
- characterise a few simple preorders by **observers**
- establish order in LTBT spectrum

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## 1 Some more Implementation Relations

## 2 Failure Trace Preorder and Observers

We assume (again): no  $\tau$ -steps

# Trace preorder

 $\mathcal{O}_{tr}$ 

Let  $\mathcal{O}_{tr}$  be the set of **test expressions** defined by the following grammar:

$$o \longrightarrow a.o \mid t.STOP,$$

where  $a \in Act$ , and  $t \notin Act$  is a **special action** which denotes the end of the observation.

## Example

For  $Act = \{a, b, c\}$ , the following are typical test expressions:

$t.STOP$ ,  $a.c.a.b.t.STOP$ , etc.

## Convention

- We write  $t.STOP$  just as  $t$
- We write  $Act_{tr} = Act \cup \{t\}$ .

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# Test Execution

## Definition 3.1.2: Test execution

Let  $o$  be a test-expression and  $p, p'$  processes. Test execution is described by the **test-operator**  $\mathbb{T}$ , whose behaviour is defined by the following rules:

$$\frac{}{a.o \xrightarrow{a} o} (a \in Act_{tr}) \quad \frac{o \xrightarrow{a} o' \quad p \xrightarrow{a} p'}{o \mathbb{T} p \xrightarrow{a} o' \mathbb{T} p'} (a \in Act) \quad \frac{o \xrightarrow{t} o'}{o \mathbb{T} p \xrightarrow{t} PASS}$$

- Observations of  $o$  and  $p$ :

$$obs_{tr}(o, p) := \{\sigma \mid o \mathbb{T} p \xrightarrow{\sigma} PASS\}$$

- All observations for  $p$ :

$$obs_{tr}(p) = \bigcup obs_{tr}(o, p).$$

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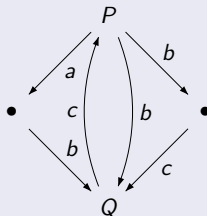
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# Test Execution

## Example 3.1.3

Let  $P \triangleq b.c.Q + a.b.Q + b.Q$  and  $Q \triangleq c.P$  and  $o = b.c.c.t$ .



$$b.c.c.t \Vdash P \xrightarrow{b} c.c.t \Vdash c.Q \xrightarrow{c} c.t \Vdash Q \xrightarrow{c} t \Vdash P \xrightarrow{t} \text{PASS},$$

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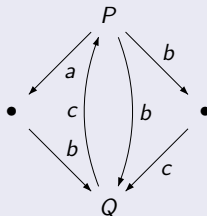
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Observations:  $\text{obs}_{tr}(o, P) = \{bcct\}$ .

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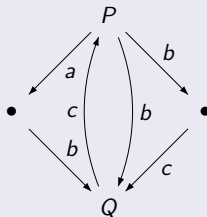
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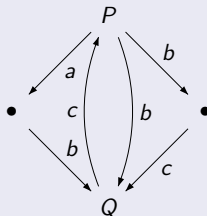
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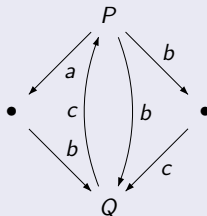
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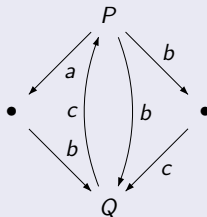
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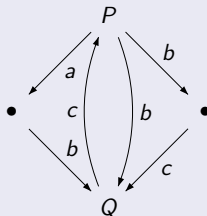
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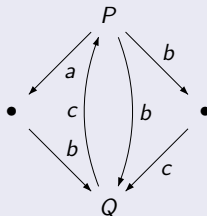
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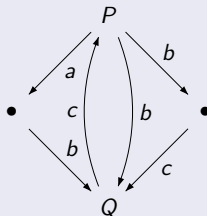
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## Lemma 3.1.4

For  $p, q \in \mathbb{IP}$ :

$$p \leq_{tr} q \quad \text{iff} \quad \text{obs}_{tr}(p) \subseteq \text{obs}_{tr}(q)$$

Proof

Exercise.

