

# Satisfiability Checking

## SAT-Solving

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Theory of Hybrid Systems  
Informatik 2

WS 11/12

$$\underbrace{(\neg x \vee \neg y)}_{c_1} \wedge \underbrace{(x \vee y)}_{c_2} \wedge \underbrace{(\neg x \vee y \vee \neg z)}_{c_3} \wedge \underbrace{(y \vee z)}_{c_4} \wedge \underbrace{(\neg y \vee \neg z)}_{c_5}$$

$$\underbrace{(\neg x \vee \neg y)}_{c_1} \wedge \underbrace{(x \vee y)}_{c_2} \wedge \underbrace{(\neg x \vee y \vee \neg z)}_{c_3} \wedge \underbrace{(y \vee z)}_{c_4} \wedge \underbrace{(\neg y \vee \neg z)}_{c_5}$$

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			$c_1$	$c_2$	$c_3$	$c_4$	$c_5$

# Enumeration: Order of assignments

$$\underbrace{(\neg x \vee \neg y)}_{c_1} \wedge \underbrace{(x \vee y)}_{c_2} \wedge \underbrace{(\neg x \vee y \vee \neg z)}_{c_3} \wedge \underbrace{(y \vee z)}_{c_4} \wedge \underbrace{(\neg y \vee \neg z)}_{c_5}$$

$x$	$y$	$z$	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$
1	1	1	0				
1	1	0	0				
1	0	1	1	1	0		
1	0	0	1	1	1	0	
0	1	1	1	1	1	1	0
0	1	0	1	1	1	1	1
0	0	1					
0	0	0					

				$c_1$	$c_2$	$c_3$	$c_4$	$c_5$

# Enumeration: Sign of assignments

$$\underbrace{(\neg x \vee \neg y)}_{c_1} \wedge \underbrace{(x \vee y)}_{c_2} \wedge \underbrace{(\neg x \vee y \vee \neg z)}_{c_3} \wedge \underbrace{(y \vee z)}_{c_4} \wedge \underbrace{(\neg y \vee \neg z)}_{c_5}$$

$x$	$y$	$z$	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$
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1	1	0	0				
1	0	1	1	1	0		
1	0	0	1	1	1	0	
0	1	1	1	1	1	1	0
0	1	0	1	1	1	1	1
0	0	1					
0	0	0					

				$c_1$	$c_2$	$c_3$	$c_4$	$c_5$

$$\underbrace{(\neg x \vee \neg y)}_{c_1} \wedge \underbrace{(x \vee y)}_{c_2} \wedge \underbrace{(\neg x \vee y \vee \neg z)}_{c_3} \wedge \underbrace{(y \vee z)}_{c_4} \wedge \underbrace{(\neg y \vee \neg z)}_{c_5}$$

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$$\underbrace{(\neg x \vee \neg y)}_{c_1} \wedge \underbrace{(x \vee y)}_{c_2} \wedge \underbrace{(\neg x \vee y \vee \neg z)}_{c_3} \wedge \underbrace{(y \vee z)}_{c_4} \wedge \underbrace{(\neg y \vee \neg z)}_{c_5}$$

$x < y < z$ , sign: 1



$$\underbrace{(\neg x \vee \neg y)}_{c_1} \wedge \underbrace{(x \vee y)}_{c_2} \wedge \underbrace{(\neg x \vee y \vee \neg z)}_{c_3} \wedge \underbrace{(y \vee z)}_{c_4} \wedge \underbrace{(\neg y \vee \neg z)}_{c_5}$$

$x < y < z$ , sign: 1

# A basic SAT algorithm

```
while (true)
{
    if (!decide()) return SAT;
    while (!BCP())
        if (!resolve_conflict()) return UNSAT;
}
```

Choose the next variable and value.  
Return false if all variables are assigned.

Boolean Constraint Propagation. Return false if reached a conflict.

Conflict resolution and backtracking. Return false if impossible.

- Decision
- Boolean Constraint Propagation (BCP)
- Conflict resolution and backtracking

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DLIS (Dynamic Largest Individual Sum) – choose the assignment that increases the most the number of satisfied clauses

- For a given variable  $x$ :
  - $C_{xp}$  – # unresolved clauses in which  $x$  appears positively
  - $C_{xn}$  – # unresolved clauses in which  $x$  appears negatively
  - Let  $x$  be the literal for which  $C_{xp}$  is maximal
  - Let  $y$  be the literal for which  $C_{yn}$  is maximal
  - If  $C_{xp} > C_{yn}$  choose  $x$  and assign it TRUE
  - Otherwise choose  $y$  and assign it FALSE
- Requires  $\mathcal{O}(\#literals)$  queries for each decision.