Note

$$p \leq_{tr} q \quad \text{iff} \quad \forall o \in \mathcal{O}_{tr} : \text{obs}_{tr}(o, p) \subseteq \text{obs}_{tr}(o, q).$$

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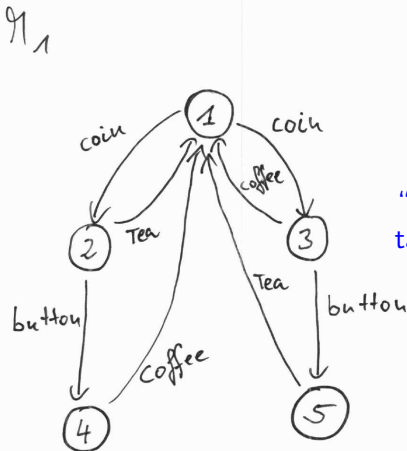
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# Failures Preorder

## Example 3.2.1: Crummy Tea & Coffee Inc.

Coffee & Tea Dispenser Inc. (CTD) Specification:



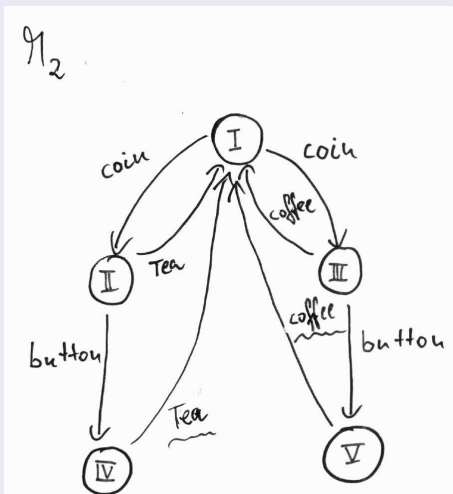
“Inviting offers for implementation, correct wrt.  $\leq_{tr}$ ”



# Failures Preorder

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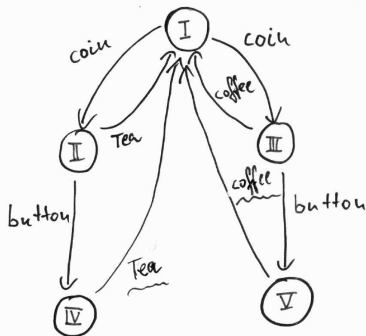
Crummy Tea & Coffee Inc. offers CTD the following:



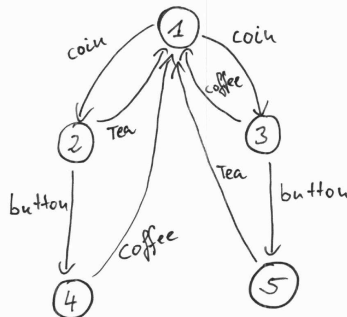
# Failures Preorder

On first sight: M2 seems ok

$\mathcal{M}_2$



$\mathcal{M}_1$

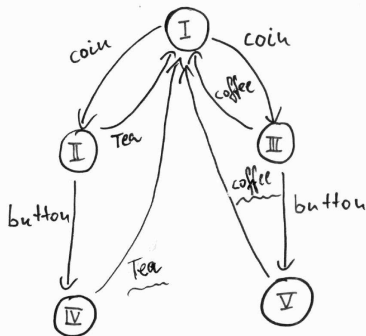


$I \leq_{tr} 1$

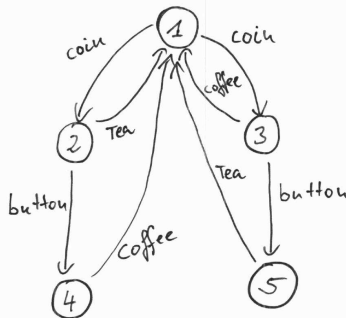
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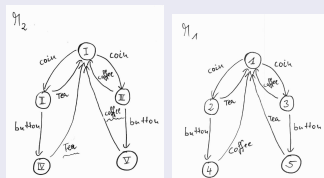
$M_1$



But trace inclusion is not enough! **BUTTON** in  $M_2$  has no effect!

# Failures Preorder

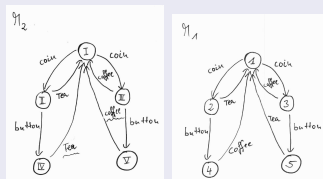
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- We need a finer implementation relation.
- Approach: which actions can **not** be performed in the states?
- refusal sets
- refusal sets of state 1 and 1 are  $\{\text{BUTTON, COFFEE, TEA}\}$

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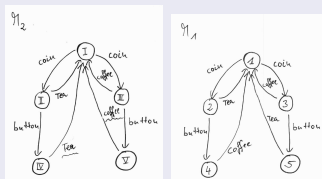
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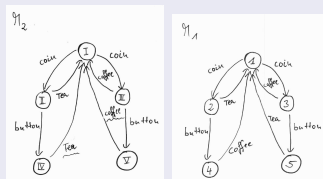
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# Failures preorder

## Refusals, Failure pairs and Failures preorder

- **Refusals**  $R(Act) = \{\tilde{X} \mid X \subseteq Act\}$
- $Act_{ft} = Act \cup R(Act)$ .
- For  $\tilde{X} \in R(Act)$ ,  $X$  is called **refusal set**.
- **Failure pairs** are traces over  $Act_{ft}$  of the form  $\sigma \cdot \tilde{X}$ , where  $\sigma \in Act^*$
- The failure pairs  $F(p)$  of process  $p \in \mathbb{P}$  are defined as:
  - $\tilde{X} \in F(p)$ , if  $p \not\overset{a}{\rightarrow}$  for all  $a \in X$ .
  - $\sigma \cdot \tilde{X} \in F(p)$  for  $\sigma \in Act^*$ , if  $p \xrightarrow{\sigma} p'$  and  $\tilde{X} \in F(p')$ .
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- $Act_{ft} = Act \cup R(Act)$ .
- For  $\tilde{X} \in R(Act)$ ,  $X$  is called **refusal set**.
- **Failure pairs** are **traces over  $Act_{ft}$**  of the form  $\sigma \cdot \tilde{X}$ , where  $\sigma \in Act^*$
- The failure pairs  $F(p)$  of process  $p \in \mathbb{P}$  are defined as:
  - $\tilde{X} \in F(p)$ , if  $p \not\overset{a}{\rightarrow}$  for all  $a \in X$ .
  - $\sigma \cdot \tilde{X} \in F(p)$  for  $\sigma \in Act^*$ , if  $p \xrightarrow{\sigma} p'$  and  $\tilde{X} \in F(p')$ .
- The failures preorder  $\leq_f$  is defined as:  
$$p \leq_f q \text{ if and only if } F(p) \subseteq F(q).$$

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# Failures preorder

## Notes

- **Refusal  $\tilde{X}$** : special kind of **action**
- corresponds one-to-one to  $X \subseteq Act$ .
- $\sigma \cdot \tilde{X} \in F(p)$ : there is a trace leading to state  $p'$  such that  $p'$  **refuses**  $X$ .
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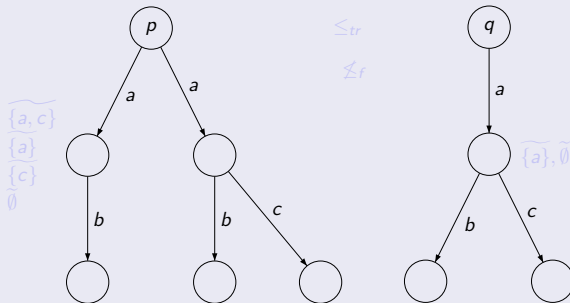
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# Failures preorder