## Jeroslow-Wang method

Compute for every clause  $c$  and every literal  $l$ :

$$J(l) : \sum_{l \in c, c \in \phi} 2^{-|c|}$$

- Choose a literal  $l$  that maximizes  $J(l)$ .
- This gives an exponentially higher weight to literals in shorter clauses

- We will see other (more advanced) decision heuristics soon.
- These heuristics are integrated with **learning of conflict clauses**.

- Decision
- Boolean Constraint Propagation (BCP)
- Conflict resolution and backtracking



■ A clause can be

**satisfied:** at least one literal is satisfied

**unsatisfied:** all literals are assigned but none are satisfied

**unit:** all but one literals are assigned but none are satisfied

**unresolved:** all other cases

■ **Example:**  $c = (x_1 \vee x_2 \vee x_3)$

$x_1$	$x_2$	$x_3$	$c$
1	0		satisfied
0	0	0	unsatisfied
0	0		unit
	0		unresolved

BCP: Unit clauses are used to imply consequences of decisions.

- Organize the search in the form of an **implication graph**
  - Each node corresponds to a **variable assignment**
  - **Decision Level (DL)** is the depth of the node in the decision tree.
  - Notation:  $x = v@d$   
 $x$  is assigned to  $v \in \{0, 1\}$  at the decision level  $d$

### Definition

An **implication graph** is a labeled directed acyclic graph  $G(V, E)$ , where

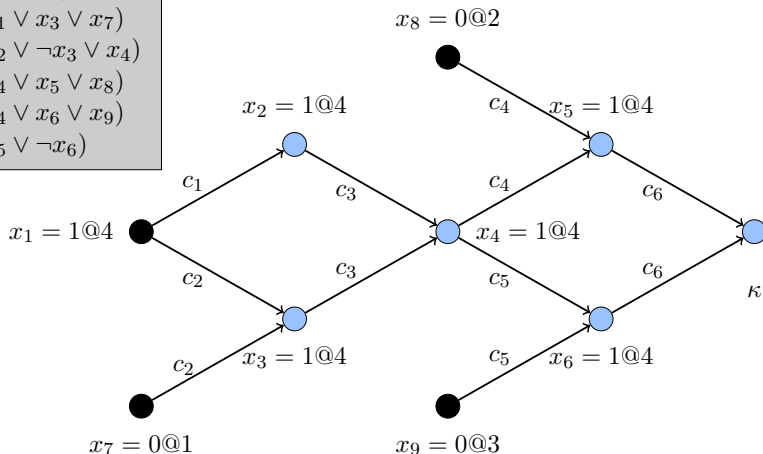
- $V$  represents the literals of the current partial assignment.  
Each node is labeled with the literal that it represents and the decision level at which it entered the partial assignment.
- $E$  with  $E = \{(v_i, v_j) | v_i, v_j \in V, v_i \neq v_j, \neg v_i \in \text{Antecedent}(v_j)\}$  denotes the set of directed edges where each edge  $(v_i, v_j)$  is labeled with  $\text{Antecedent}(v_j)$ .
- $G$  can also contain a single **conflict node** labeled with  $\kappa$  and incoming edges  $\{(v, \kappa) | \neg v \in c\}$  labeled with  $c$  for some conflicting clause  $c$ .

# Implication graph: Example

Current assignment:  $\{x_7 = 0@1, x_8 = 0@2, x_9 = 0@3\}$

New decision:  $\{x_1 = 1@4\}$

$$\begin{aligned}c_1 &= (\neg x_1 \vee x_2) \\c_2 &= (\neg x_1 \vee x_3 \vee x_7) \\c_3 &= (\neg x_2 \vee \neg x_3 \vee x_4) \\c_4 &= (\neg x_4 \vee x_5 \vee x_8) \\c_5 &= (\neg x_4 \vee x_6 \vee x_9) \\c_6 &= (\neg x_5 \vee \neg x_6)\end{aligned}$$



- For BCP, it would be a large effort to check for each propagation the value of each literal in each clause.
- One could keep for each literal a list of clauses in which it occurs.
- It is even enough to **watch two literals** in each clause such that either one of them is true or both are unassigned.