## Example

Let  $Act = \{a, b, c\}$



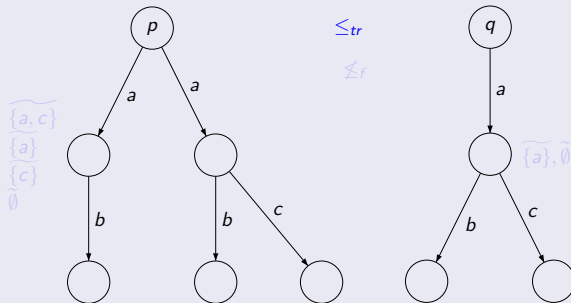
$p \leq_{tr} q$  is obvious

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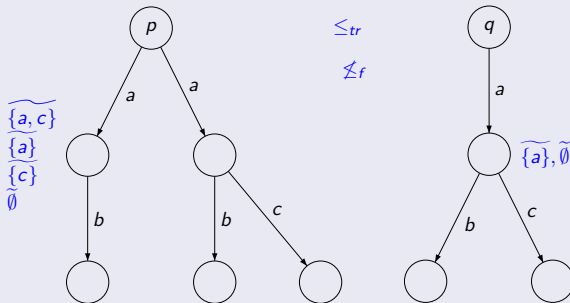
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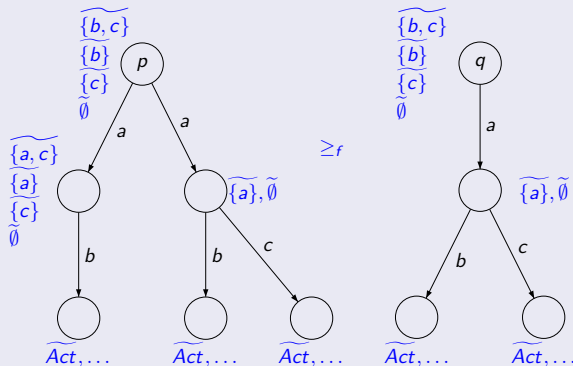
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## Failures preorder

## Example (cont.)

$q \leq_f p$ : we annotate the states with the set of refusal sets:



- Failure pairs of  $p$  e.g.:  $\widetilde{\emptyset}$ ,  $\widetilde{\{b,c\}}$ ,  $a\widetilde{\{a,c\}}$ ,  $a\widetilde{\{a\}}$ ,  $ac\widetilde{Act}, \dots$
- $q$  is a part of  $p$ ,  $q \leq_f p$  obvious.

## 3.2.4 Example 3.2.1 continued

### Coffee & Tea Dispenser Inc.:

- “Only implementations correct according to  $\leq_f$  are acceptable”
- “obviously  $M_2 \not\leq_f M_1$ ”, therefore not acceptable

Right? Wrong!

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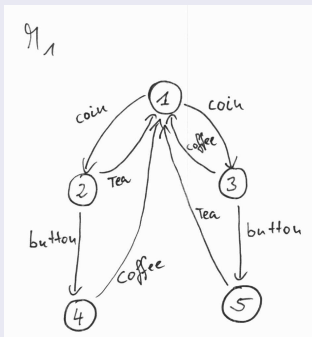
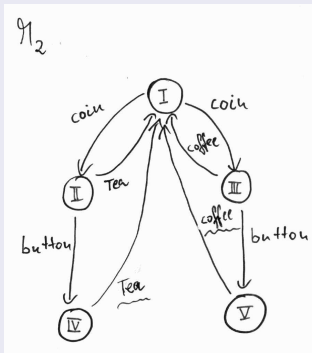
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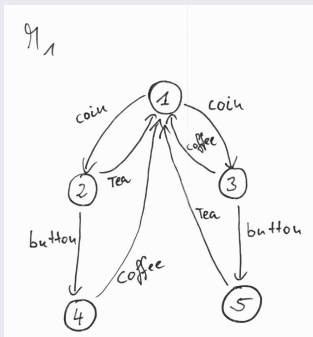
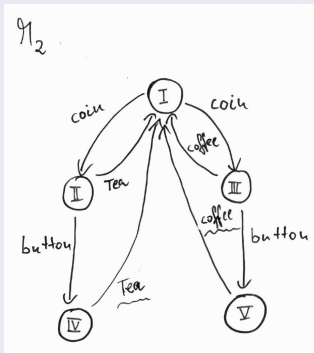


Failure pairs of  $M_2$

$\text{COIN} \cdot \{\text{COIN}, \text{COFFEE}\}$

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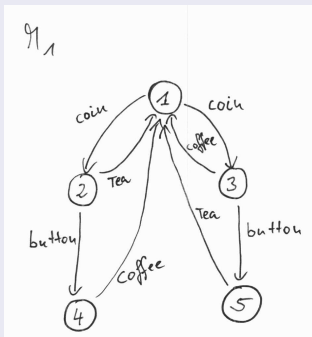
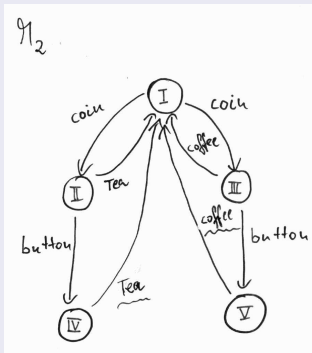


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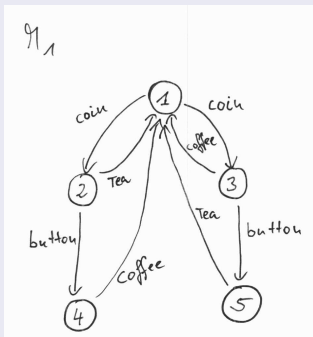
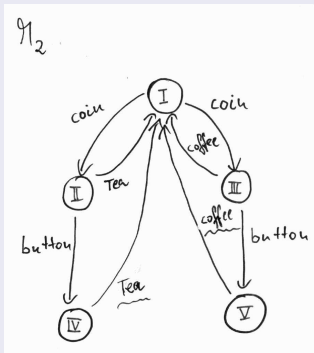


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Failure pairs of  $M_2$

COIN · BUTTON · {COIN, TEA}

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- not only refusal sets of **reached states** important
- also what states with which refusals **passed**
- seems to be important **to record** also the **refusals of intermediate states**.

⇒ **failure traces**

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⇒ **failure traces**

# Failure traces and failure trace preorder

## Definition

- ❶ Failure traces are the elements of  $Act_{ft}^*$ .
- ❷ Set of failure traces of  $p \in \mathbb{P}$ :
  - $\varepsilon \in ftraces(p)$ ;
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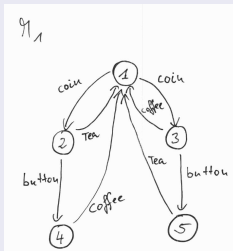
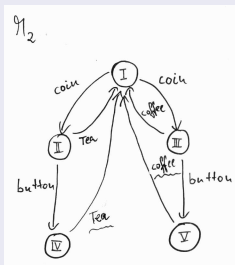
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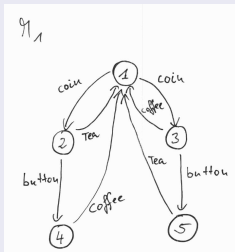
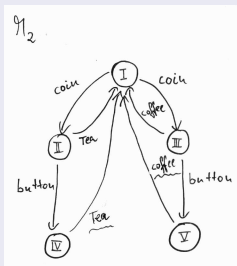
## 3.2.6 Example, 3.2.1 continued (2)



$M_2$  is **not an implementation** of  $M_1$  according to  $\leq_{ft}$ !