If a literal  $l$  gets true, we check each clause in which  $\neg l$  is a watch literal (which is now false).

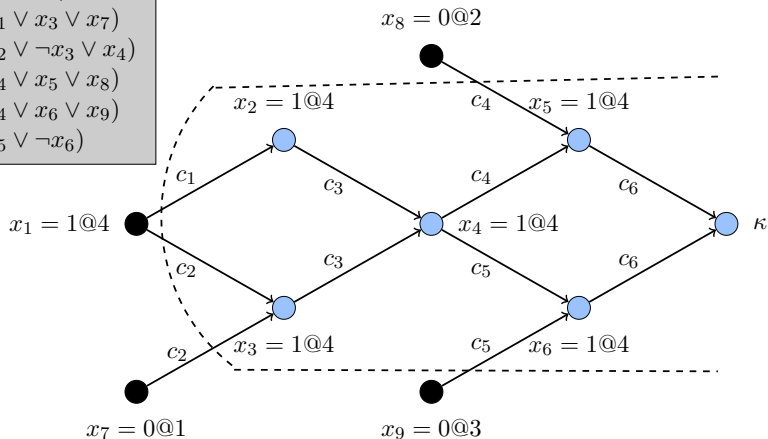
- If the other watch is true, the clause is satisfied.
- Else, if we find a new watch position, we are done.
- Else, if the other watch is unassigned, the clause is unit.
- Else, if the other watch is false, the clause is conflicting.

- Decision
- Boolean Constraint Propagation (BCP)
- Conflict resolution and backtracking

Current truth assignment:  $\{x_7 = 0@1, x_8 = 0@2, x_9 = 0@3\}$

Current decision assignment:  $\{x_1 = 1@4\}$

$$\begin{aligned} c_1 &= (\neg x_1 \vee x_2) \\ c_2 &= (\neg x_1 \vee x_3 \vee x_7) \\ c_3 &= (\neg x_2 \vee \neg x_3 \vee x_4) \\ c_4 &= (\neg x_4 \vee x_5 \vee x_8) \\ c_5 &= (\neg x_4 \vee x_6 \vee x_9) \\ c_6 &= (\neg x_5 \vee \neg x_6) \end{aligned}$$



We learn the conflict clause  $c_7 : (\neg x_1 \vee x_7 \vee x_8 \vee x_9)$

What to do now?

- Undo decision level 4.
- Propagate in the new clause  $c_7$  at decision level 3.
- It leads to a new assignment at decision level 3.
- Propagate the newly assigned literals.

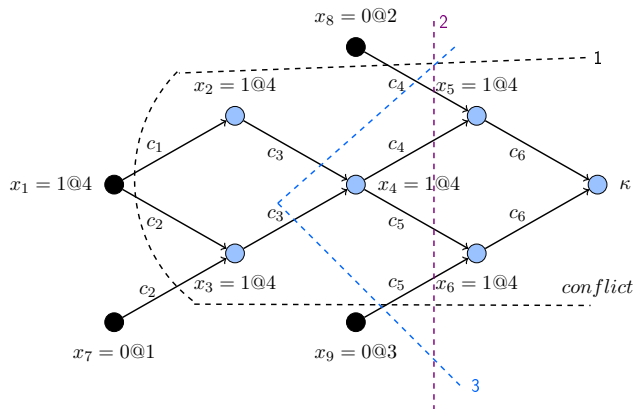
So the rule is:

- Backtrack to the largest decision level in the learned clause,
- propagate in the learned clause, and
- propagate all new assignments.



# More conflict clauses

- Let  $L$  be a set of literals labeling nodes that form a cut in the implication graph, separating the conflict node from the roots.
- $\forall l \in L. \neg l$  is called a **conflict clause**.



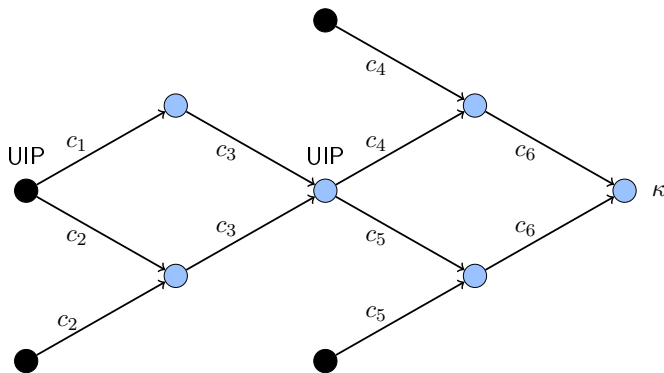
- $(x_8 \vee \neg x_1 \vee x_7 \vee x_9)$
- $(x_8 \vee \neg x_4 \vee x_9)$
- $(x_8 \vee \neg x_2 \vee \neg x_3 \vee x_9)$
- ...
- ...

- How many clauses should we add?
- If not all, then which ones?
  - Shorter ones?
  - Check their influence on the backtracking level?
  - The most "influential"?

- An **asserting clause** is a conflict clause with a single literal from the current decision level.  
Backtracking (to the right level) makes it a **unit clause**.
- Asserting clauses are those that force an immediate change in the search path.
- Modern solvers only consider asserting clauses.

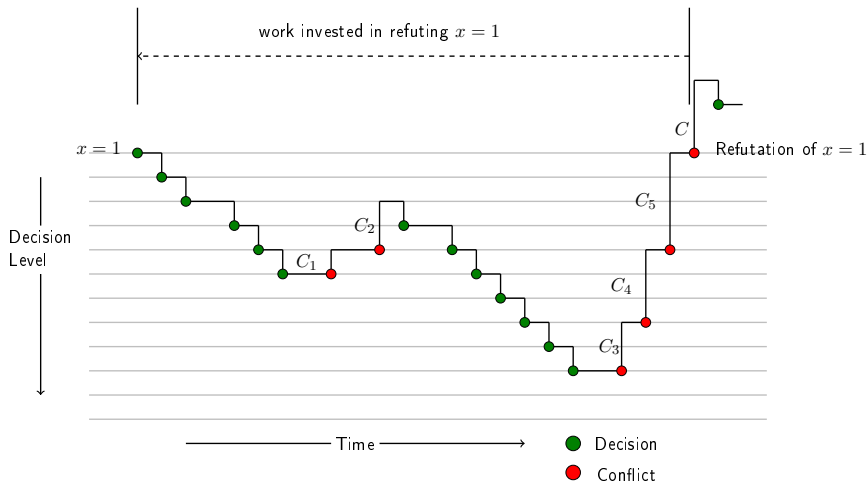
## Unique Implication Points (UIP's)

- A **Unique Implication Point (UIP)** is an internal node in the implication graph such that **all paths from the last decision to the conflict node go through it.**
- The **first UIP** is the UIP closest to the conflict.



- So the **rule** is: backtrack to the **second** highest decision level  $dl$ , but do not erase it.
- This way the literal with the currently highest decision level will be implied at decision level  $dl$ .
- **Question:** What if the conflict clause has a single literal?
  - For example, from  $(x \vee \neg y) \wedge (x \vee y)$  and decision  $x = 0$ , we learn the conflict clause  $(x)$ .

# Progress of a SAT solver



- The binary resolution is a sound (and complete) inference rule:

$$\frac{(\beta \vee a_1 \vee \dots \vee a_n) \quad (\neg\beta \vee b_1 \vee \dots \vee b_m)}{(a_1 \vee \dots \vee a_n \vee b_1 \vee \dots \vee b_m)} \text{(Binary Resolution)}$$

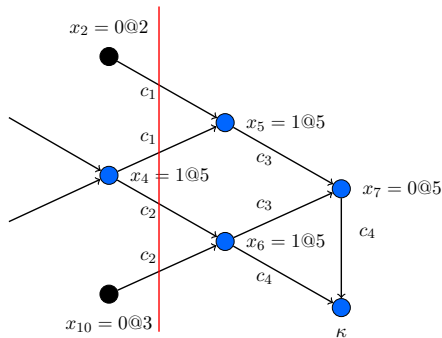
- Example:

$$\frac{(x_1 \vee x_2) \quad (\neg x_1 \vee x_3 \vee x_4)}{(x_2 \vee x_3 \vee x_4)}$$

What is the relation of resolution and conflict clauses?