# Failure traces and failure trace preorder

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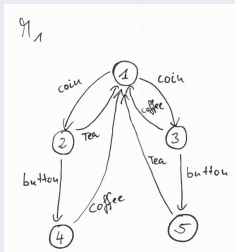
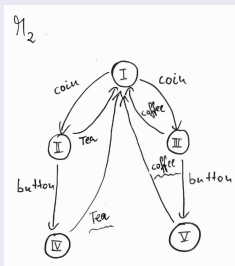
•  $\sigma = \text{COIN}\{\text{COFFEE}, \text{COIN}\}\text{BUTTON}\{\text{TEA}, \text{COIN}\} \in \text{ftraces}(1)$

•  $\sigma$  not an element of  $\text{ftraces}(I)$ :

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- $I \xrightarrow{\text{COIN}\{\text{COFFEE}, \text{COIN}\}\text{BUTTON}} IV$
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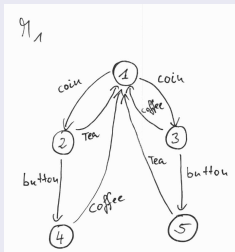
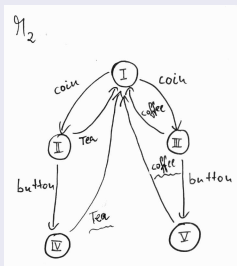
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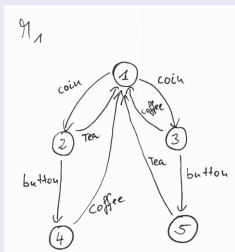
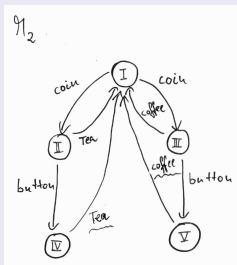
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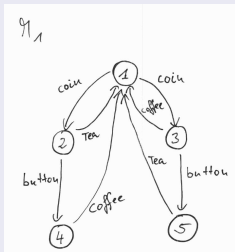
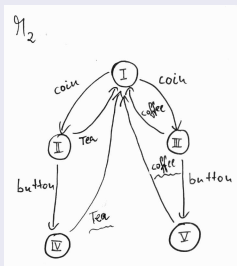
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- $\sigma = \text{COIN}\{\text{COFFEE}, \text{COIN}\}\text{BUTTON}\{\text{TEA}, \text{COIN}\} \in \text{ftraces}(1)$
- $\sigma$  not an element of  $\text{ftraces}(I)$ :
  - $1 \xrightarrow{\text{COIN}\{\text{COFFEE}, \text{COIN}\}\text{BUTTON}} 4$
  - $I \xrightarrow{\text{COIN}\{\text{COFFEE}, \text{COIN}\}\text{BUTTON}} IV$
  - $\{\text{TEA}, \text{COIN}\} \in \text{ftraces}(4)$
  - $\{\text{TEA}, \text{COIN}\} \notin \text{ftraces}(IV)$

# Failure traces and failure trace preorder

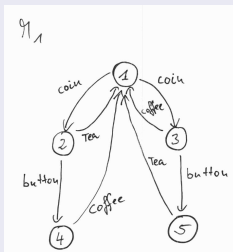
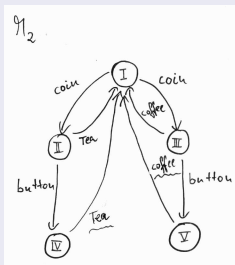
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# Failure traces and failure trace preorder

## 3.2.6 Example, 3.2.1 continued (2)



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# Comparing the Implementation relations

## 3.2.7 Proposition

$$\leq_{ft} \preceq \leq_f \preceq \leq_{tr}$$

Proof:  $\implies$  Blackboard

# Completed trace preorder

## 3.2.8 Definition $\leq_{ct}$

For  $p, q \in \mathbb{IP}$ , the **completed trace preorder**  $\leq_{ct} \subseteq \mathbb{IP} \times \mathbb{IP}$  is defined as