- Consider the following example:

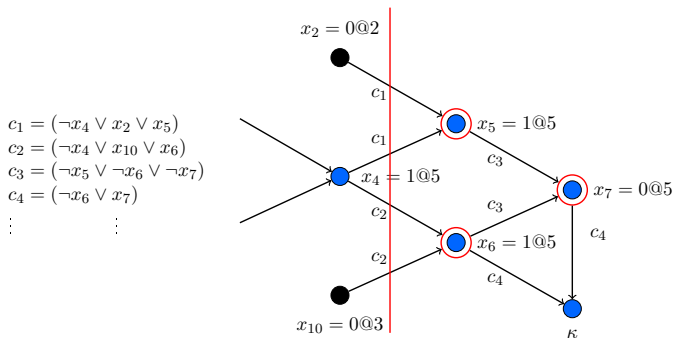
$$\begin{aligned} c_1 &= (\neg x_4 \vee x_2 \vee x_5) \\ c_2 &= (\neg x_4 \vee x_{10} \vee x_6) \\ c_3 &= (\neg x_5 \vee \neg x_6 \vee \neg x_7) \\ c_4 &= (\neg x_6 \vee x_7) \\ &\vdots \qquad \qquad \vdots \end{aligned}$$



- Conflict clause:  $c_5 : (x_2 \vee \neg x_4 \vee x_{10})$



- Conflict clause:  $c_5 : (x_2 \vee \neg x_4 \vee x_{10})$



- Assignment order:  $x_4, x_5, x_6, x_7$ 
  - $T1 = \text{Res}(c_4, c_3, x_7) = (\neg x_5 \vee \neg x_6)$
  - $T2 = \text{Res}(T1, c_2, x_6) = (\neg x_4 \vee \neg x_5 \vee x_{10})$
  - $T3 = \text{Res}(T2, c_1, x_5) = (x_2 \vee \neg x_4 \vee x_{10})$

```

procedure analyze_conflict() {
  if (current_decision_level = 0) return false;
  cl := current_conflicting_clause;
  while (not stop_criterion_met(cl)) do {
    lit := last_assigned_literal(cl);
    var := variable_of_literal(lit);
    ante := antecedent(var);
    cl := resolve(cl, ante, var);
  }
  add_clause_to_database(cl);
  return true;
}

```

Applied to our example:

name	$cl$	$lit$	$var$	$ante$
$c_4$	$(\neg x_6 \vee x_7)$	$x_7$	$x_7$	$c_3$
	$(\neg x_5 \vee \neg x_6)$	$\neg x_6$	$x_6$	$c_2$
	$(\neg x_4 \vee x_{10} \vee \neg x_5)$	$\neg x_5$	$x_5$	$c_1$
$c_5$	$(\neg x_4 \vee x_2 \vee x_{10})$			

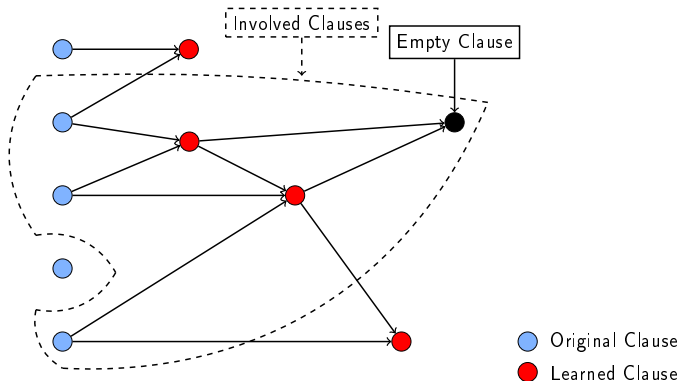
## Definition

An **unsatisfiable core** of an unsatisfiable CNF formula is an unsatisfiable subset of the original set of clauses.

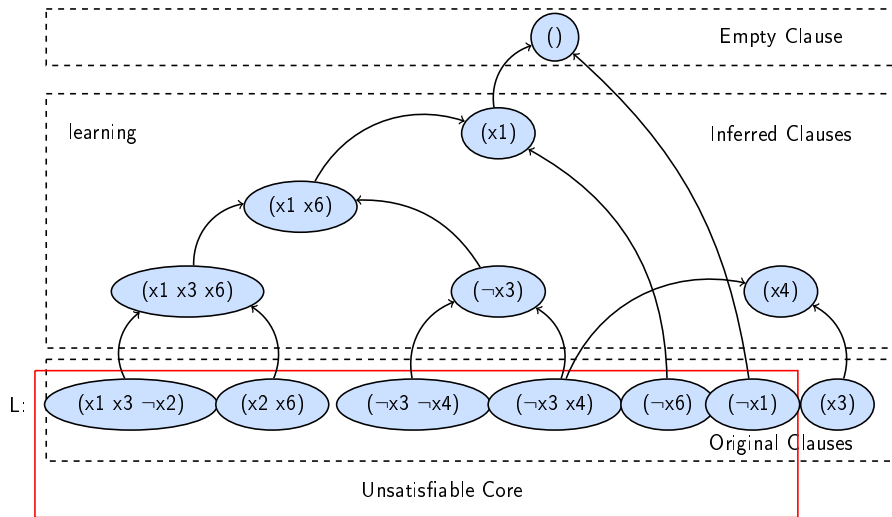
- The set of all original clauses is an unsatisfiable core.
- The set of those original clauses that were used for resolution in conflict analysis during SAT-solving (inclusively the last conflict at decision level 0) gives us an unsatisfiable core which is in general much smaller.
- However, this unsatisfiable core is still not always minimal (i.e., we can remove clauses from it still having an unsatisfiable core).

# The resolution graph

A **resolution graph** gives us more information to get a minimal unsatisfiable core.



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## Theorem

*It is never the case that the solver enters decision level  $dl$  again with the same partial assignment.*

## Proof.

Define a partial order on partial assignments:  $\alpha < \beta$  iff either  $\alpha$  is an extension of  $\beta$  or  $\alpha$  has more assignments at the smallest decision level at that  $\alpha$  and  $\beta$  do not agree.

BCP decreases the order, conflict-driven backtracking also. Since the order always decreases during the search, the theorem holds.  $\square$

Back to decision heuristics...

- **Decision**
- Boolean Constraint Propagation (BCP)
- Conflict resolution and backtracking

- VSIDS(Variable State Independent Decaying Sum)
  - Gives priority to variables involved in recent conflicts.
  - “Involved” can have different definitions. We take those variables that occur in clauses used for conflict resolution.
- 
- 1 Each variable in each polarity has a **counter** initialized to 0.
  - 2 We define an **increment** value (e.g., 1).
  - 3 When a **conflict** occurs, we increase the counter of each variable, that occurs in at least one clause used for conflict resolution, by the increment value.  
Afterwards we increase the increment value (e.g., by 1).
  - 4 For decisions, the unassigned variable with the **highest counter** is chosen.
  - 5 Periodically, all the counters and the increment value are **divided** by a constant.



- **Chaff** holds a list of unassigned variables sorted by the counter value.
- Updates are needed only when adding conflict causes.
- Thus - decision is made in constant time.

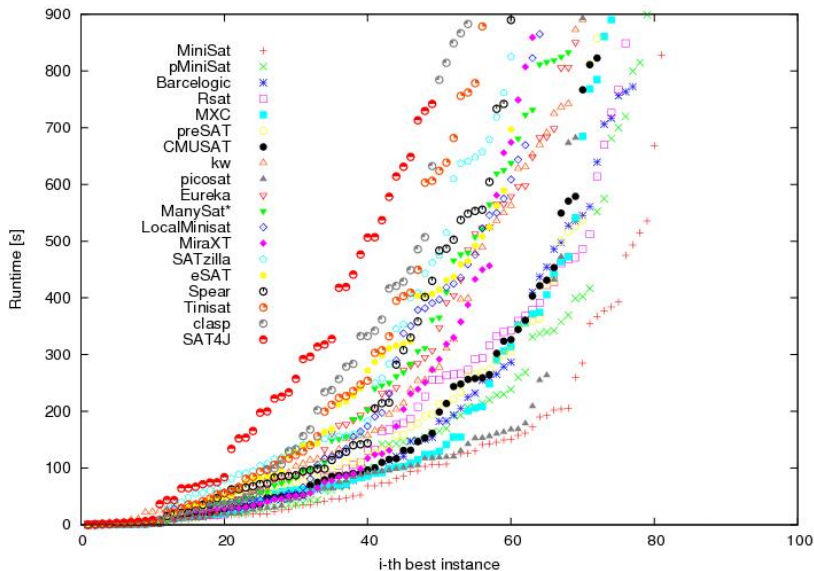
VSIDS is a 'quasi-static' strategy:

- **static** because it doesn't depend on current assignment
- **dynamic** because it gradually changes. Variables that appear in recent conflicts have higher priority.

This strategy is a **conflict-driven** decision strategy.

"...employing this strategy dramatically (i.e., an order of magnitude) improved performance..."

# The SAT competitions



taken from <http://baldur.iti.uka.de/sat-race-2008/analysis.html>