$p \leq_{ct} q$  iff

$$\text{traces}(p) \subseteq \text{traces}(q)$$

and

$$F(p) \cap \text{Act}^* \cdot \widetilde{\text{Act}} \subseteq F(q) \cap \text{Act}^* \cdot \widetilde{\text{Act}}$$

### Note

- If  $\widetilde{\text{Act}} \in F(p)$ , then  $p$  is **deadlocked**.
- If  $\sigma \cdot \widetilde{\text{Act}} \in F(p)$ , then  $\sigma$  is called a **completed trace** of  $p$ .
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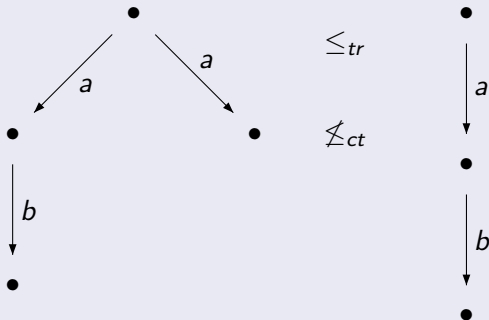
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$\leq_{ct}$  is finer than  $\leq_{tr}$

### Example



## 1 Some more Implementation Relations

## 2 Failure Trace Preorder and Observers

# Test Expressions for $\leq_{ft}$

Refusals become part of test expressions

Test Expressions  $\mathcal{O}_{ft}$

$$o \longrightarrow a.o \mid t.STOP \mid \tilde{X}.o$$

with  $a \in Act$ , and  $\tilde{X} \in R(Act)$ .

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# Test Execution

## Test Execution for $\leq_{ft}$

$$\frac{}{a.o \xrightarrow{a} o} (a \in Act_{ft}) \quad \frac{o \xrightarrow{a} o' \quad p \xrightarrow{a} p'}{o \Vdash p \xrightarrow{a} o' \Vdash p'} (a \in Act) \quad \frac{o \xrightarrow{t} o'}{o \Vdash p \xrightarrow{t} \text{PASS}}$$

$$\frac{o \xrightarrow{\tilde{X}} o' \quad p \not\xrightarrow{\tilde{a}} \forall a \in X}{o \Vdash p \xrightarrow{\tilde{X}} o' \Vdash p}$$

$$\text{obs}_{ft}(p) = \{ o \in \mathcal{O}_{ft} \mid \exists \sigma \in Act_{ft}^* : o \Vdash p \xrightarrow{\sigma \cdot t} \text{PASS} \}$$

# Test Execution

## Test Execution for $\leq_{ft}$

$$\frac{}{a.o \xrightarrow{a} o} (a \in Act_{ft}) \quad \frac{o \xrightarrow{a} o' \quad p \xrightarrow{a} p'}{o \amalg p \xrightarrow{a} o' \amalg p'} (a \in Act) \quad \frac{o \xrightarrow{t} o'}{o \amalg p \xrightarrow{t} \text{PASS}}$$

$$\frac{o \xrightarrow{\tilde{X}} o' \quad p \not\xrightarrow{a} \forall a \in X}{o \amalg p \xrightarrow{\tilde{X}} o' \amalg p}$$

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# Test Execution

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$$\frac{}{a.o \xrightarrow{a} o} (a \in Act_{ft}) \quad \frac{o \xrightarrow{a} o' \quad p \xrightarrow{a} p'}{o \parallel p \xrightarrow{a} o' \parallel p'} (a \in Act) \quad \frac{o \xrightarrow{t} o'}{o \parallel p \xrightarrow{t} \text{PASS}}$$

$$\frac{o \xrightarrow{\tilde{X}} o' \quad p \not\xrightarrow{a} \forall a \in X}{o \parallel p \xrightarrow{\tilde{X}} o' \parallel p}$$

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# Observing Failure Trace Preorder

## 3.2.10 Lemma

$p \leq_{ft} q$  iff  $\text{obs}_{ft}(p) \subseteq \text{obs}_{ft}(q)$

Proof: blackboard